

Minnesota **GO**  
A Collaborative Vision  
for Transportation



# Statewide Multimodal Transportation Plan 2017 to 2036





January 2017

With this update to the Statewide Multimodal Transportation Plan, the Minnesota Department of Transportation reaffirms our commitment to the Minnesota GO vision of a transportation system that maximizes the health of people, the environment and our economy. Since adopting the first SMTP in 2012, we've made progress toward the vision, but we are not there yet.

Thank you to the thousands of Minnesotans, businesses, community groups and transportation partners that participated in updating this plan. Your thoughts, suggestions and questions helped us make a plan that reflects the needs and priorities of our state.

This plan is for all types of transportation and all transportation partners. It's about more than just roadways and more than just the Minnesota Department of Transportation. It evaluates the status of the entire transportation system, takes into account what's changing and provides direction for moving forward over the next 20 years. The plan focuses on five objectives: open decision-making, transportation safety, critical connections, system stewardship and healthy communities.

This updated SMTP maintains the previous version's commitment to preserving the existing system while considering strategic improvements with a high return on investment, advancing safety through the toward zero deaths initiative, and considering social, environmental and economic impacts. New emphasis areas include:

- **Strengthening the relationship between transportation planning and land use decisions.** The plan emphasizes the importance of considering the full context for transportation decisions, including the impacts to the built and natural environments.
- **Advancing equity.** The plan emphasizes the need to increase the diversity of the transportation industry as well as a more open and inclusive decision-making process. It also stresses the need to consider and better understand how transportation decisions can advance equity for low-income communities, communities of color, and persons with disabilities.
- **A clearer commitment to environmental issues.** The plan calls for increased efforts to reduce the negative environmental impacts of transportation. It also is the first transportation plan in the state to set a target for greenhouse gas emissions consistent with the Minnesota Next Generation Energy Act.

The success of Minnesota's transportation system depends on the efforts of many public and private providers. The objectives, strategies and performance measures outlined in the plan provide a framework for our joint efforts. MnDOT will continue to involve citizens, stakeholders and partners in the implementation of this plan and future investment and policy decisions. Together, we can provide a transportation system that supports the quality of life, environmental health and economic competitiveness of the entire state.

Sincerely,



Charles A. Zelle  
Commissioner





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Statewide  
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# Introduction

## WHAT IS THE SMTP?

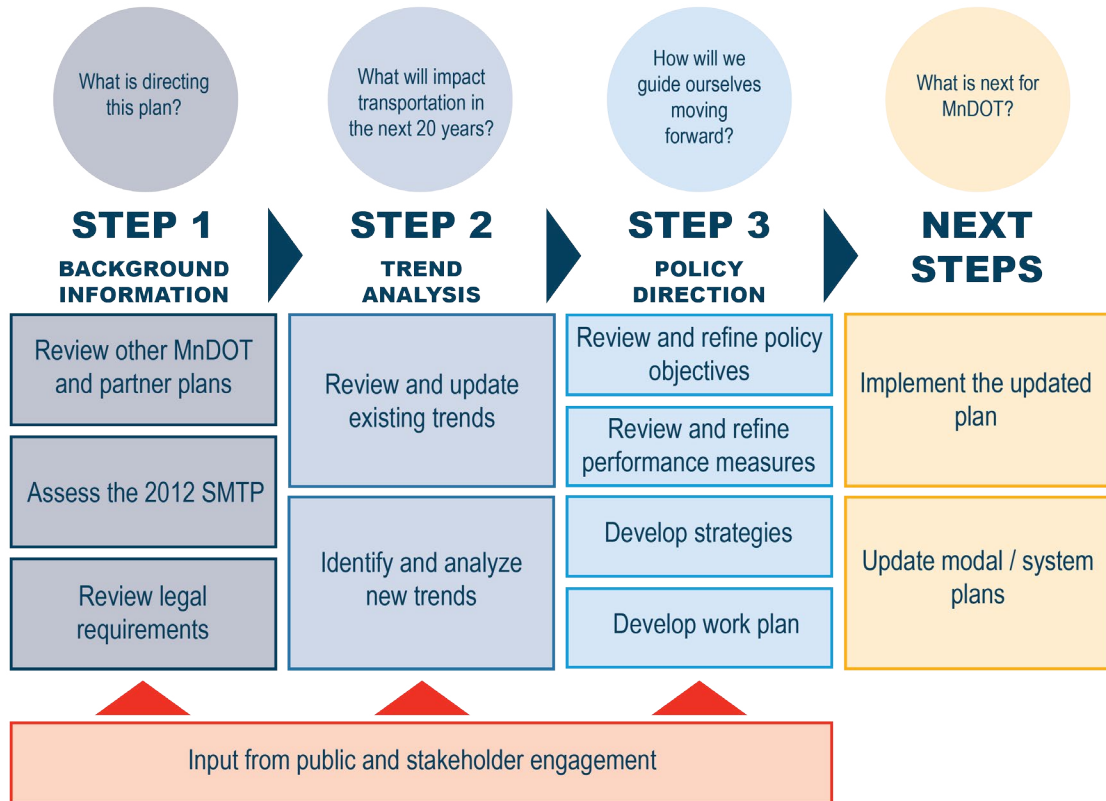
# THE STATEWIDE MULTIMODAL TRANSPORTATION PLAN

The Statewide Multimodal Transportation Plan is Minnesota's highest level policy plan for transportation. It is a 20-year plan based on the Minnesota GO Vision for a transportation system that maximizes the health of people, the environment and our economy. The plan is for all types of transportation and all transportation partners. It is about more than just roadways and more than just the Minnesota Department of Transportation. It evaluates the status of the entire transportation system, takes into account what is changing, and provides direction for moving forward over the next 20 years.

## PLAN UPDATE PROCESS

MnDOT is required by state and federal law to plan for 20 years into the future but also to update the plan every four years. The SMTP was last updated in 2012. The update process, as shown in **Figure I-1**, consists of three steps.

Figure I-1: Statewide Multimodal Transportation Plan update process



**Step 1. Baseline Assessment:** The process began with a review of other MnDOT plans and plans from transportation partners. It also included a review of the changes in law and policy since the plan’s last update in 2012. Information was collected about what MnDOT and other transportation partners are currently doing and what is already planned for the future. Finally, MnDOT evaluated the progress made so far in implementing the 2012 SMTP. More information from the baseline assessment is available in the Appendices.

**Step 2. Trend Analysis:** The next step focused on how Minnesota’s population, economy, environment, transportation behavior and technology are changing. It included reviewing the recent past, making educated guesses about the future and analyzing what the future changes might mean for transportation in Minnesota. **Chapter 3** provides a summary of this trend analysis. During this step, Minnesotans prioritized the trends based on how important it is for MnDOT to plan for the changes and suggested potential responses. A summary of input is included in **Chapter 4**.

**Step 3. Revise Policy Direction:** Using the baseline assessment, trend analysis and input from the public and partners, MnDOT updated the objectives and strategies of the 2012 SMTP. Additionally, key performance measures were identified for each objective. The updated objectives, performance measures and strategies are listed in **Chapter 5**. A near-term work plan for MnDOT was also developed. The work plan includes a list of activities MnDOT will do in the next four years and can be found in **Chapter 6**.

Throughout the entire update process, MnDOT sought input from the public and transportation partners. A summary of the input received is included in **Chapter 4**. A detailed report of the public engagement activities is available in **Appendix D**.

Additionally, the Minnesota Department of Health conducted a Health Impact Assessment on the objectives and strategies drafted in Step 3. The MDH report provided suggestions on how to strengthen the connections between transportation and public health. A link to the final assessment is available in the “**Want to Learn More?**” section of the **Appendix**.



### WHAT IS A HEALTH IMPACT ASSESSMENT?

A health impact assessment for the SMTP update was conducted by the Minnesota Department of Health in collaboration with MnDOT. The HIA focused on changes to policy direction related to the plan objectives of transportation safety, critical connections and healthy communities (**Chapter 5**).

Generally speaking, an HIA is a tool to help uncover connections between proposed policies, plans or programs and the impacts on community health. Through its six steps, an HIA can help inform decision-makers before direction is finalized by making evidence-based recommendations. An HIA is one tool that can be used to advance health in all policies and equity.

For more information on MDH’s HIA of the SMTP, visit [www.health.mn.gov/mnhia](http://www.health.mn.gov/mnhia)

More information about MnDOT's family of plans can be found in **Chapter 6**.

## FAMILY OF PLANS

The SMTP provides a framework for a full set of statewide transportation plans. MnDOT plans for all the ways people and goods move throughout Minnesota — individually for each mode and together as a multimodal system. The SMTP identifies overarching guidance and priorities for the entire transportation system. The other statewide transportation plans offer mode-specific strategies, guidance and investment priorities for each part of the system. These plans include aviation, bicycle, freight, highway, pedestrian, ports and waterways, rail and transit. Additional plans provide more detail related to safety, accessibility, operations, technology and more. Together the “family of plans” directs investments, maintenance, operations, modal programs and services for the all types of transportation.

## PARTNERS

Implementing the strategies identified in this plan requires partnerships. Transportation planning involves private partners and all levels of government. Some partners are responsible for the delivery of the system. Others are responsible for providing input, either technical or advocating for a specific interest. The key partners that will help implement this plan include:

- **Transportation partners:** Everyone responsible for the delivery of Minnesota's transportation system. This includes local, regional, state, tribal, federal, private sector and non-profit partners related to all modes of transportation.
- **Local partners:** Agencies and organizations responsible for transportation systems and decisions at the local level. This includes cities, counties, townships, public transit providers, ports and airports.
- **Regional partners:** Metropolitan planning organizations and regional development organizations. When it comes to transportation, regional partners are primarily involved in the planning and programming of projects. However, there are different levels of involvement, responsibilities, and requirements for different regional partners. Regional partners are also often involved in related planning activities such as economic development, land use, etc.

- **State partners:** Agencies and organizations in Minnesota with a statewide mission and transportation interests or impacts. Key state partners include the Minnesota Department of Employment and Economic Development, the Minnesota Department of Agriculture, the Minnesota Department of Health, the Minnesota Housing Finance Agency, the Minnesota Department of Public Safety, the Minnesota Pollution Control Agency, the Minnesota Department of Natural Resources, the Minnesota Environmental Quality Board and Explore Minnesota Tourism.
- **Tribal partners:** The 12 sovereign nations of American Indian peoples with jurisdiction over lands and resources within Minnesota: Bois Forte Band of Chippewa, Fond du Lac Band of Lake Superior Chippewa, Grand Portage Band of Lake Superior Chippewa, Ho-Chunk Nation, Leech Lake Band of Ojibwe, Lower Sioux Community, Mille Lacs Band of Ojibwe, Prairie Island Indian Community, Red Lake Nation, Shakopee Mdewakanton Sioux Community, Upper Sioux Community, and White Earth Nation.
- **Federal partners:** Agencies that provide funding and have policies that impact the delivery of the transportation system. This primarily includes the U.S. Department of Transportation's Federal Aviation Administration, Federal Highway Administration, Federal Railroad Administration, and Federal Transit Administration. Other federal agencies such as the U.S. Environmental Protection Agency, Department of Housing and Urban Development, Department of Commerce / Economic Development Administration, U.S. Army Corps of Engineers, and the U.S. Fish and Wildlife Service also impact transportation decisions.
- **Private sector and non-profit partners:** Transportation advocates, developers, chambers of commerce, construction companies, consultants and private industry. Developers play an important investment role in bringing new transportation infrastructure to Minnesota communities. Private industry partners include railroads and other shippers and carriers.

In addition to the partners identified above, many boards, committees and councils contribute to transportation decisions. State and federal legislators, community leaders and the general public are also active participants in the state's transportation system.



This plan is an update to the 2012 Statewide Multimodal Transportation Plan.

## OVERVIEW OF THE PLAN

The plan is divided into six chapters. The following is a brief summary of each.

**Chapter 1 “What are we trying to achieve?”** sets the scene with the Minnesota GO Vision for transportation. It outlines what Minnesotans said they want for their transportation system to do now and in years to come. This is the long-term goal toward which all transportation plans should lead.

To achieve the Minnesota GO Vision, it is important to know the starting point. **Chapter 2 “Where are we now?”** discusses the state of the state. It describes the existing transportation system, its use and condition, and how each type of transportation is funded.

To effectively plan for the future, it is important to understand how things are changing. **Chapter 3 “What is changing?”** describes key trends in Minnesota’s population, economy, environment, transportation behavior and technology.

Thousands of Minnesotans contributed to this plan. **Chapter 4 “What is directing this plan?”** briefly describes the public engagement activities. It highlights the feedback the public and partners provided on trends and key policy questions. It also includes information on the recent history of transportation planning in Minnesota and how changes in policy and law affected this plan.

With this context on the past, present and future, Minnesota can effectively plan for the state’s transportation system. **Chapter 5 “How will we guide ourselves moving forward?”** presents objectives, performance measures and strategies that will guide Minnesota toward the Minnesota GO Vision over the next two decades.

**Chapter 6 “What is next for MnDOT?”** outlines steps MnDOT will take to advance the plan’s objectives and how progress will be tracked. This includes a near term work plan which describes key actions for MnDOT to complete before the plan is updated in four years. The chapter also outlines how this plan will influence MnDOT’s other statewide plans, capital programs and operating plans.

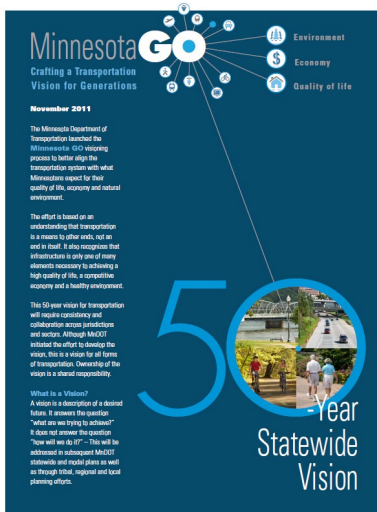
Finally, a set of **Appendices** provide additional information and analyses that guided the development of this plan.



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# Chapter 1

## WHAT ARE WE TRYING TO ACHIEVE?



## A COLLABORATIVE VISION

We do not build transportation systems for the sake of transportation. We create transportation networks and services to support Minnesota’s quality of life and economy.

To better define the aspirations for transportation in the state, the Minnesota Department of Transportation created the 50-year Minnesota GO Vision. Thousands of Minnesotans helped craft the vision, which was adopted in November 2011. It describes a desired transportation system for Minnesota. It also provides guiding principles for state, regional and local transportation planning. It answers the question, “What are we trying to achieve with transportation over the next 50 years?”

### Minnesota GO Vision

The following is the Minnesota GO 50-year Vision for transportation in the state.

## MINNESOTA GO VISION

**Minnesota’s multimodal transportation system maximizes the health of people, the environment and our economy.**

The system:

- Connects Minnesota’s primary assets—the people, natural resources and businesses within the state—to each other and to markets and resources outside the state and country
- Provides safe, convenient, efficient and effective movement of people and goods
- Is flexible and nimble enough to adapt to changes in society, technology, the environment and the economy

### Quality of Life

The system:

- Recognizes and respects the importance, significance and context of place—not just as destinations, but also where people live, work, learn, play and access services
- Is accessible regardless of socioeconomic status or individual ability

### Environmental Health

The system:

- Is designed in such a way that it enhances the community around it and is compatible with natural systems
- Minimizes resource use and pollution

### Economic Competitiveness

The system:

- Enhances and supports Minnesota’s role in a globally competitive economy as well as the international significance and connections of Minnesota’s trade centers
- Attracts human and financial capital to the state

## Guiding Principles

The following principles will guide future policy and investment decisions for all forms of transportation throughout the state. They are listed in no particular order. The principles are intended to be used collectively.

### GUIDING PRINCIPLES

**Leverage public investments to achieve multiple purposes:** The transportation system should support other public purposes, such as environmental stewardship, economic competitiveness, public health and energy independence.

**Ensure accessibility:** The transportation system must be accessible and safe for users of all abilities and incomes. The system must provide access to key resources and amenities throughout communities.

**Build to a maintainable scale:** Consider and minimize long-term obligations—don't overbuild. The scale of the system should reflect and respect the surrounding physical and social context of the facility. The transportation system should affordably contribute to the overall quality of life and prosperity of the state.

**Ensure regional connections:** Key regional centers need to be connected to each other through multiple modes of transportation.

**Integrate safety:** Systematically and holistically improve safety for all forms of transportation. Be proactive, innovative and strategic in creating safe options.

**Emphasize reliable and predictable options:** The reliability of the system and predictability of travel time are frequently as important or more important than speed. Prioritize multiple multimodal options over reliance on a single option.

**Strategically fix the system:** Some parts of the system may need to be reduced while other parts are enhanced or expanded to meet changing demand. Strategically maintain and upgrade critical existing infrastructure.

**Use partnerships:** Coordinate across sectors and jurisdictions to make transportation projects and services more efficient.



## A RENEWED COMMITMENT

When the previous version of the Statewide Multimodal Transportation Plan was adopted in 2012, it was the first plan based on the Minnesota GO Vision. Since then, other MnDOT and partner plans have built upon the vision. This SMTP update continues the last five years of planning activities. It provides a revised set of strategies to advance the vision. Since the last plan, there are new opportunities and challenges. Progress has been made toward the vision, but we are not there yet. This update renews the state's commitment to the Minnesota GO Vision, but it will take all transportation partners to bring the vision closer to a reality.







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## Chapter 2

### WHERE ARE WE NOW?

More detailed information about Minnesota and the ways the state is changing can be found in **Chapter 3**.

## MINNESOTA AT A GLANCE

Minnesota’s quality of life and economic well-being rely on an efficient and reliable transportation system. The system connects businesses to suppliers and customers near and far. The system also allows people to get to their jobs and schools, see the doctor and take advantage of the state’s many cultural, entertainment and recreational opportunities. Minnesota and the state’s transportation system have great strengths but there are also challenges. Identifying where the state is today can help make better plans for the future.

**Table 2-1** highlights key characteristics of Minnesota. **Figure 2-1** shows Minnesota’s population by county.

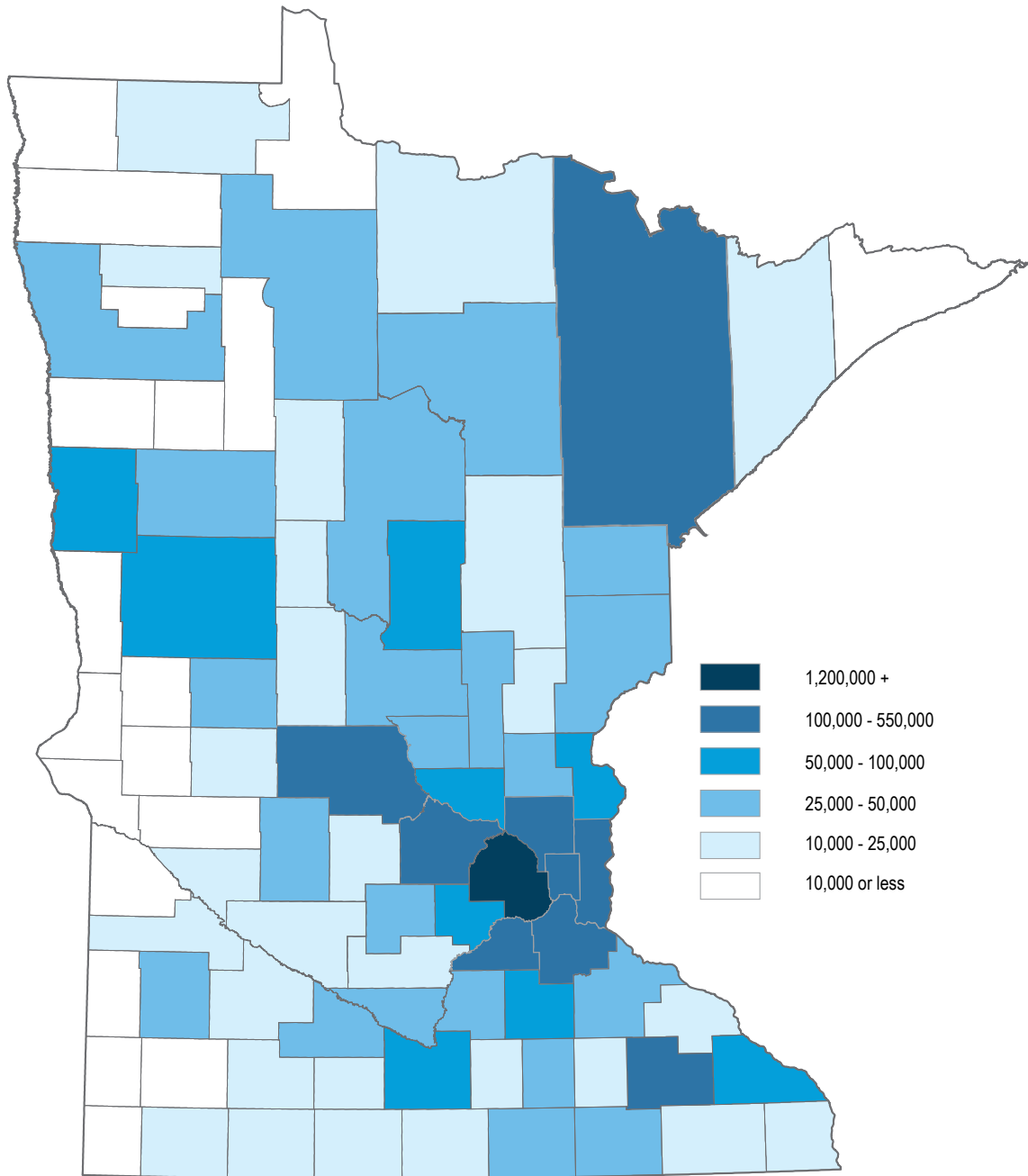
Table 2-1: Minnesota at a glance, 2016

Sources: [MN Department of Employment & Economic Development, 2015](#); [MN Department of Natural Resources](#)

CHARACTERISTIC	CURRENT STATUS
Population	5,489,594 (21st largest)
State Area	86,939 sq. mi. (12th largest)
Population Density	67.1 people / sq. mi. (31st highest)
Median Household Income	\$58,906 (9th highest)
Median Household Size	2.48 people
Largest City (by population)	Minneapolis (407,207)
Largest County by Population	Hennepin County (1.2 million)
Largest County by Area	St. Louis County (6,225 sq. mi.)
Gross State Product	\$317.24 billion (16th highest)
Largest Industries (by Gross State Product)	1. Financial Services 2. Manufacturing 3. Professional and Business
Biomes	Coniferous Forest
	Deciduous Forest
	Prairie Grassland
	Tallgrass Aspen Parkland
Lakes (10+ acres in size)	11,842



Figure 2-1: Minnesota population by county, 2015



## EXISTING TRANSPORTATION SYSTEM

Minnesota has a vast multimodal transportation system that includes roads, rail lines, airports, ports, waterways, pipelines, transit systems, trails, paths, sidewalks and more. MnDOT and local, regional, state, tribal and federal government partners, along with private sector and non-profit partners keep the system running. **Table 2-2** highlights key characteristics of the transportation system in Minnesota.

The following sections provide more detail on the background, use and performance of each part of the system.

Table 2-2: Snapshot of Minnesota's transportation system, January 2017

CHARACTERISTIC	CURRENT STATUS
All Streets, Roads and Highways	142,914 centerline miles
State Trunk Highways	11,814 miles
County Roads	44,821 miles
City Streets	22,414 miles
Township Roads	58,686 miles
Other Public Roads	4,405 miles
Sidewalk Miles	620 miles along state highways, plus thousands more along local roadways
National and State Designated Bicycle Routes	818 miles
Designated Trails	More than 4,000 miles
Bicycle Sharing	One provider (Nice Ride MN) operating in Minneapolis and St. Paul; other informal systems in communities statewide
Twin Cities Transit (seven county area)	212 bus routes (110 local routes, 102 express routes), two light rail transit corridors, one highway bus rapid transit route, one arterial bus rapid transit route and dial-a-ride service
Greater Minnesota Transit	76 of 80 (non-Twin Cities) counties with county-wide transit service, four counties with municipal service only, seven fixed-route systems and seven small urban systems
Intercity Bus	87 destinations served in the state as well as every metropolitan area in the Midwest
Freight Rail	4,485 track miles served by 21 railroad companies
Commuter Rail (see transit section for light rail) information	Northstar commuter rail line (Big Lake to Minneapolis)
Intercity Passenger Rail	Amtrak Empire Builder (Chicago to Seattle)
Airports	388 airports in Minnesota, including 135 public airports, nine with commercial airline service
Great Lakes Ports	Four ports on Lake Superior
River Ports	Four public ports on 219 miles of the Mississippi River system (including the Minnesota and St. Croix rivers)
Pipelines	9,347 miles
Carsharing	Two systems (HOURCAR and Zipcar) operating in Minneapolis, St. Paul, Winona and Mankato
Ridesharing	Many local taxi companies, along with emerging ridesharing companies such as Lyft and Uber

## Streets, Roads & Highways

Minnesota has the fifth largest system of streets, roads and highways in the country. As a whole, the network is made up of 142,914 miles of public roadways across state, county, city and township systems. For context, the state ranks 21st in population and 12th in geographic size. **Figure 2-2** shows the existing state highway network. This network is the backbone of Minnesota's roadway system. It includes routes designated as part of the National Highway System and other state roads. The state highway network is approximately 8 percent of all roadways in Minnesota.

Figure 2-2: Minnesota's state highway network



Minnesota’s roadway network changed over time to meet the needs of those who use it. Total growth in vehicle miles traveled leveled off in 2004 after decades of rising faster than population growth (see **Figure 2-3**). The total miles traveled by vehicles in the state remained relatively unchanged from 2004 until 2014. Statewide, vehicle miles traveled grew by 3 percent from 2014 to 2015. This growth rate is significantly more than previous years, but is less than the national rate.<sup>1</sup> **Figure 2-4** shows how vehicle miles traveled are distributed across the different roadway systems in Minnesota. All transportation partners need to continue to monitor changes in vehicle miles traveled over time to determine what the long-term trend will be.

Figure 2-3: Total vehicle miles traveled in Minnesota

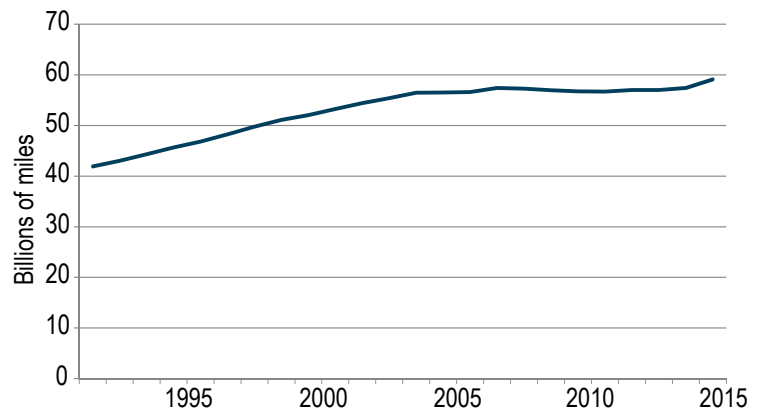
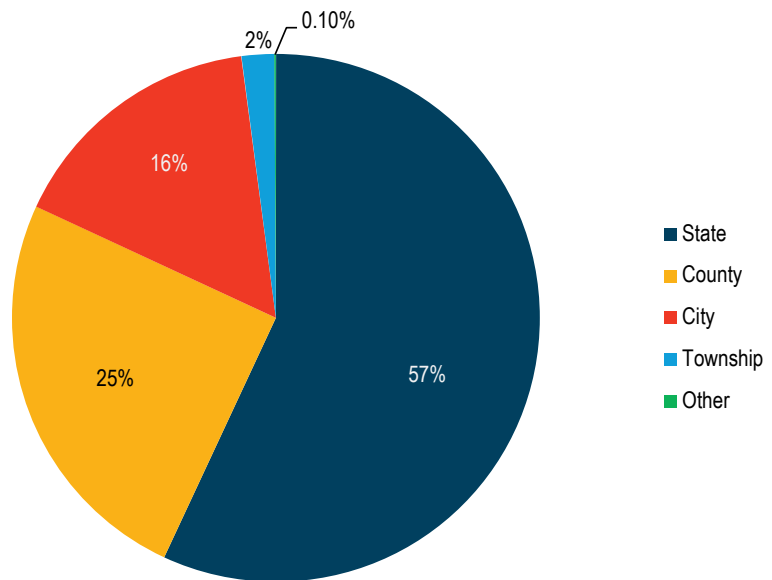


Figure 2-4: Percentage of vehicle miles traveled by roadway system, 2015



<sup>1</sup> Federal Highway Administration, 2015

Faced with an extensive, rapidly aging system and increasing construction costs statewide, transportation partners in Minnesota are struggling to keep the roadway system in good repair. More than 2 percent of pavements on the interstate system in Minnesota are in poor condition. This is greater than the state's target of having less than 2 percent of Interstate pavements in poor condition. For the non-interstate National Highway System roadways, less than 3 percent of pavements are in poor condition. This beats the target of less than 4 percent. Similarly, for the non-NHS roadways, approximately 5 percent of pavements are in poor condition. This beats the target of less than 10 percent. Generally, the percentage of pavements in poor condition across all roadway systems is expected to increase in the future.

Despite improving from 2014 to 2015, MnDOT is not currently meeting the state's target for percentage of NHS bridges in poor condition. Three percent of NHS bridges were in poor condition in 2015. The target is less than 2 percent. The percentage of NHS bridges in poor condition is expected to remain steady in the near future. The percentage of non-NHS bridges in poor condition is beating the target of less than 8 percent by a significant margin. Currently, 3.1 percent of non-NHS bridges are in poor condition. Further improvements are expected in coming years.

In 2015, Minnesota reached a new five-year high in traffic fatalities after making substantial progress in reducing deaths on the system since 2005. In total, 411 travelers lost their lives due to motor-vehicle crashes in Minnesota during 2015 (**Figure 2-5**). This includes any crash involving a motor vehicle, including crashes with bicyclists and pedestrians. The number of serious injuries on the roadway system increased from 1,044 in 2014 to 1,127 in 2015. However, the total number of serious injuries remained lower than any other year this decade.

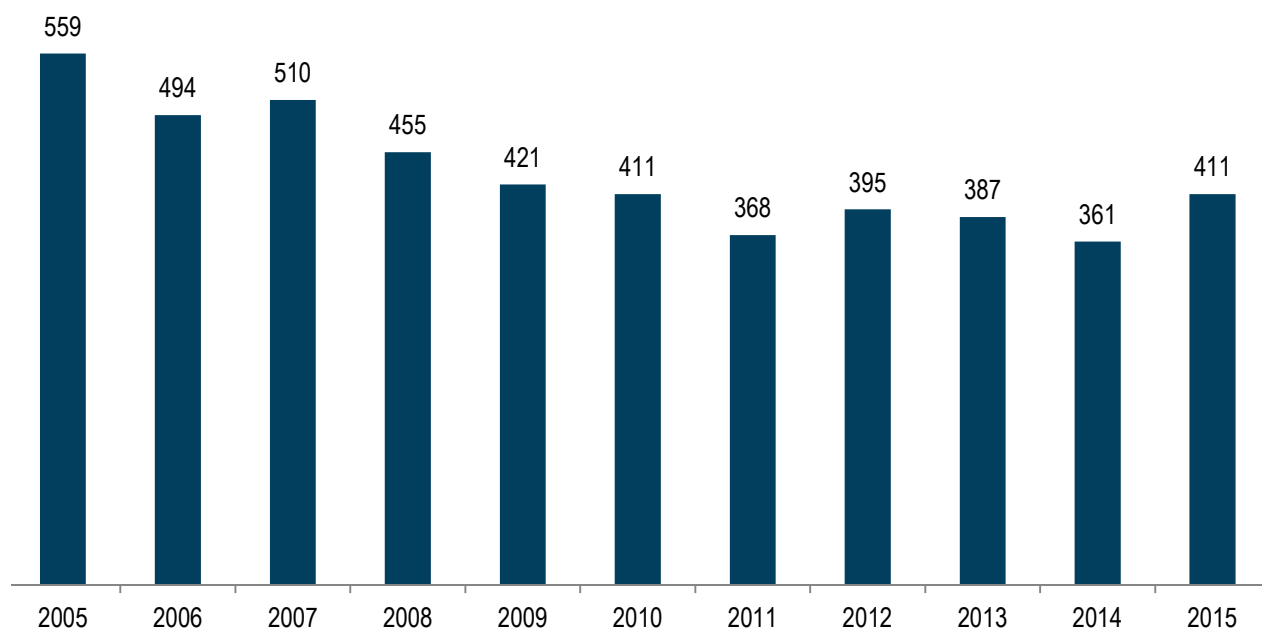
#### HOW DOES MNDOT DEFINE "POOR" PAVEMENT?

MnDOT uses performance measures and targets to inform decision-making. One key performance measure is pavement condition. The target for this measure is based on limiting the number of roadway miles in "poor" condition.

Pavement condition is determined through the Ride Quality Index, a measure of roadway smoothness. Poor condition is defined as a pavement surface with a RQI of two or less.

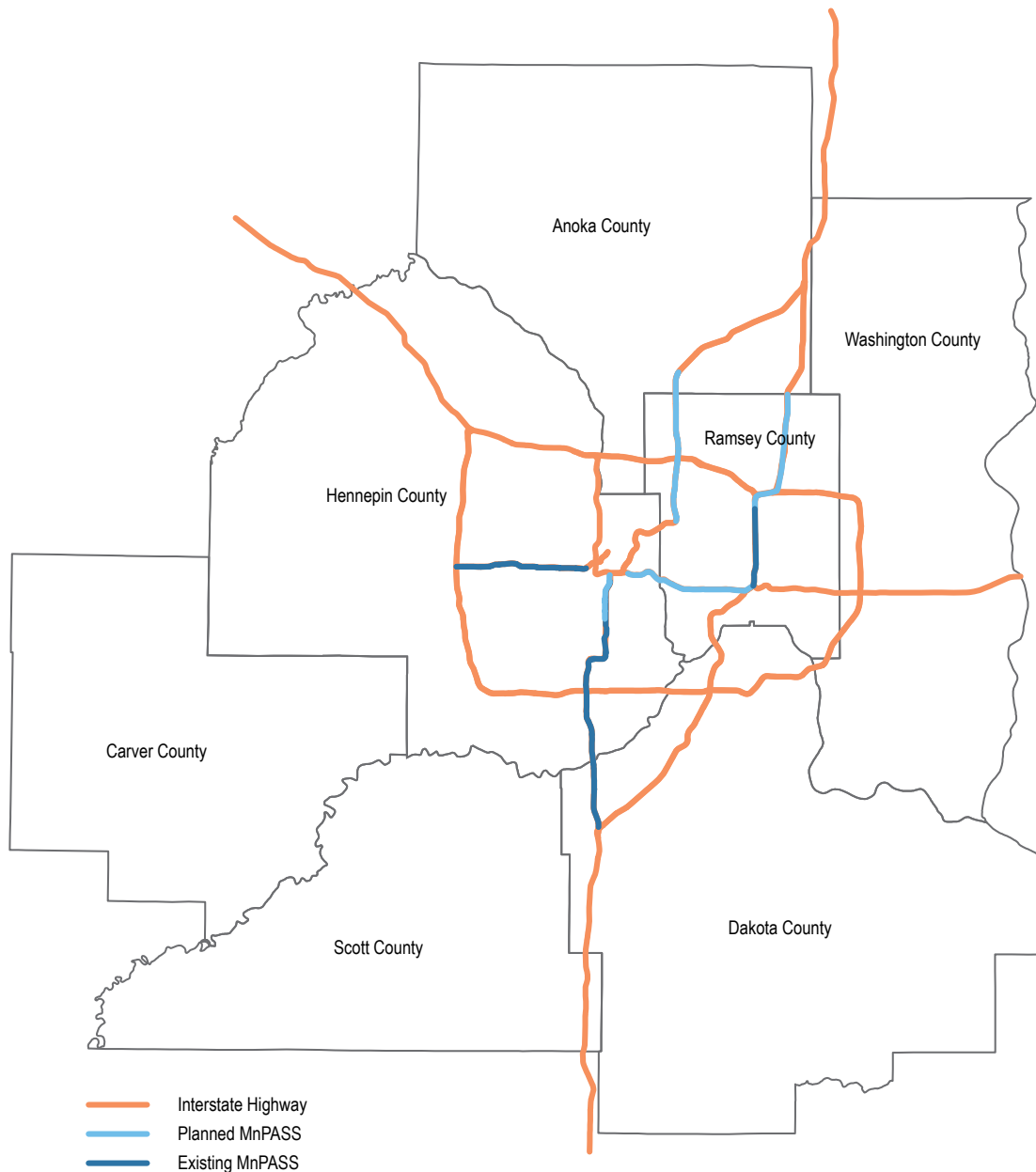
Similar metrics are used for other assets in addition to pavement, such as bridge condition.

Figure 2-5: Traffic fatalities on Minnesota roads, 2005 to 2015



The Twin Cities' MnPASS Express Lane System continues to expand. MnPASS lanes were first implemented on the Twin Cities' freeway system in 2005 along I-394. This automated toll lane and other managed lane technologies have since been extended to portions of I-35W and I-35E. These technologies are also under consideration for other parts of the Twin Cities. **Figure 2-6** shows the existing and Tier 1 planned MnPASS corridors in the Twin Cities. Additionally, Smart Lanes are operating on segments of I-35W and I-94. These systems use electronic signs above each lane of traffic to improve traffic flow, reduce congestion and improve safety by providing real-time information about road conditions.

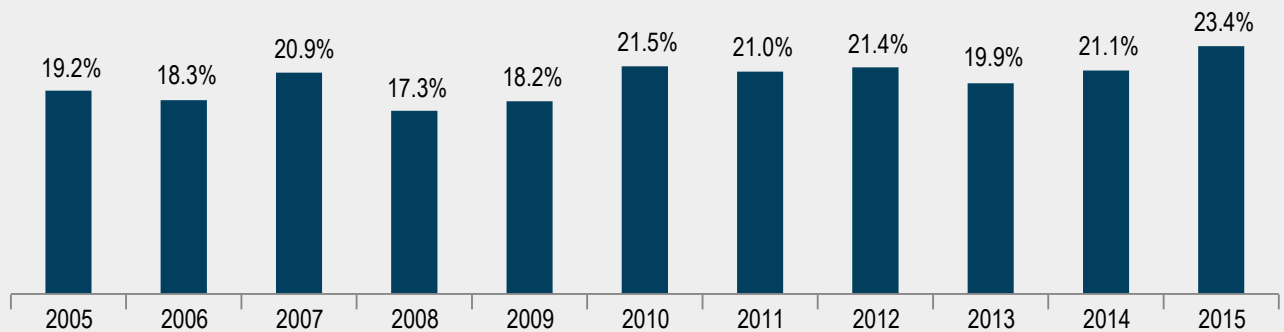
Figure 2-6: Existing and Tier 1 planned MnPASS corridors



## CONGESTION IN THE TWIN CITIES

There are many ways to think about slow-downs on the roadway system. Delays and congestion can be measured in the amount of time or fuel wasted, cost to travelers or reductions in access to destinations within a given amount of time. MnDOT keeps detailed data on motor vehicle congestion in the Twin Cities, and collects and analyzes travel time reliability data for Greater Minnesota. Currently, MnDOT measures motor-vehicle congestion in the Twin Cities based on travel speed. Despite the inconvenience, stress and other negative side effects of congestion, it is also a sign that local economies are flourishing. Freeway congestion levels in the Twin Cities have remained relatively constant since the mid-2000s, with a little more than 20 percent of freeway miles congested during peak travel periods. There was an increase in freeway congestion in 2015. It is unclear at this time whether this represents a long-term trend of increasing freeway congestion.

Figure 2-7: Percentage of freeway miles in the Twin Cities operating below 45 MPH during peak periods, 2005 to 2015





## Bicycling & Walking

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For bicycling and walking, local connections are often more important than long-distance connections since most trips occur within communities. However, at the state level, Minnesota currently has one designated U.S. Bicycle Route – the Mississippi River Trail. This route includes 818 miles of designated bicycle facilities on state and local roads and trails. Additionally, there are more than 4,000 miles of trails for bicycling and walking in the state. **Figure 2-8** highlights existing state trails and priority bicycle corridors that MnDOT will consider for infrastructure improvements and future designation as state bicycle routes. There are also many, many more on-road infrastructure facilities that support bicycling and walking. Examples of on-road bicycle and pedestrian facilities include bicycle lanes, shared use paths, sidewalks and widened or paved shoulders.

Rates of bicycling and walking increased in the Twin Cities from 2001 to 2010.<sup>2</sup> Overall, 2 percent of trips in the Twin Cities are completed by bicycle and 6 percent are completed on foot.<sup>3</sup> In Minneapolis, the number of regular bicycle commuters roughly tripled in 15 years, from almost 4,000 in 2000 to almost 12,000 in 2015.<sup>4</sup> MnDOT is currently developing a network of index counting locations to better understand trends in bicycling and walking in Greater Minnesota.

From 2006 to 2015, there was an average of 876 pedestrian and 922 bicycle crashes per year. Although overall motor vehicle fatalities and serious injuries decreased over the past 10 years, fatalities and serious injuries involving bicyclists and pedestrians remained unchanged. In 2006, 38 pedestrians and eight bicyclists lost their lives on Minnesota roadways. In 2015, 41 pedestrians and 10 bicyclists were killed as a result of crashes with motor vehicles.

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<sup>2</sup> Levinson, David; Greg Lindsey; Yingling Fan, Jason Cao, Michael Iacono, Martin Brosnana, Andrew Guthrie and Jessica Schoner (2015) "Chapter 5: Biking and Walking over Time" Travel Behavior Over Time. University of Minnesota Center for Transportation Studies, Sponsored by: Minnesota Department of Transportation and the Metropolitan Council.

<sup>3</sup> [Metropolitan Council Travel Behavior Inventory, 2010](#)

<sup>4</sup> 2000 U.S. Census & 2005 to 2015

Figure 2-8: Minnesota's designated state trails and priority future bicycle corridors



## Public Transit in the Twin Cities

A variety of public transit options are available in the Twin Cities. Current options include regular and express bus routes, light rail transit, commuter rail and bus rapid transit. Dial-a-ride service is also available throughout the region. All 187 cities and townships in the seven-county metro have access to some form of public transit service. Between 2005 and 2015, transit ridership in the Twin Cities grew by nearly 25 percent. Total ridership was 98.8 million in 2015. **Figure 2-9** shows where fixed-route public transit is available in the Twin Cities in addition to planned transitway corridors under development. **Figure 2-10** shows total transit ridership since 2005.

Figure 2-9: Existing and planned Twin Cities' fixed-route public transit

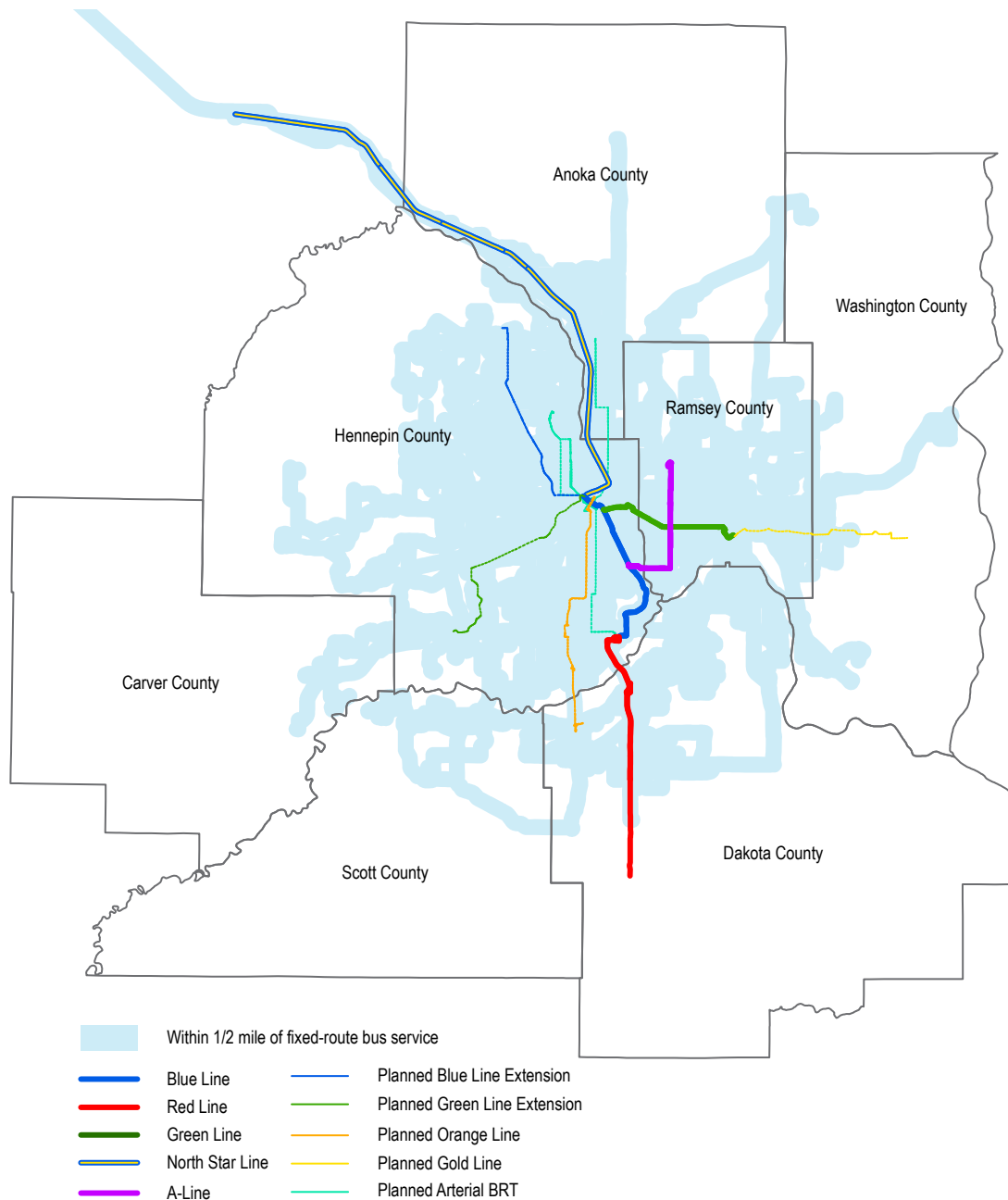
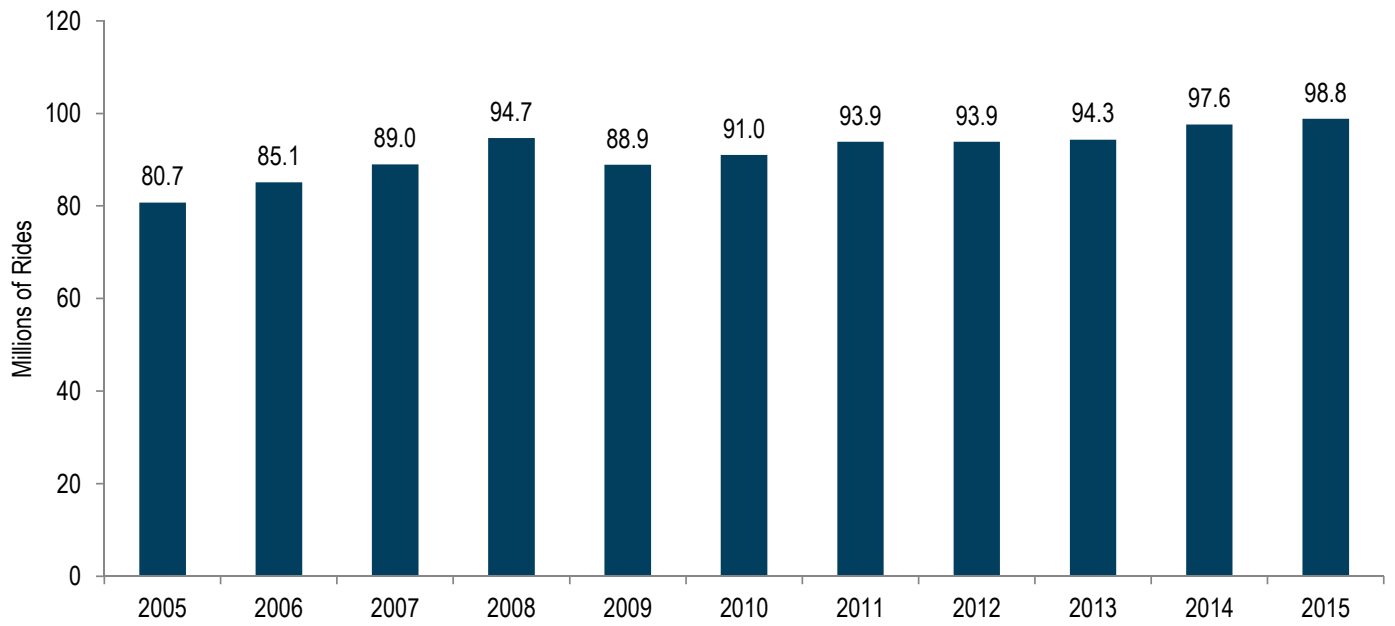


Figure 2-10: Twin Cities transit ridership, 2005 to 2015



## Public Transit in Greater Minnesota

Seventy-six out of the 80 counties in Greater Minnesota have county-level transit service. The remaining four counties have service within one or more cities, but not at the county-level. Additionally, there are seven fixed-route systems in Greater Minnesota's metropolitan areas, seven small urban systems and four systems operated by tribal nations. **Figure 2-11** shows public transit service in Greater Minnesota. Between 2005 and 2015, ridership increased by more than 25 percent across Greater Minnesota. In 2015, total transit ridership was at a 10-year high of 12.2 million, as shown in **Figure 2-12**.

Figure 2-11: Greater Minnesota transit service

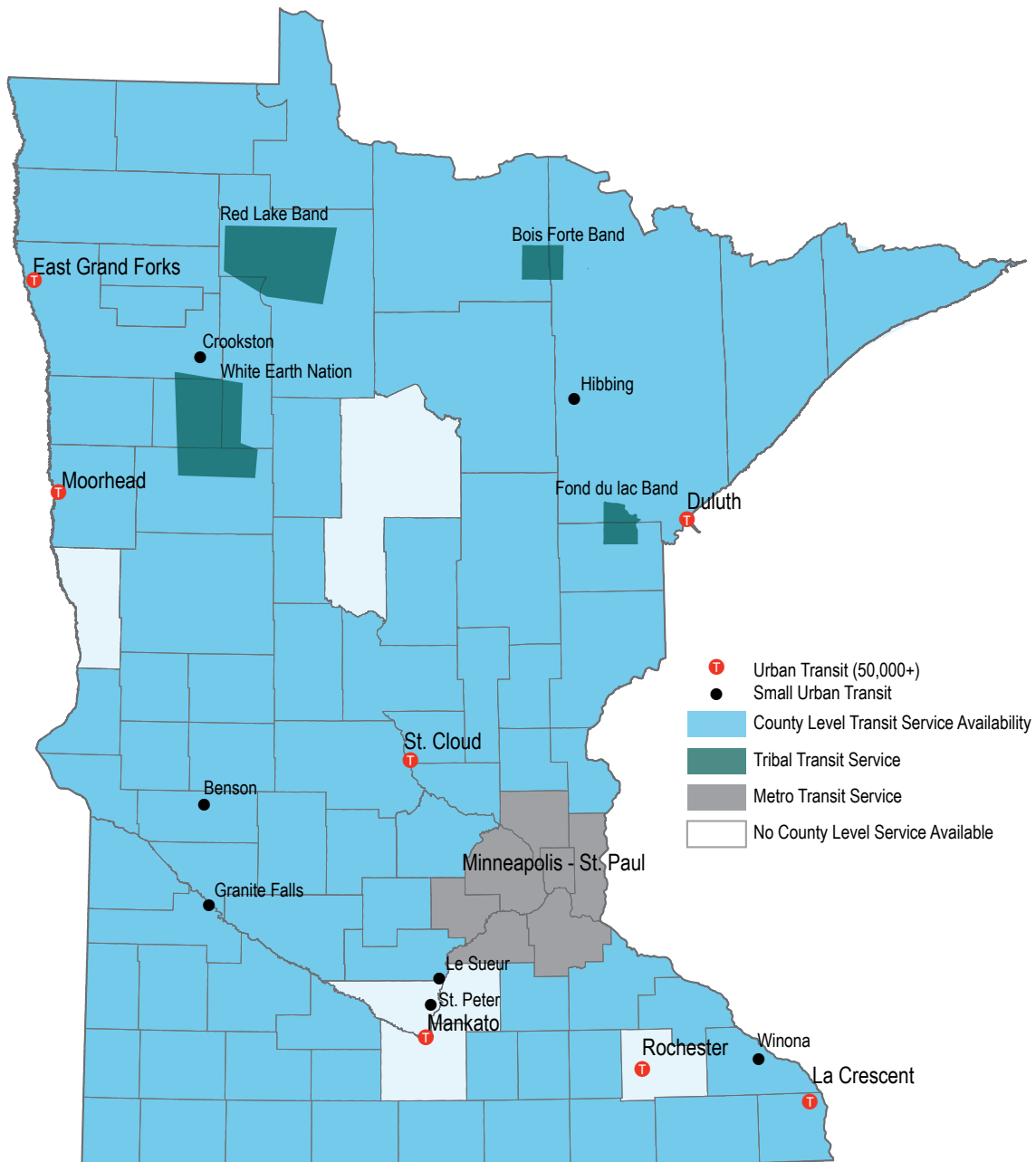
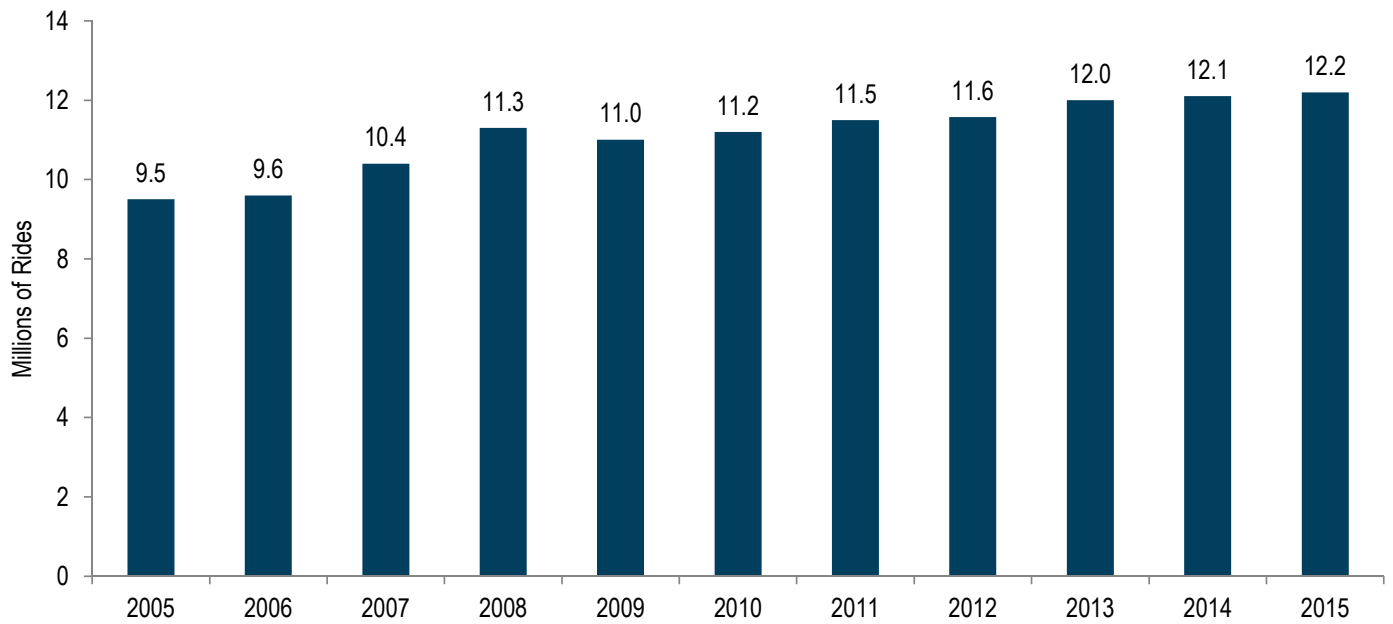


Figure 2-12: Greater Minnesota transit ridership, 2005 to 2015

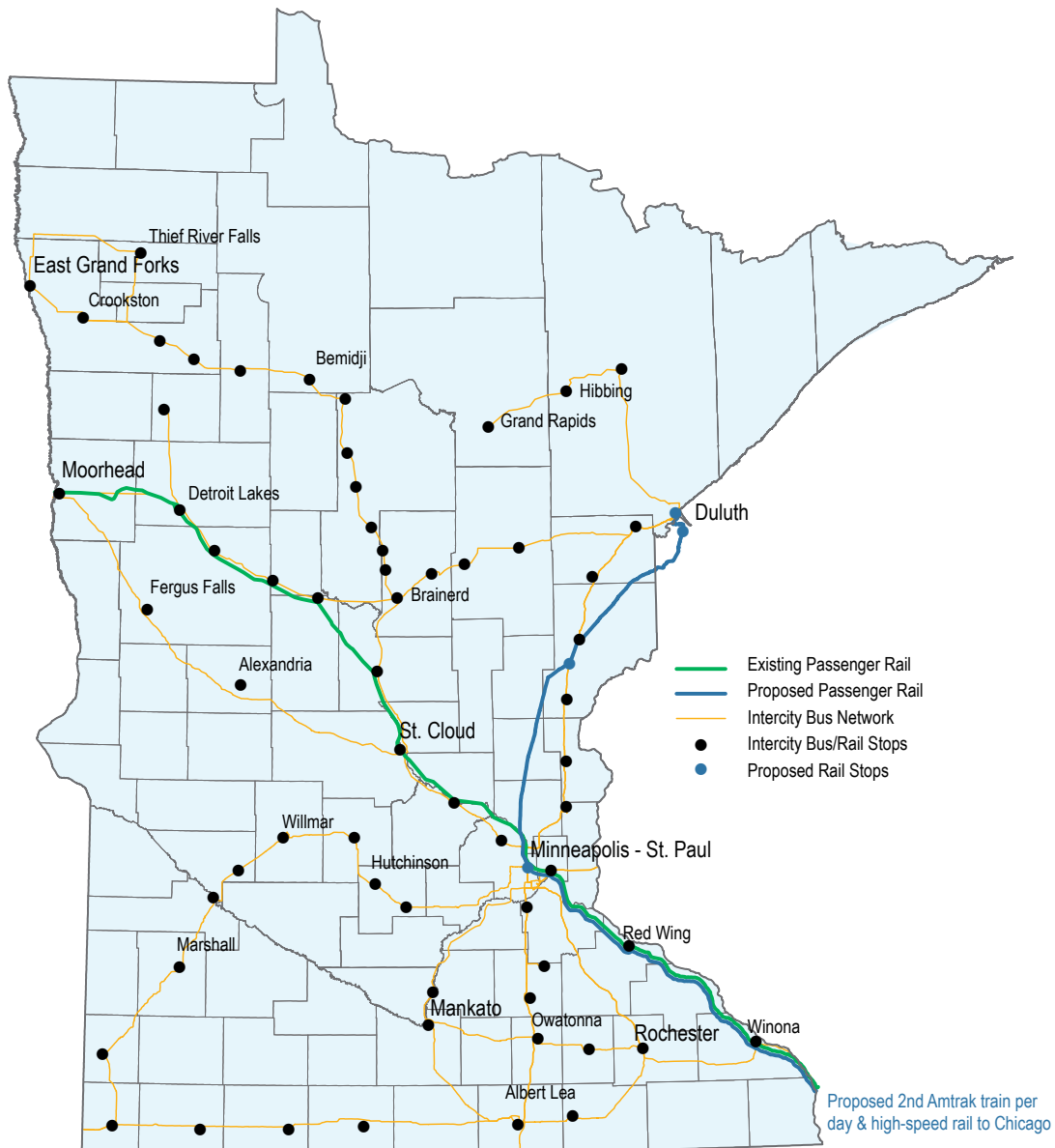


## Intercity Bus & Rail Passenger Services

Minnesota has intercity passenger rail and bus service. Greyhound, Jefferson Lines, Land to Air Express, Northfield Lines, Rainbow Rider and Megabus provide intercity bus service to 87 destinations across the state. These services also connect to every major metropolitan area in the Midwest. Minnesotans took 49,801 rides on intercity bus routes in 2015.

Amtrak's Empire Builder route offers passenger rail service between Chicago and Seattle, stopping at stations in six Minnesota cities (Detroit Lakes, Staples, Saint Cloud, Saint Paul-Minneapolis, Red Wing and Winona). Additional corridors are being considered for future passenger rail service. **Figure 2-13** shows the existing and planned intercity passenger rail corridors and intercity bus network in Minnesota.

Figure 2-13: Minnesota's existing and planned intercity passenger rail corridors and existing intercity bus network





## Freight Rail

As of 2015, there were 21 railroad companies operating in Minnesota on 4,485 route miles of track. The state ranks eighth in total track mileage. The main products shipped on Minnesota's freight rail system are metallic ores, cereal grains and other food products. Minnesota ranks first in the nation in tons of iron ore shipped, third in food products and third in farm products. **Figure 2-14** shows the existing freight rail network in Minnesota. **Figure 2-15** shows the mix of commodities that are shipped on Minnesota's freight rail network.

Figure 2-14: Minnesota's freight rail network

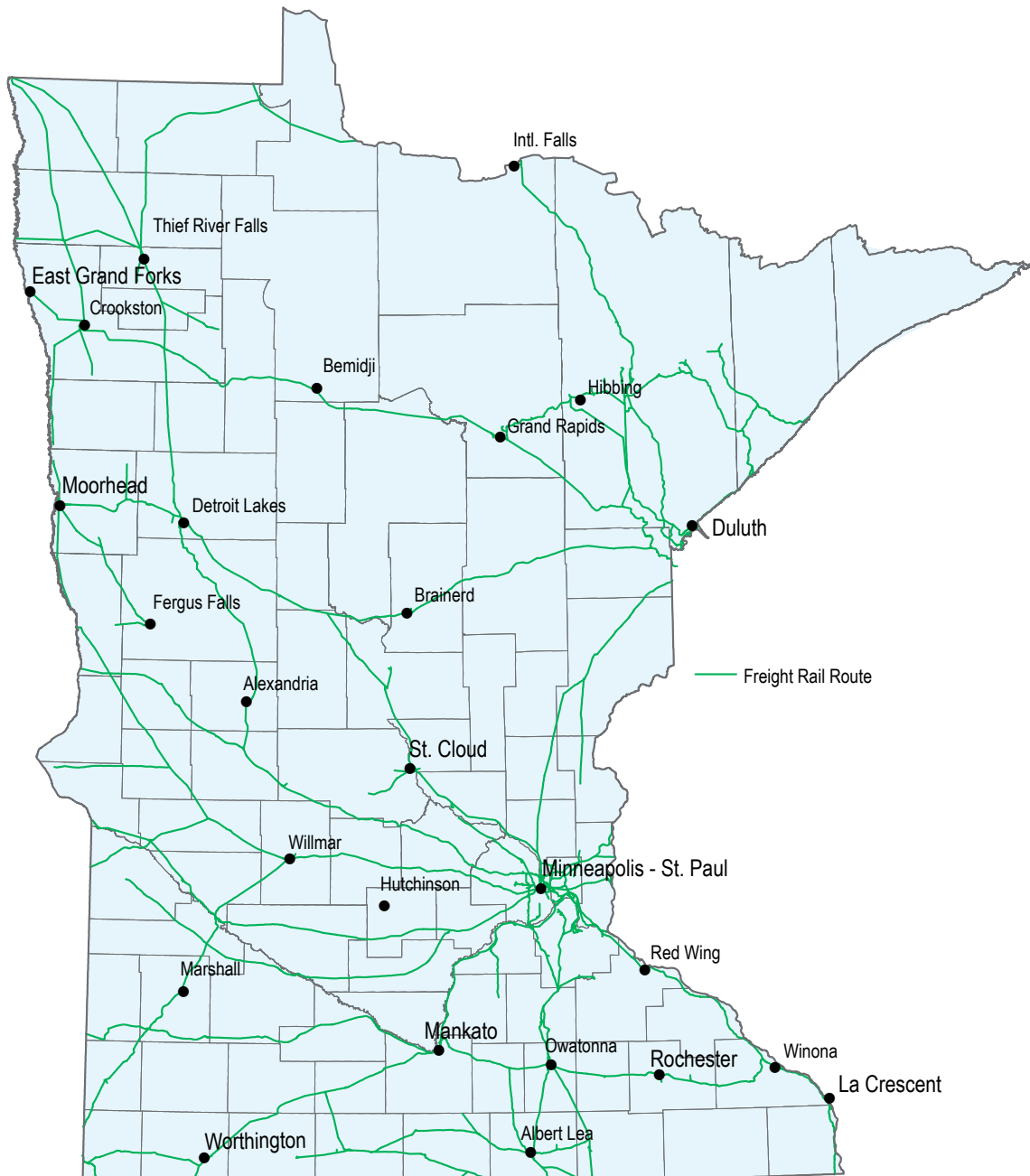
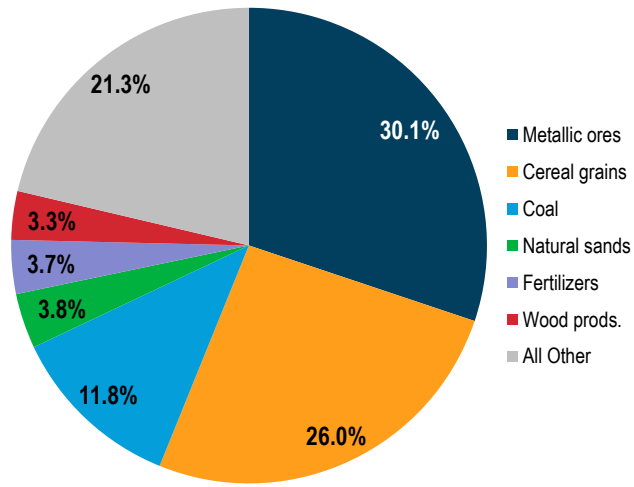


Figure 2-15: Commodities shipped on Minnesota’s freight rail network by weight, 2015

Source: [Federal Highway Administration](#), this data includes only shipments to, from or within Minnesota and does not include shipments passing through the state.



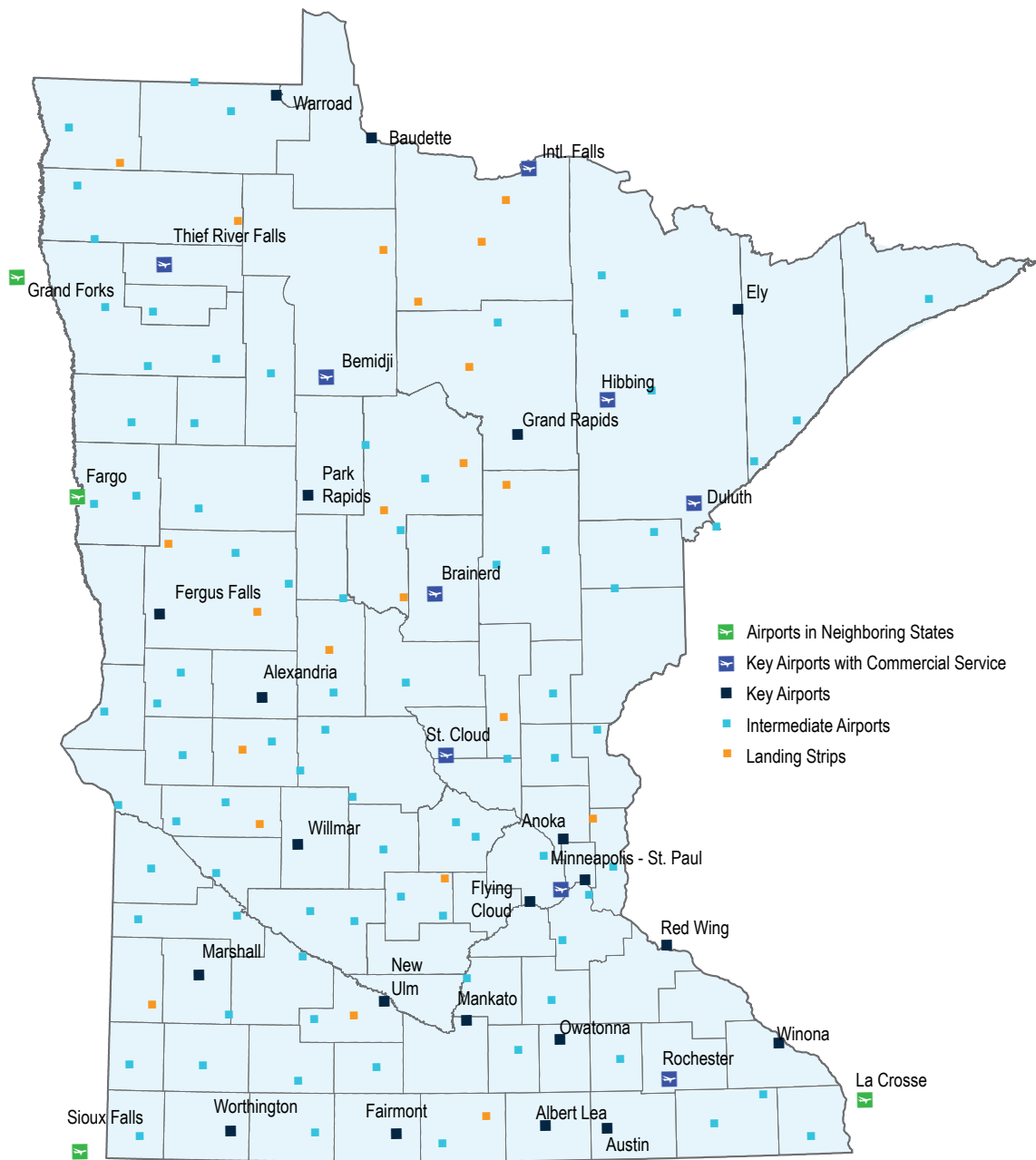
## Air

Minnesota’s air transportation system includes 388 airports, 135 of which are publicly funded. Some common aviation activities include personal travel, cargo services, medical transport, agricultural spraying and aerial surveying. Nine of the state’s airports offer ticketed airline service – Minneapolis-St. Paul, Bemidji, Brainerd, Duluth, Hibbing, International Falls, Rochester, St. Cloud and Thief River Falls.

Minnesota’s runways and taxiways are generally in good condition. Currently, over 94 percent of runway and taxiway pavements meet or exceed the standard for good quality. This beats the target of 84 percent or greater. Less than 3 percent of runways and taxiways are in poor condition. This meets the target of less than 4 percent.

Airports are classified based on their size and role in supporting their community. **Figure 2-16** shows the existing airport network serving Minnesota.

Figure 2-16: Minnesota's aviation system



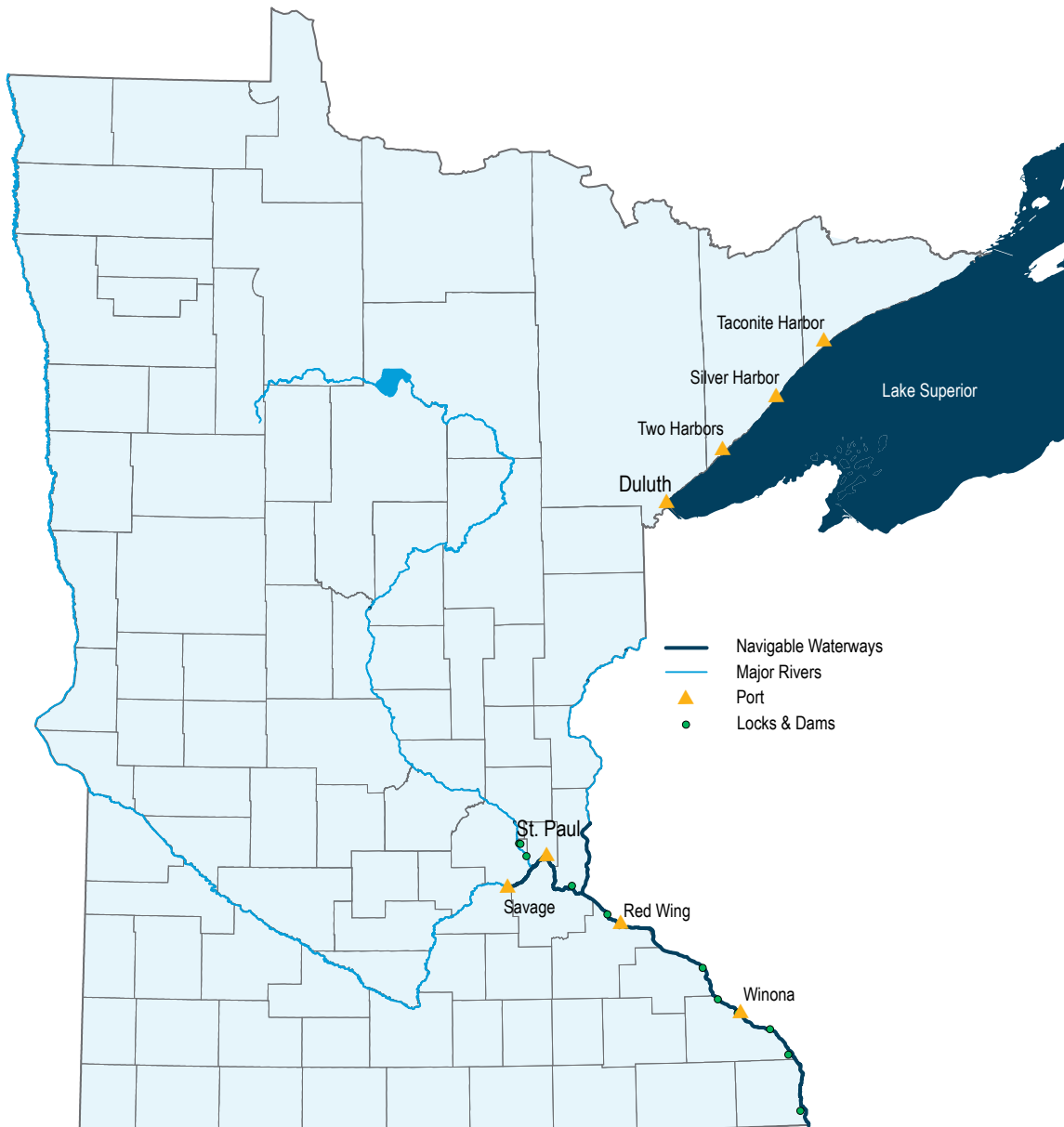
## Ports & Waterways

Minnesota has four ports on Lake Superior. They are located in Taconite Harbor, Silver Bay, Two Harbors and Duluth-Superior. The combined tonnage shipped from these ports in 2014 was more than 57 million net tons.

There are 219 miles of the Mississippi River that are used to move goods in Minnesota. The river supports four public port areas in Red Wing, Saint Paul, Savage and Winona. These ports were used to transport 10.7 million net tons in 2014.

The largest commodity category by tonnage shipped on Minnesota's waterways is iron ore, iron and steel waste, and scrap. **Figure 2-17** highlights the port and waterway system in Minnesota.

Figure 2-17: Minnesota's ports and waterway system



# TRANSPORTATION FUNDING IN MINNESOTA

Many partners are involved in funding Minnesota’s transportation system. The federal government, tribal governments, state government, counties, cities, townships, metropolitan planning organizations, private corporations and non-profit organizations all provide transportation funding or help decide how money is spent. However, the specific role each partner plays is different. Some partners provide money through one or more funding sources. Others only provide direction for how money from certain sources should be spent. Most partners do both. For each mode of transportation, the mix of funding partners is different. For example, local units of government provide the largest portion of funding for Minnesota roadways. However, the state’s rail system is primarily supported through funding from private corporations.

Funding sources can be grouped into two categories based on where the money comes from – transportation revenue or general revenue. Transportation revenue describes funding raised through the use of the transportation system or related activities. This includes taxes, fees and profits connected to transportation. Examples of transportation revenue are fuel taxes and money collected from passenger fares. Conversely, general revenue describes funding that is not directly tied to a transportation activity, such as property taxes. All transportation modes are funded to some extent by both transportation revenue and general revenue.

Different rules guide how money is allowed to be spent. Generally speaking, funds from public sources are distributed to specific projects and activities through programs (Figure 2-18). A funding source may contribute to only one program or many. Specific projects are often funded from more than one program. Putting it all together is a complex puzzle. Funding for any given project depends on a variety of factors such as the project purpose, transportation mode, scope, lead organization and timing.

## TRANSPORTATION REVENUE VS. GENERAL REVENUE

**Transportation revenue** describes funding raised through the use of the transportation system or related activities. This includes taxes, fees and profits connected to transportation. Examples of transportation revenue are fuel taxes and money collected from passenger fares.

**General revenue** describes funding that is not directly tied to a transportation activity, such as property taxes.

All transportation modes are funded to some extent by both transportation revenue and general revenue.

Figure 2-18: Transportation funding process



## FUNDING VS. FINANCING

**Funding** refers to money available at the time of a project, such as having \$20 in one's wallet. Examples of funding sources are taxes and fees.

**Financing** is money provided with the expectation that it will be paid back, usually with interest. This is like charging something to a credit card or taking out a loan. The money eventually needs to be repaid from a funding source. An example of financing is bonding.

Funding and financing are both used to support transportation in Minnesota.

Transportation projects can be grouped into different categories based on the type of activity. At a high level, the main types of activities are:

- Capital, which includes the construction of facilities and purchase of equipment. It can also include activities necessary to deliver capital projects such as planning, purchase of land, design, etc.
- Maintenance, which includes the rehabilitation of existing facilities and equipment, such as roadway repair.
- Operations, which includes activities that support the safe use of the system such as inspections, bus driving, plowing, traffic control, etc.

In addition to funding, financing is also an important tool used to support Minnesota's transportation system. Funding refers to money available at the time of a project, such as having \$20 in one's wallet. Examples of funding sources are taxes and fees. Financing, on the other hand, is money provided with the expectation that it will be paid back, usually with interest. This is like charging something to a credit card or taking out a loan. The money eventually needs to be repaid from a funding source. An example of financing is bonding. Funding and financing are both useful but it is important to understand the difference between them.

How the funding and financing pieces come together to build, maintain and operate the system is different for each mode of transportation. The following sections identify the key funding sources and programs for each transportation system - air, port and waterways, rail and surface transportation, which includes roadways, trails, transit and intercity bus service.

The information in this chapter represents a snapshot in time. It reflects current funding conditions, which may change as new laws or guidance are developed or as the use of the system changes. The summary is not an accounting of every dollar spent on transportation in Minnesota. Rather, it focuses on identifying the key funding sources and programs, and the relationships between them. It also focuses primarily on public sources of funding due to information availability.

# Roadways, Trails & Transit

## ROADWAYS

The majority of roadways in Minnesota are the responsibility of local units of government – cities, counties, townships. Capital, maintenance and operations activities on these roadways are primarily funded by local general revenue, such as property taxes. State transportation revenue also supports some local roadways through the State Aid for Local Transportation program. Additionally, some federal programs target funding to local roadways.

The state highway system consists of interstates, U.S. highways and Minnesota highways. These roadways make up about 8 percent of the total roadway miles in Minnesota. For these roadways, state transportation revenue, specifically the state gas tax, is the largest funding source for capital, maintenance and operations activities. Federal programs are also a significant source of funding for the state system. They make up about a quarter of the funding for capital projects.

In addition to motor vehicles, bicyclists and pedestrians can also legally use Minnesota roadways, except where explicitly prohibited. Many roadways include specific bicycle and pedestrian elements to encourage safety for all users. Examples of these elements include bicycle lanes, sidewalks and widened or paved shoulders. Since these elements are often included as part of roadway projects, they are typically funded by many of the same sources that fund general roadway projects.

## TRAILS

In addition to on-road bicycle and pedestrian facilities (described in the previous section), trails, or shared-use paths, also provide important connections for those bicycling and walking. In Minnesota, trails are funded through a variety of programs at the federal, state and local levels. There are consistent funding programs for these projects at all levels but the specific amount available from each source varies year by year. Funding levels are affected by things such as the amount of money set aside by Congress or the Minnesota Legislature, bonding levels and how well proposed projects compete in various program solicitations.

## SURFACE TRANSPORTATION AT A GLANCE

### Size:

- 142,914 roadway miles
- 818 miles of designated bicycling routes
- 620 miles of sidewalk along the state highway system and many more along local roadways
- More than 4,000 miles of designated trails
- 212 bus routes, two light rail lines, two bus rapid transit routes and dial-a-ride service in the Twin Cities
- 42 Greater Minnesota public transit systems, plus four tribal systems
- Intercity bus connections to 87 destinations

### Use:

- 59.1 billion vehicle miles traveled on Minnesota roadways
- Minnesota roadways also carry bicycle and pedestrian traffic, as do trails
- 98.8 million rides on Twin Cities transit
- 12.2 million rides on Greater Minnesota transit
- 49,801 rides on Minnesota intercity bus routes

### Responsibility:

- The majority of roadways, including on-road bicycle and pedestrian facilities, are owned by cities, counties and townships
- Most shared-use paths are also owned by local units of government; state trails are the responsibility of the Minnesota Department of Natural Resources
- Transit service in the Twin Cities is primarily operated by the Metropolitan Council (other providers include Southwest Transit, Minnesota Valley Transit Authority, Maple Grove Transit, Plymouth Transit and the University of Minnesota)
- Transit services in Greater Minnesota are operated at the regional, county or city level.





## TRANSIT

In the Twin Cities, transit includes regular and express bus service, dial-a-ride bus service, bus rapid transit, light rail transit and commuter rail. For the purposes of this summary, commuter rail funding is discussed in the rail section of this chapter since it operates on the same network as freight and passenger rail services. The other types of transit are considered surface transportation since they operate on the roadway network or within roadway right of way. For these modes, capital projects are largely funded by federal transportation revenue, through programs such as New Starts. Transit maintenance and operations are primarily funded by state sources, such as the motor vehicle sales tax, which are distributed through the Metropolitan Transit Account. For major transitway projects, such as the Green Line, significant funding for capital and operations comes from county general revenue. In 2008, some of the metropolitan counties implemented a quarter cent sales tax to support the development and operations of the region's transitway system. This money is distributed to projects within member counties for capital and operations through the Counties Transit Improvement Board.

In Greater Minnesota, the majority of public transit activities are funded through state sources. These include transportation and general revenue. Local sources make up approximately a quarter of Greater Minnesota transit. Federal programs also provide revenue for capital and operations activities.

For all transit systems, money collected from passenger fares makes up a portion of the funding available for capital, maintenance and operations activities. However, the amount varies widely among different transit services throughout the state.

## INTERCITY BUS

Most intercity bus services in Minnesota are owned and operated by private companies and funded through private sources. However, some carriers receive public funding assistance to support their operations and create or enhance access to small towns across the state. This public funding assistance comes primarily from federal and state transportation revenue through the Minnesota Intercity Bus Program.

A more detailed summary of the funding sources and programs that support Minnesota's surface transportation system is included in [Appendix C](#).

# Rail

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## FREIGHT RAIL

Private funding from the 21 freight railroad companies operating in Minnesota is the main source for capital, maintenance and operations activities on the state's rail system. Publicly-owned railways rely on federal, state and local sources of funding in addition to public-private partnerships. Typically, public funding for the rail system comes from general revenue.

## PASSENGER RAIL

Passenger rail operations for Amtrak's Empire Builder are largely funded through Amtrak revenue, such as ticket sales and advertising, and federal general revenue. Capital and maintenance activities related to train equipment are funded through these same sources. Capital and maintenance activities related to rail tracks are mostly funded through the private railroad companies, occasionally in partnership with the state. Planning and development of future passenger rail service is primarily supported by state general revenue.

## COMMUTER RAIL

Northstar commuter rail capital, maintenance and operations are funded as part of Metro Transit's budget. In addition to money from passenger fares, funding comes largely from state transportation revenue through the Metropolitan and Greater Minnesota Transit Accounts.

Light rail and streetcar services are considered transit and are included in the transit section. A more detailed summary of the funding sources and programs that support Minnesota's rail system is included in [Appendix C](#).

## RAIL TRANSPORTATION AT A GLANCE

**Size:** Minnesota's rail system is made up of 4,485 total route miles, including 381 miles of passenger rail service and 40 miles of commuter rail service.

**Use:** The rail system primarily supports 21 freight railroad companies, one passenger rail line (Amtrak's Empire Builder) and one commuter rail line (Metro Transit's Northstar).

**Responsibility:** Minnesota's rail system is mostly owned by private railroad companies. Passenger and commuter rail services have rights / agreements with the railroads for the use of the tracks.

## AIR TRANSPORTATION AT A GLANCE

**Size:** There are 388 airports in Minnesota:

- 135 are publicly owned and receive state funds.
- Nine have commercial airline service.
- Six are privately owned, with public use.
- 67 are privately owned, for private use.
- Other seaplane bases and heliports, including hospital heliports.

**Use:** Airports in Minnesota support general aviation activities (e.g. agricultural spraying, business travel, firefighting), air cargo and commercial airline service.

**Responsibility:** Local units of government are responsible for public airports in Minnesota.

## PORTS & WATERWAY TRANSPORTATION AT A GLANCE

**Size:** Two waterway systems (Mississippi River and Great Lakes-St. Lawrence Seaway), 219 navigable river miles, eight ports and 10 active locks and dams.

**Use:** Ports and waterways are primarily used to move bulk freight but also support recreational activities.

**Responsibility:** The majority of port terminals are privately owned. The federal government is responsible for all locks and dams.

## Air

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### GENERAL AVIATION

Most of Minnesota's public airports are the responsibility of local units of government. They receive the majority of their capital funding from federal transportation revenue through the Airport and Airway Trust Fund. State and local sources also contribute to capital projects and are the primary sources for airport maintenance and operations activities. The State Airports Fund is the main state funding source and is made up of transportation revenue, specifically revenue from aviation activities. Local funding sources include a mix of transportation and general revenue. Additionally, airports can receive funding from private investment, including occasional public-private partnerships.

### COMMERCIAL AIRLINE SERVICE

Commercial passenger service in Minnesota is primarily set up and funded by the airlines serving the state. Some federal transportation revenue is used to support commercial service as part of the Essential Air Service program.

A more detailed summary of the funding sources and programs that support Minnesota's aviation system is included in [Appendix C](#).

## Ports & Waterways

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### PORTS

Most port terminals in Minnesota are privately owned and funded entirely through private sources. Public port authorities often lease port land to private companies to operate port terminals. Additional funding for public port authorities comes from state general revenue and is available for capital projects as part of the Port Development Assistance Program. Operations and maintenance activities are funded almost exclusively through revenue received from the use of the ports.

### WATERWAYS

Minnesota's navigational channels and locks and dams also require investment to stay operational. This funding comes through the U.S. Army Corps of Engineers and includes federal transportation and general revenue.

A more detailed summary of the funding sources and programs that support Minnesota's port and waterway system is included in [Appendix C](#).



Statewide  
Multimodal  
Transportation Plan

## Chapter 3

### WHAT IS CHANGING?

## TRENDS IMPACTING MINNESOTA

Minnesota is changing. Future changes will create new demands on the transportation system. Learning about these changes is a vital part of planning a safe and efficient transportation system. The Minnesota GO Vision calls for a transportation system that can adapt to whatever the future might hold.

This chapter describes opportunities and challenges that will impact Minnesota in the next 20 years. The trends are broken into five categories: population, economy, environment, transportation behavior and technology. See the “**Want to Learn More?**” section of the **Appendix** for links to the full papers on each trend.

### POPULATION

Minnesota is home to a growing and changing population. The state is projected to grow in total population and in diversity. Transportation planning affects everyone, but in different ways.

There are many ways to look at how Minnesota’s population is changing. Three trends stand out among the others for special focus.

- Minnesotans are aging. As the baby boomers grow older they will require different options to maintain the level of mobility they enjoyed in the past.
- Urban areas are seeing increasing population growth. This impacts how people travel to, between and within communities.
- Minnesota is becoming more diverse. This raises questions about how transportation can help address the socioeconomic disparities that exist and that have remained constant throughout recent decades.

More information about the state’s population can be found in the [Demographic Trends paper](#). The health of Minnesotans is another important trend. Detailed information about transportation and public health can be found in the [Health Trends in Minnesota paper](#).

### Minnesota’s Aging Population

Minnesota’s population as a whole will become older in the next 20 years. Just less than 14 percent of the population is currently above age 65.<sup>1</sup> The number of [seniors in Minnesota](#) is projected to grow until hitting a peak in the year 2035. At that point there are projected to be more than 1.2 million seniors in Minnesota (20 percent of the population). In 2035, for the first time, more Minnesotans will be older than age 65 than under age 18. Growth in the senior population will impact the entire state, as is shown in **Figure 3-1**.

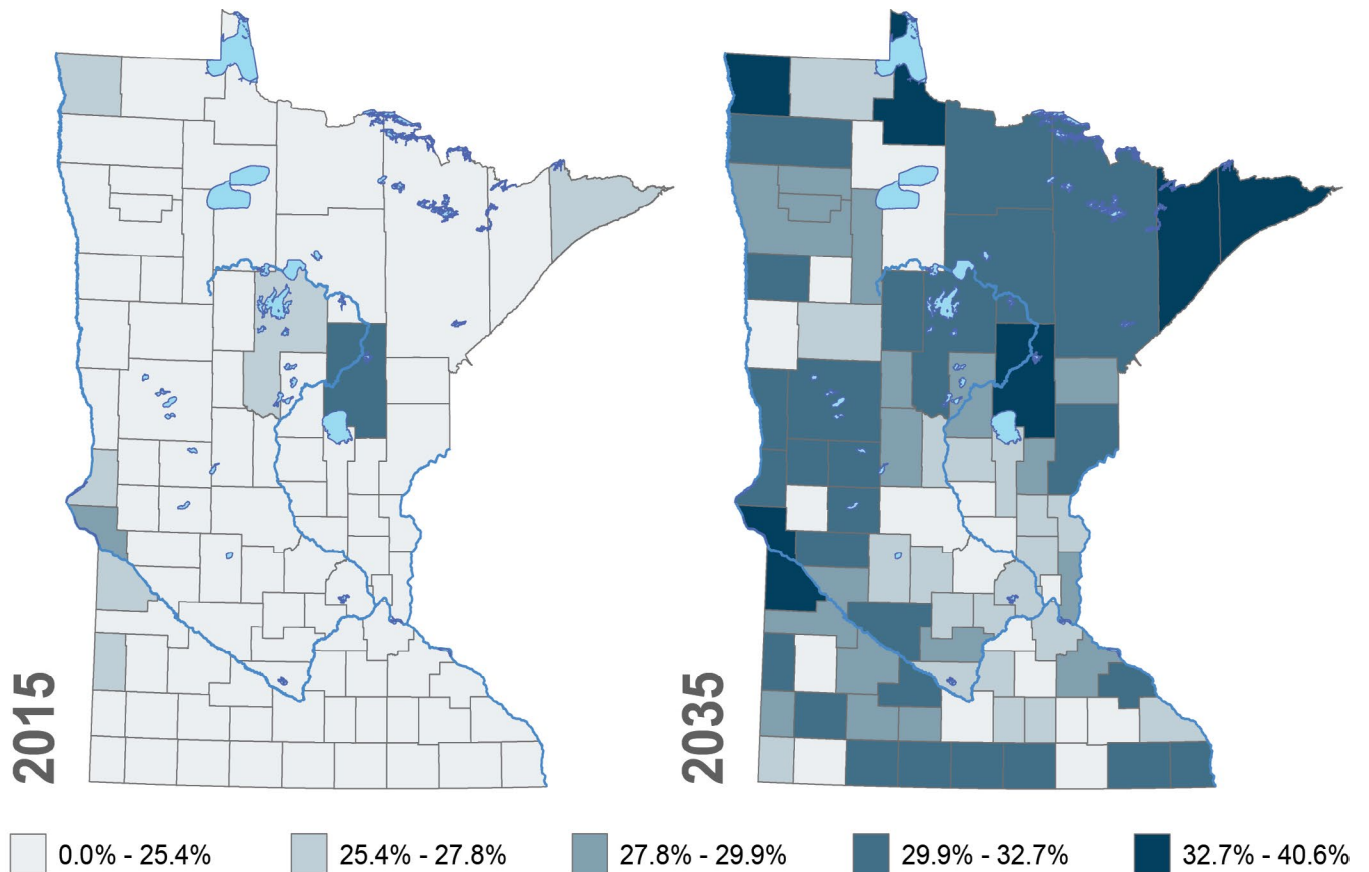
<sup>1</sup> U.S. Census Bureau, 2010-2014 American Community Survey 5-year Estimates





Figure 3-1: Percentage of county residents over the age of 65

Source: Minnesota State Demographer



Ensuring that seniors have regular, safe and affordable ways to get around is important. As people age, they are more likely to have limited travel options. More than 30 percent of Minnesotans age 65 and older and 45 percent of those age 75 and older report they have a disability.<sup>2</sup> This can have a major impact on how people get from place to place. For example, the number of rides provided by Metro Mobility in the Twin Cities grew by 6.8 percent from 2014 to 2015.<sup>3</sup> This number is likely to increase well into the future.

<sup>2</sup> U.S. Census Bureau, 2010-2014 American Community Survey 5-year Estimates

<sup>3</sup> [Metropolitan Council, 2016](#)

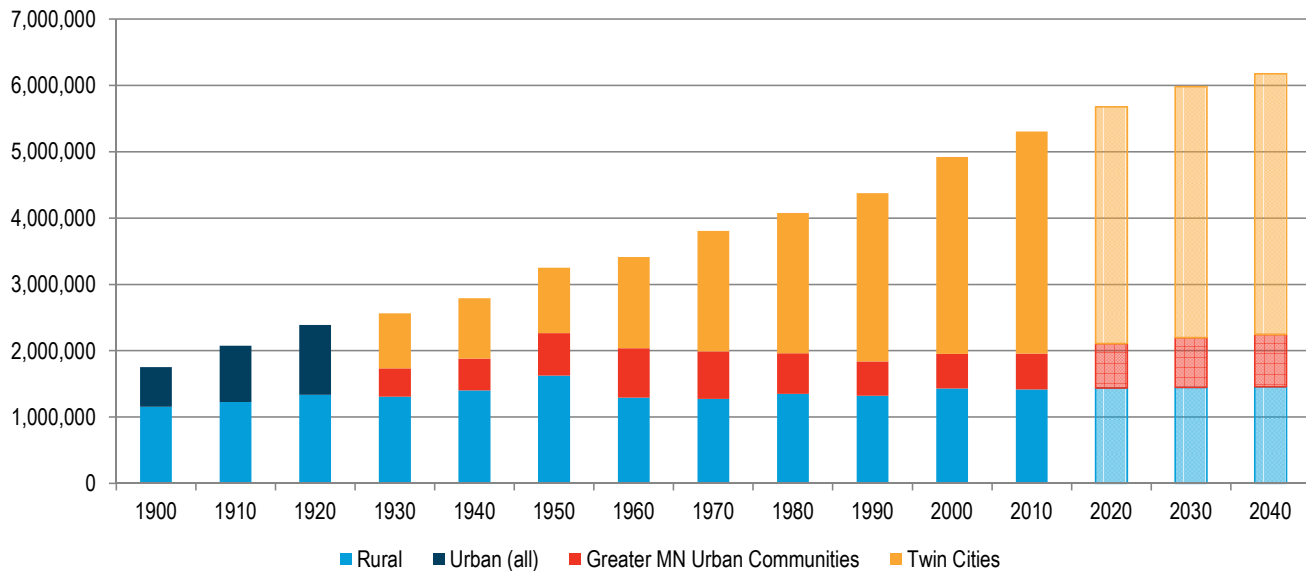
## Urban & Rural Population Trends

*Minnesota is becoming more urban* in all parts of the state. Just over 70 percent of people live in cities and towns with populations over 2,500 people.<sup>4</sup> The number of people living in rural areas has stayed relatively constant since 1900. Minnesota's urban population has grown significantly during the same time. The Minnesota State Demographer estimates that most counties will grow in population during the next 30 years. The largest population growth is projected to occur in the Twin Cities region. A smaller rate of growth is expected in Greater Minnesota's urban communities. This information is shown in **Figure 3-2**.

A growing urban population will use transportation in different ways than people do today. It will be important to provide a variety of options for people to travel within and between urban areas.

Figure 3-2: Minnesota's historic and projected urban and rural populations

Source: Census; Minnesota State Demographer



<sup>4</sup> [2010 U.S. Census](#); The U.S. Census definition of urban is any community with a population over 2,500.



## Racial Disparities & Equity

In the last 50 years, Minnesota's population has become much more diverse. In 1960 only 1.2 percent of the state's residents were people of color. Today there are more than one million people of color in Minnesota, nearly 20 percent of the population. This number is projected to grow in the next 20 years. By 2035, one in four Minnesotans will be people of color.

As Minnesota becomes more diverse, the state must address the stark disparities that exist between white people and people of color. Minnesotans of color earn less than half the income that white Minnesotans do on a per-capita basis. Minnesotans of color also have lower high school graduation rates than white Minnesotans. Further, Minnesotans of color are far more likely to be unemployed.<sup>5</sup> Recent analysis by the Metropolitan Council showed these disparities cannot be fully explained by differences in demographic factors, such as age, immigration and language ability, level of education, or employment status.<sup>6</sup> [Advancing equity](#) in Minnesota is critical to the health and well-being of the state. It is critically important to make sure that all people have access to a healthy and prosperous future.

## ECONOMY

The last 20 years saw significant changes in the state's economy. Moving forward, transportation will need to evolve to meet the needs of a changing economy. This is an essential part of realizing the Minnesota GO Vision.

Many different systems must work together to keep Minnesota's economy strong. Transportation provides the backbone for connecting people to jobs and moving goods from producers to buyers. Shippers are developing new methods to more efficiently transport freight using existing systems such as [freight rail](#) and [new logistics](#) concepts.

Keeping the transportation systems that the economy relies on in good shape can be a challenge. [Public-private partnerships](#) have been proposed as a way to infuse capital into the system. The partnerships could also provide greater flexibility when carrying out a project. [Dynamic pricing](#) offers another way to charge users depending on existing demand.

<sup>5</sup> Racial Disparities & Equity, 2016

<sup>6</sup> [Diving Deeper Summary: Understanding the Twin Cities Region's Racial and Ethnic Disparities, 2016](#)

“Recent analysis by the Metropolitan Council has shown that these [racial] disparities cannot be fully explained by demographic factors, such as age, immigration and language ability, level of education or employment status.”

## Economic Sectors & Employment Patterns

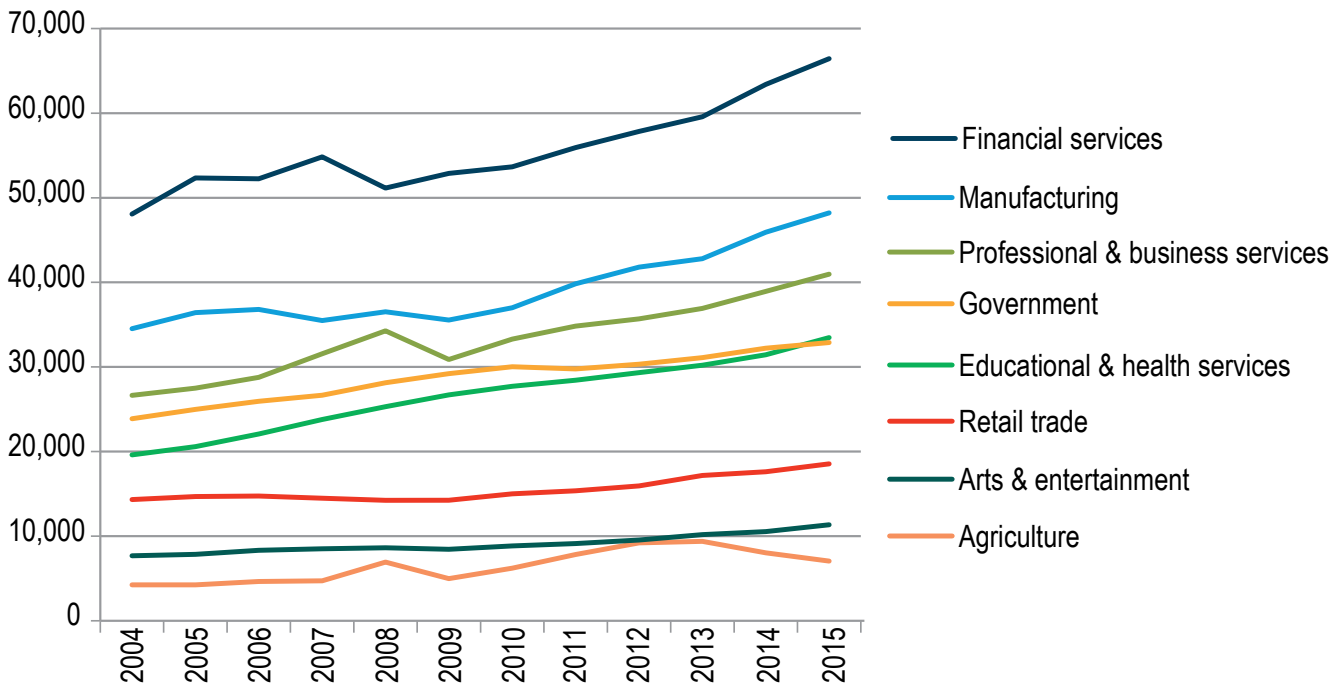
Trends in the [economy](#) reflect many other changes occurring at the same time. In general, the state's economy is shifting from farming and manufacturing toward service industries. **Figure 3-3** shows Minnesota's Gross Domestic Product by economic sector from 1997 to 2014. Minnesota has seen a period of economic growth in recent years. Unemployment and underemployment are now below pre-recession levels. Despite this, growth has not reduced the racial disparities discussed in the previous section.

Congestion on the transportation system can cause problems for people traveling to work and goods traveling to market. MnDOT tracks freeway congestion in the Twin Cities. Since 2010, the percentage of freeway miles congested during peak travel periods in the Twin Cities remained close to 20 percent, with minor fluctuations up and down.

MnDOT defines congestion by the percent of freeway miles operating below 45 miles per hour during peak periods.

Figure 3-3: Minnesota Gross Domestic Product (2015 dollars) by top economic sectors, 2001 to 2015

Source: [MN DEED Quarterly Census of Employment & Wages](#) Note that agriculture in this chart refers to only farm activities.

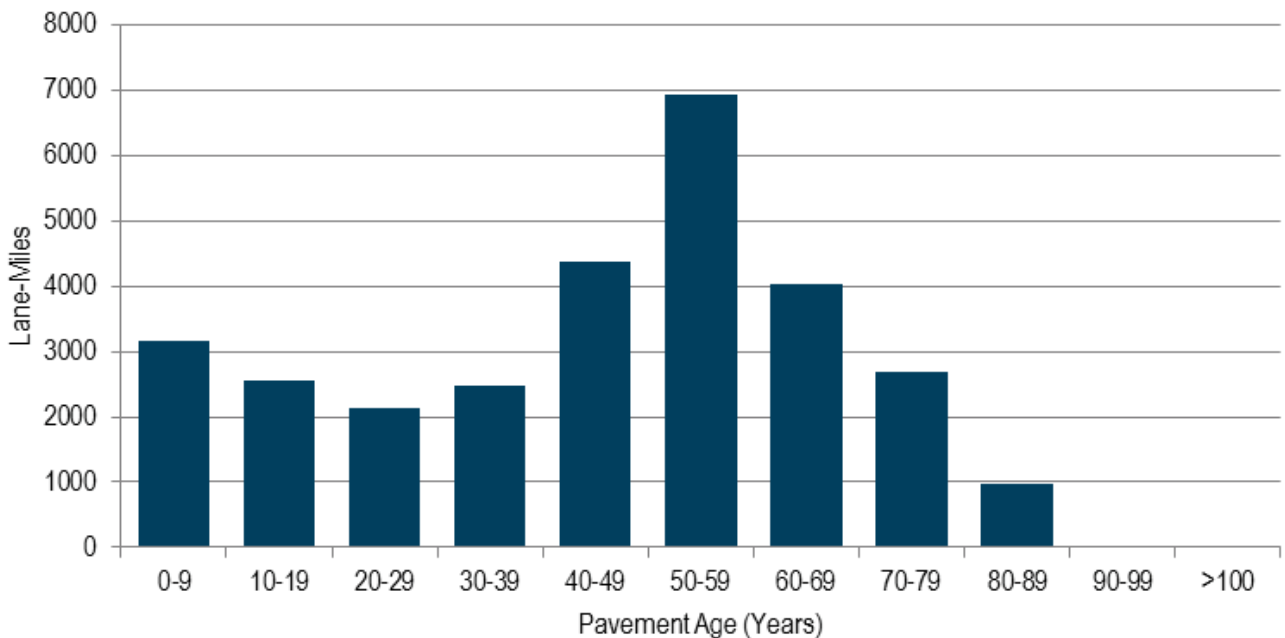


## Aging Infrastructure

Infrastructure across the country is *aging*. Many of the public systems that serve communities throughout the nation were built between 40 and 70 years ago. At that time, the growth of urban areas required a rapid build out of road, sewer, water and utility systems. To illustrate the trend, **Figure 3-4** shows the age of pavements on the state highway system in Minnesota.

Minnesota faces a wave of aging roads and bridges that need upkeep. MnDOT typically reconstructs roads when they are between 70 and 80 years old. Bridge replacement typically occurs at 50 to 100 years. Additional needs for maintenance can be found on city and county roads and Minnesota's airports, railroads, ports and waterways. These needs add to a seemingly ever-growing list of investments that must be made to maintain the quality of the state's public systems.

Figure 3-4: Age of pavement on Minnesota's state highway system, 2014





## ENVIRONMENT

Minnesota's environment is changing. Everything that Minnesotans do has some impact on the state's natural resources and climate. Learning how transportation is part of these changes is important when planning to limit or reduce negative impacts in the future.

### Environmental Quality

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Transportation impacts the air, water, plant and animal resources in the state. As the population grows so does the demand on natural resources. Studying transportation's effects on [environmental quality](#) today shows where changes are needed. Ideally, transportation investments and strategies may even be able to help improve the environment.

On-road vehicles are the biggest source of air pollution in Minnesota. Despite growth in vehicle miles traveled, emissions from highway vehicles dropped by more than 50 percent over the last 25 years. This drop is largely due to changes in federal vehicle and fuel standards. Further reductions in emissions could also help to limit public health impacts from air pollution.

The transportation system also impacts Minnesota's water quality. Run-off from roads can carry pollutants into bodies of water and wetlands. Chloride (i.e. salt) is one pollutant of concern because of its effects on wildlife and drinking water supplies. Chloride is very difficult to remove once present in a body of water.<sup>7</sup>

Many of the state's ecosystems are now home to invasive species. The transportation system may offer easy ways for invasive species to spread. The impacts of some invasive species are only a nuisance, while others can potentially be devastating. Examples of invasive species include zebra mussels, emerald ash borer, silver carp and buckthorn. In some cases, the effects include the extinction of native plants and animals.<sup>8</sup>

MnDOT works with partners to develop seed mixes that stabilize soils and improve biodiversity along roadways. These mixes serve different functions and suit different growing zones. Native plants can help limit the spread of noxious weeds and provide habitat and food for pollinators and other animals.

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<sup>7</sup> [MN EQB Water Policy Report, 2016](#)

<sup>8</sup> [Minnesota Department of Natural Resources](#)

## Climate Change

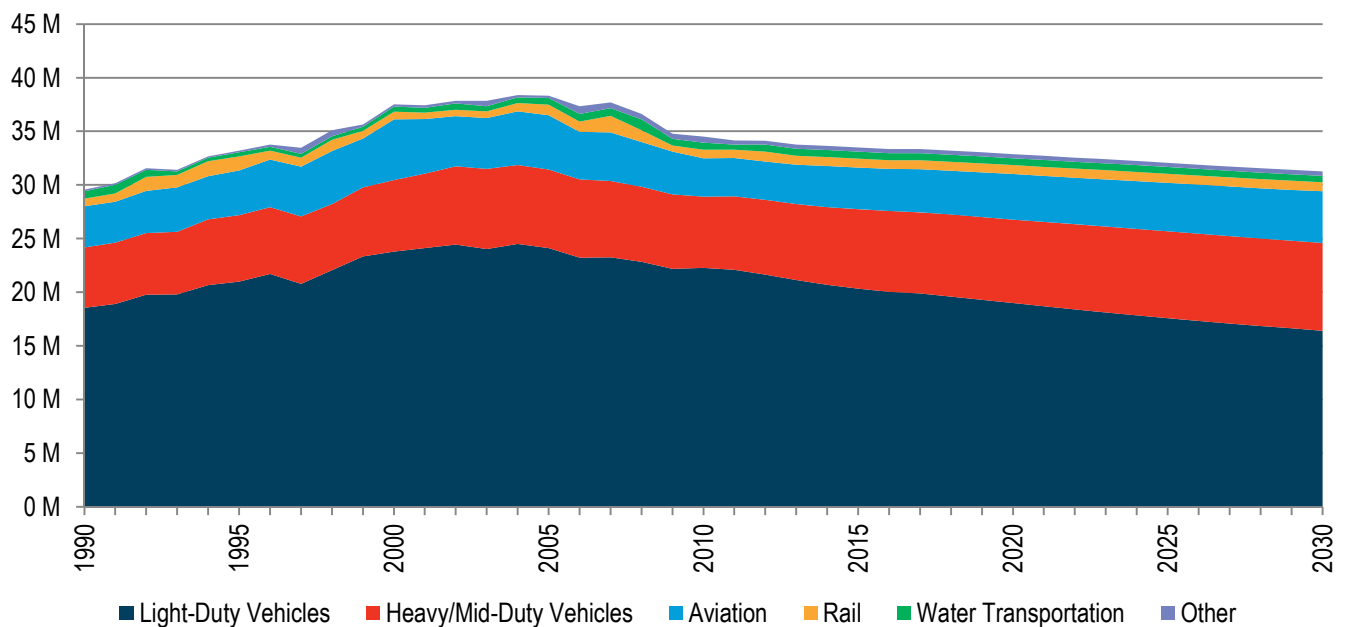
*Climate change* is already having major impacts in Minnesota and will continue to have impacts into the future. What these future impacts will be is not always clear. More varied temperatures, precipitation levels and frequency of extreme weather events will stress the transportation system. It is possible that these changes could increase maintenance costs and impact the way that Minnesotans travel.

Reducing greenhouse gas emissions from burning fossil fuels is key to limiting climate change impacts. **Figure 3-5** shows past and future emissions from transportation in the state. Emissions are going down, but the state is not on track to meet the 2007 Next Generation Energy Act targets. Reducing emissions will require shifting away from gasoline-powered vehicles and promoting cleaner transportation options.

Climate change adaptation can reduce the impacts of climate stresses (long-term increases in vulnerability) and shocks (extreme events). There are many ways transportation partners can work on adaptation, such as designing bridges and culverts for larger rain events. A variety of activities can reduce the impact of climate change and lead to broader benefits for communities in Minnesota.

Figure 3-5: Historical and projected transportation sector greenhouse gas emissions in Minnesota

Source: Minnesota Pollution Control Agency; does not include emissions from natural gas pipeline transmissions



## POTENTIAL EFFECTS OF CLIMATE CHANGE IN MINNESOTA

Minnesota's climate is already changing and is likely to continue to see changes in a number of areas. These changes can have negative effects on the state's transportation system. However, changes in certain areas are more likely to occur than in others. For example, Minnesota has already seen increased heavy precipitation / flooding in recent years. It is very likely that this trend will continue into the future. The following table outlines some of the most likely climate impacts as determined by the Minnesota State Climatology Office.



CLIMATE IMPACT	CONFIDENCE IN CHANGE FOR MN DURING NEXT 20 YEARS	POTENTIAL NEGATIVE EFFECTS TO TRANSPORTATION SYSTEM
Heavy precipitation / flooding	Very high	<ul style="list-style-type: none"> <li>• Damage to highway, rail infrastructure, hydraulics, airport runways</li> <li>• Overtopping roads will slow operations and performance</li> </ul>
Warmer winters	Very high	<ul style="list-style-type: none"> <li>• More ice build-up and freezing precipitation</li> <li>• Reduced pavement conditions and life cycle costs</li> <li>• Downed power lines with ice storms</li> <li>• Reduced ice cover on water bodies leading to greater rates of evaporation</li> </ul>
New species ranges	High	<ul style="list-style-type: none"> <li>• Changes in roadside vegetation mixes</li> <li>• Soil erosion</li> <li>• Increase in invasive species populations</li> <li>• Increased exposure of construction and maintenance crews to vector-borne diseases</li> </ul>
Drought	Medium	<ul style="list-style-type: none"> <li>• Reduced river navigability for barges</li> <li>• Stressed roadside vegetation, which may reduce rainwater storage and increase soil erosion in the long-term</li> </ul>
High heat	Low	<ul style="list-style-type: none"> <li>• Pavement and rail buckling</li> <li>• Vehicles overheating</li> <li>• Electrical system malfunctions</li> <li>• Limitations on construction hours</li> </ul>
Wildfires	Unknown	<ul style="list-style-type: none"> <li>• Road closures</li> <li>• Immediate and significant threat to human safety</li> <li>• Damage to roadside infrastructure</li> </ul>

## TRANSPORTATION BEHAVIOR

As Minnesota changes, so will the state's transportation needs. Anticipating trends in [transportation behavior](#) will help MnDOT and other transportation partners meet the needs of all users. Understanding these needs will help ensure that people and goods move safely and efficiently.

Twin Cities residents are increasingly using options other than cars to travel. Per-capita vehicle miles traveled remains below the peak set in 2004. Transit ridership and the percentage of people who bicycle and walk have grown in recent years.<sup>9</sup> Data suggests that more investment in transit, bicycling and walking infrastructure would encourage people to use these modes more often.

People in Greater Minnesota drive more on average than people living in the Twin Cities. This trend is likely to continue into the future. Per-capita vehicle miles traveled in Greater Minnesota grew in 2015. This suggests that a persistent decline since 2004 may be reversing. Much like in the Twin Cities, further investments in transit systems and local bicycle and walking infrastructure may increase the use of these options.

[Telecommunication systems](#) also play a part in how people travel. As access to high speed internet grows, more and more people will have the option to shop, see a doctor, or work online. The implications of this shift on transportation are uncertain at this time, but warrant careful attention going forward.

### Mobility as a Service

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New companies and technologies have made people re-think how they travel, especially in urban areas. [Mobility as a service](#) offers new ways to use the system through the “sharing economy.” One example of mobility as a service is car sharing, available through companies such as Zipcar in the Twin Cities, Mankato and Winona. Other ride matching services such as Uber and Lyft have seen rapid growth in recent years.

Many questions remain about how mobility services will evolve in the future. Currently, it is not uncommon for a person to use many different services. The advent of self-driving cars also has the potential to reshape entire systems as they are known today. Self-driving cars combined with mobility-as-a-service platforms could also reduce overall car ownership levels.

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<sup>9</sup> [Metropolitan Council Travel Behavior Inventory](#)



## TECHNOLOGY

Technology and transportation have captured people's imaginations for many years. New ideas in transportation have the potential to impact many of the topics discussed in this chapter. The use of [mobile technology](#) can make travel time more productive and help people choose how to travel. Emerging technologies often use vast amounts of data and track user preferences and locations. These practices give rise to concerns about [user privacy and data security](#).

Sales of [unmanned aerial systems](#), commonly referred to as drones, are projected to grow dramatically in the near future. Sales in the U.S. are expected to grow from 2.5 million in 2016 to 7 million in 2020. More widespread use of drones could have positive impacts, but also raises privacy and air safety concerns.<sup>10</sup>

## Alternative Fuels & Vehicle Electrification

[Alternative fuels](#) are widely used amid political and environmental concerns over the use of oil. Alternative fuels include biofuels, compressed natural gas, electricity and others. Subsidies are often needed to get alternative fuels off the ground. Any new fuel faces the barrier of a proven fossil fuel system that works with nearly every vehicle on the road. Despite these challenges alternative fuels have advanced in recent years.

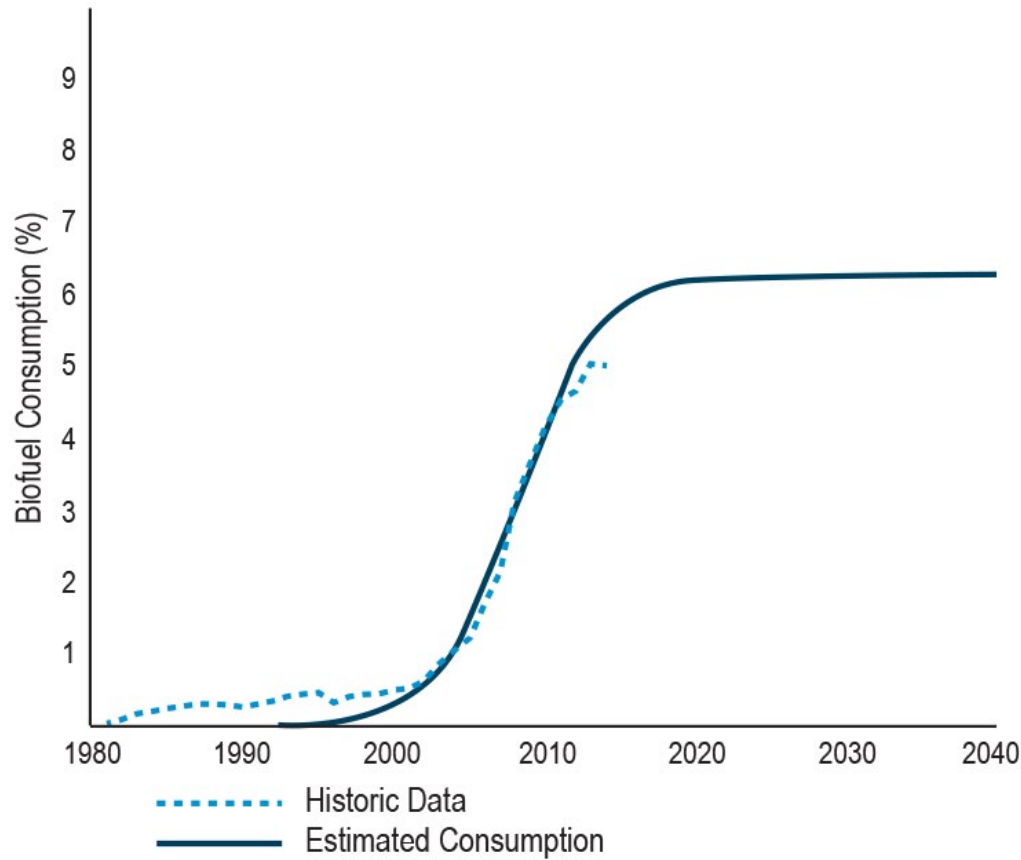
Biofuels have long been the most visible alternative fuel in Minnesota. Despite this, projections shown in [Figure 3-6](#) suggest that they may soon reach the peak of their market share.<sup>11</sup> Electric and hybrid-electric vehicles made rapid advancements in recent years. These vehicles are now available at prices closer to traditional vehicles. Investments in charging infrastructure will be necessary if sales of electric vehicles are to continue growing in Minnesota.



<sup>10</sup> [Federal Aviation Administration, 2016](#)

<sup>11</sup> [Levinson, 2016](#)

Figure 3-6: Historic and projected biofuel use as a percent of all transportation fuels in Minnesota



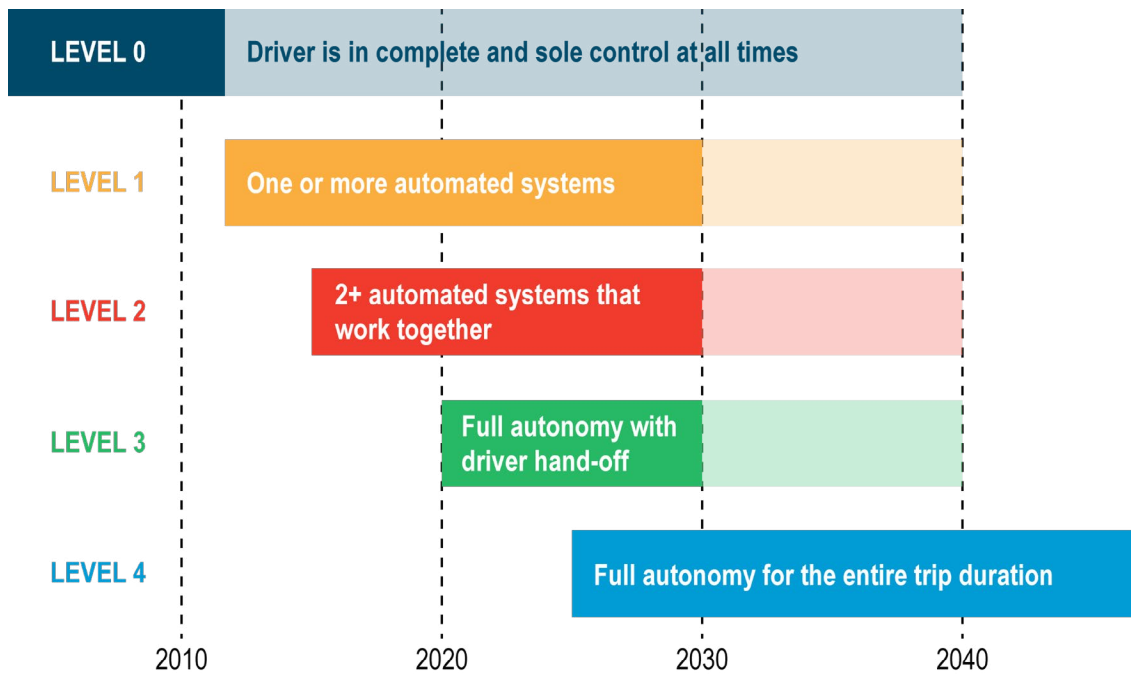
## Autonomous Vehicles

Self-driving vehicles are emerging rapidly and could re-shape the ways people travel. Connected vehicle technologies (a related but distinct concept) will likely enhance the advances brought by self-driving cars. More details can be found in the [Autonomous Vehicles paper](#). As of 2012 all new cars sold in the U.S. have electronic stability control. This places them on Level 1 of the autonomous vehicle scale.<sup>12</sup> Many cars purchased today have several automated systems that work together, such as adaptive cruise control, that place them on Level 2. **Figure 3-7** shows the projected progression through autonomous vehicle phases.

<sup>12</sup> [National Highway Traffic Safety Administration, 2016](#)

Figure 3-7: Projected timeline and definition of autonomous vehicle levels

Source: Levinson, 2016



Advances in safety are one of the most highly touted benefits of self-driving cars. Self-driving cars have nearly instant perception. They do not get tired, distracted or suffer from many of the other factors that limit human drivers. These same advantages may result in shorter following distance requirements that could increase capacity on existing roadways.

Regulation of autonomous vehicles may limit the ways they can be used. In theory, self-driving cars could carry out deliveries or drive themselves to pick up a person wherever they may be. Self-driving cars could also provide mobility options for individuals with disabilities who otherwise cannot operate a vehicle. However, the potential of the technology will depend on whether or not a human driver legally must be present.

Regardless of self-driving car advances, it is unlikely that these vehicles will be a cure-all for transportation. Essentially effortless automobile travel is likely to lead to even more driving. As such, self-driving cars are unlikely to solve congestion on the highway system even if they offer some capacity benefits. Additionally, transportation options aside from driving will still be needed to facilitate the variety of trip types that Minnesotans take every day. The cost of this technology as it rolls out, and who is able to benefit from it, will also be a concern for transportation partners moving forward.



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## Chapter 4

### WHAT IS DIRECTING THIS PLAN?

More detailed information about public engagement activities and results can be found in **Appendix D.**

### PHASE 1 ENGAGEMENT AT A GLANCE

- August 2015 through March 2016
- 125+ in-person events
- 7,500+ website sessions
- 300,000+ social media views / impressions
- 12,450+ responses

## PUBLIC ENGAGEMENT

Public engagement was an essential part of the update to the Statewide Multimodal Transportation Plan. The transportation system exists to meet the needs of the people and businesses in Minnesota. It is important to understand what those needs are and use that information to guide decision-making. It is also important that everyone is able to participate and be heard.

A high-level summary of engagement is included in the following sections. More detailed information can be found in **Appendix D.**

### Phase 1

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The engagement approach for this plan update was organized into two phases. The first phase focused on connecting with the public and transportation partners. Phase 1 was the primary phase of engagement. It began in August 2015 and continued through March 2016. Almost all engagement activities were conducted jointly with the Minnesota 20-Year State Highway Investment Plan, which was being updated at the same time. The two plans also shared a joint website.

The first phase of engagement asked about the future of the state and transportation. To plan for the future, it is important to understand what Minnesotans want the plan to focus on. To do this, MnDOT asked participants about a number of changes projected for Minnesota over the next 20 years. These shifts – in the economy, environment, population, technology and transportation behavior – will affect how people and goods move. The goal was to understand which of these changes, or types of changes, were most important for the plan to consider moving forward. Participants helped prioritize more than 20 individual trends in five different areas:

#### Environmental Trends

- [\*Climate Change\*](#)
- [\*Environmental Quality\*](#)

#### Transportation Behavior Trends

- [\*Transportation Behavior Changes\*](#)
- [\*Mobility as a Service\*](#)
- [\*Teleworking & e-Shopping\*](#)

## Population Trends

- [\*Demographic Trends in Minnesota\*](#)
- [\*Urban & Rural Population Trends\*](#)
- [\*Racial Disparities & Equity\*](#)
- [\*Minnesota's Aging Population\*](#)
- [\*Health Trends in Minnesota\*](#)

## Economic Trends

- [\*Economic Sectors & Employment Patterns\*](#)
- [\*Freight Rail in Minnesota\*](#)
- [\*Aging Infrastructure\*](#)
- [\*Public-Private Partnerships\*](#)
- [\*New Logistics\*](#)
- [\*Dynamic Road Pricing\*](#)

## Technology Trends

- [\*Autonomous Vehicles\*](#)
- [\*Mobile Telecommunications & Activity in Motion\*](#)
- [\*Sensors, Monitors & Big Data\*](#)
- [\*Electrification & Alternative Fuels\*](#)
- [\*Unmanned Aircraft Systems / Drones\*](#)

More information related to each trend can be found in **Chapter 3.**



## ACTIVITIES

The first phase of engagement included a variety of in-person and online opportunities for Minnesotans to get involved. **Figure 4-1** highlights all the tactics that were used. More information about each activity is available in **Appendix D**.

Figure 4-1: Summary of engagement activities



## AUDIENCE

More than 12,450 responses were received during the first phase of engagement. Participants were asked to answer a few optional and anonymous demographic questions. They were asked about their age, race / ethnicity, gender and zip code. This information helped make sure overall participation mirrored the make-up of Minnesota. When asked, about 56 percent of participants provided at least some demographic information. **Figure 4-2** and **Figure 4-3** show who was reached during this engagement effort.



Figure 4-2: Demographic comparison between respondents and Minnesota's population

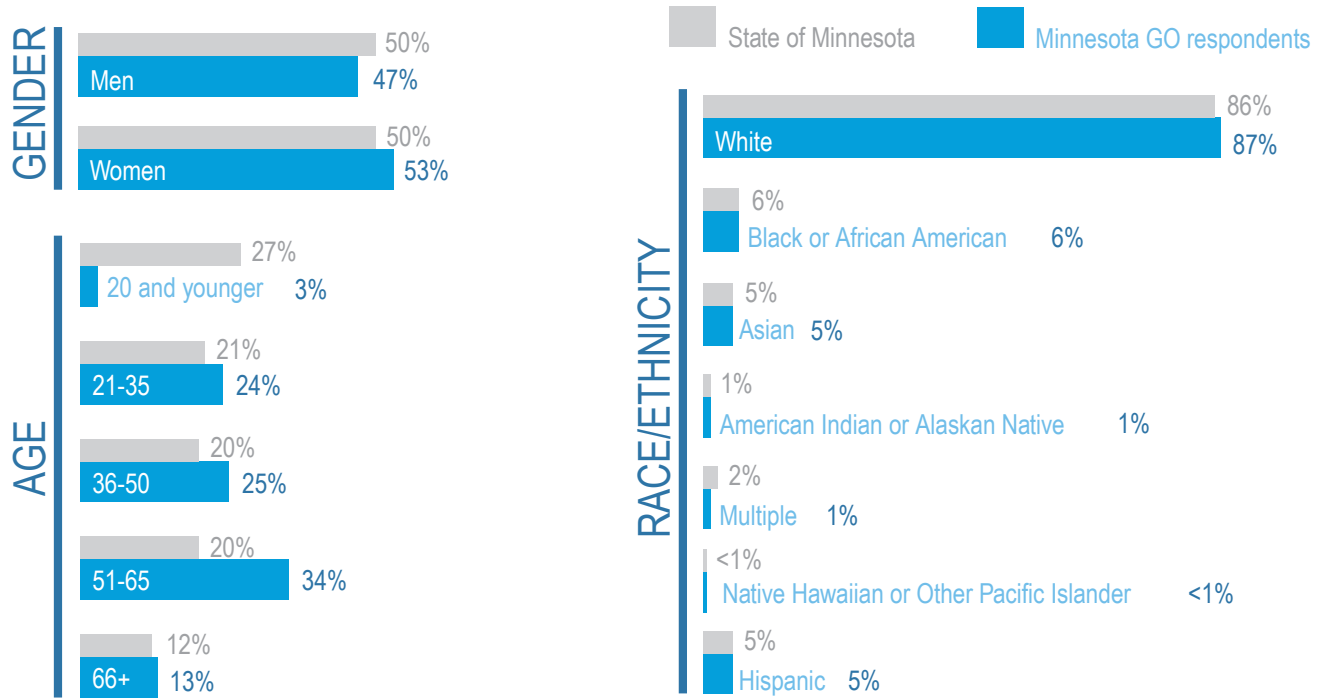
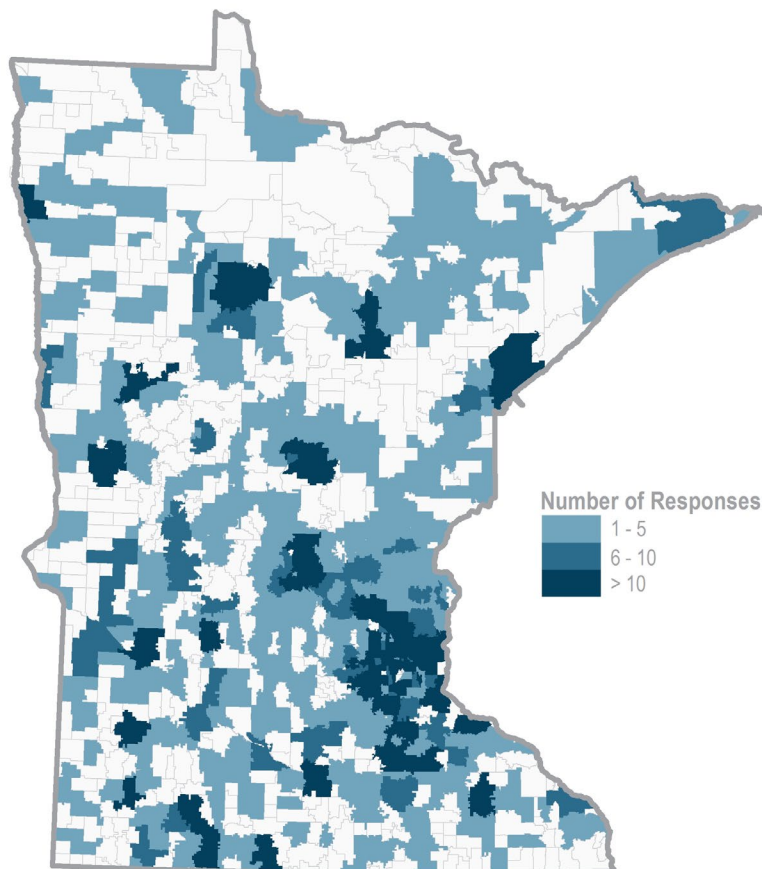


Figure 4-3: Phase 1 participation by zip code



The environment category includes trends related to climate change and environmental quality.

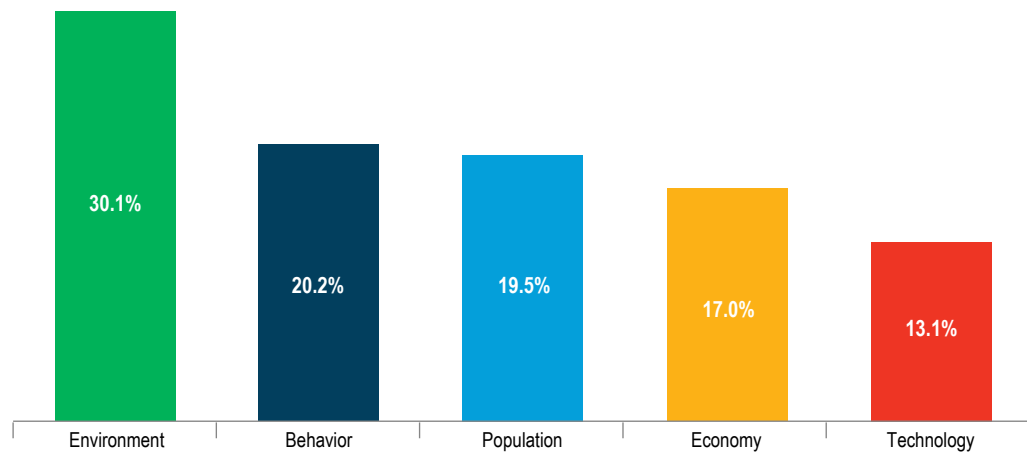
## RESULTS

A summary of input from Phase 1 is included in the following sections. More detailed information can be found in [Appendix D](#).

### Trend Categories

Environmental trends were more frequently identified as the most important area of change to plan for. Approximately 30 percent of all participants chose this as their top priority. Environmental changes were followed by changes in transportation behavior and population changes, which were both the top priority for approximately 20 percent of respondents ([Figure 4-4](#)).

Figure 4-4: Percent of respondents identifying a trend category as their top priority



This overall relative priority provided some direction. However, not every respondent shared the same priorities. Demographic data was used to identify trend areas that were a higher priority for one group than the collective ranking. Key differences include:

- Transportation behavior changes were a higher priority among these groups: transportation partners, men, Asian Minnesotans, White Minnesotans and age groups 21-35, 36-50, 51-65 and 66 plus.
- Population changes were a higher priority for American Indian or Alaska Native Minnesotans.
- Economic changes were a higher priority among these groups: transportation partners, men, American Indian or Alaska Native Minnesotans, Asian Minnesotans, Black or African American Minnesotans, Hispanic Minnesotans and Minnesotans of multiple races / ethnicities.
- Technology changes were a higher priority among transportation partners, American Indian or Alaska Native Minnesotans and Minnesotans age 20 and under.

This data indicates potential differences among demographic groups, but it is important to remember that this is a summary. There are many different perspectives and opinions within all communities in Minnesota.

### Individual Trends

In addition to ranking each trend category, participants were asked to identify which individual trends are most important to them. The goal was to understand if there are specific trends that may be a high priority even if the broader category was seen as less important. The five most-prioritized individual trends are shown in **Figure 4-5**.

Figure 4-5: Top five most important individual trends



Generally speaking, the top individual trends are closely linked to the top priorities among the broader trend areas. Similar to the broader trend areas, there were variations in how participants prioritized the individual trends. Key differences include:

- The aging population trend was ranked in the top five for American Indian or Alaska Native Minnesotans and Minnesotans older than age 65.
- The economy and employment trend was ranked in the top five for Minnesotans of multiple races / ethnicities.
- The mobility as a service trend was ranked in the top five for Minnesotans ages 20 and younger.
- Health trends in Minnesota were ranked in the top five for American Indian or Alaska Native Minnesotans and Hispanic Minnesotans.
- The electrification and alternative fuels trend was ranked in the top five for Black or African American Minnesotans and Minnesotans ages 20 and younger.
- The racial disparities and equity trend was ranked in the top five for Black or African American Minnesotans and Hispanic Minnesotans.
- The sensors, monitors and big data trend was ranked in the top five for Minnesotans ages 20 and younger.

Again, while this data indicates potential differences among demographic groups, it is important to remember that these numbers are summaries. There are many different perspectives and opinions within all communities in Minnesota.

## IMPACT

The input received from Phase 1 helped determine what the focus should be for the plan's policy direction. The priority areas and trends identified by Minnesotans were reviewed to make sure they are reflected in the objectives and strategies ([Chapter 5](#)). For example, climate change and environmental quality were identified as top priorities. There are now two new strategies related to climate change: one to reduce emissions from the transportation sector and one to identify risks to the transportation system, such as more frequent flooding.

## Phase 2

The second phase of engagement occurred during April and May 2016 and built on the information gathered in Phase 1. A number of specific questions rose up as the priorities from Phase 1 were incorporated into policy direction. These questions covered a range of topics and mostly dealt with the details about how the proposed changes would be implemented. Given this focus on implementation, Phase 2 primarily focused on reaching transportation partners, including different groups within MnDOT. However, even with a focus on transportation partners, anyone was welcome to participate. The major topics covered in this phase of engagement included:

- Land use and transportation connections
- Urban and rural system performance
- Equity and ability
- Climate change and environmental quality

### ACTIVITIES

Given the focus on reaching transportation partners, stakeholder forums were the primary engagement tactic used in Phase 2. Four stakeholder forums and a webinar forum were held. For those who were not able to attend one of the forums or the webinar, an online survey version of the questions was available on the project website. Materials were also provided to planning partners, who were asked to share the information with their networks. More information about each activity is available in [Appendix D](#).

### RESULTS

The following sections summarize the responses related to the four topics covered in Phase 2. More detailed information can be found in [Appendix D](#).







## Land Use and Transportation Connections

Nearly three out of four participants in Phase 2 expressed support for developing context guidance as part of the SMTP work plan. Context guidance refers to tying land use, community development and population factors to various aspects of transportation planning. This may include incorporating context considerations into public engagement strategies, road design, cost sharing and more. Participants were also asked about tying different types of spending to land use considerations. Generally speaking, there was support for prioritizing bicycle and pedestrian spending and safe routes to school funding in this way. However, there was greater support for this among MnDOT participants and less support among other transportation partners.

## Urban and Rural System Performance

Participants were also asked to provide input related to how MnDOT and transportation partners track and report system performance. Currently, most reporting is done at a statewide level. However, there may be reasons to consider reporting some measures separately for urban and rural areas. This would help ensure the needs in both contexts are being addressed. Participants supported reporting measures separately by urban and rural for asset management, safety and mobility performance measures. This approach would also require better guidance on what is meant by “urban.” There was no consensus among participants on how to define urban areas. Generally speaking, MnDOT participants expressed a preference for a population-based definition. Other partners generally supported a definition that includes more factors than just population, such as employment or retail activity. In general, MnDOT and partner participants expressed concern about MnDOT’s ability to adequately address urban highway corridors in the future given the pressures of maintaining an aging highway network.



## Equity and Ability

Participants generally supported explicitly addressing equity and individual ability in this plan. The majority of participants favored MnDOT continuing to research how the transportation system can best advance equity in communities. Many participants also supported exploring strategies to increase workforce diversity in the transportation sector and piloting approaches to incorporate equity into transportation decision-making.

## Climate Change and Environmental Quality

Consistent with Phase 1 results, participants expressed support for addressing climate change and environmental quality issues in this plan. There was strong support for assessing the vulnerability of transportation infrastructure to environmental factors related to climate change. To a lesser degree, participants expressed support for all transportation partners moving forward with strategies to reduce greenhouse gas emissions, reestablishing a MnDOT flood mitigation program and setting targets for salt use in winter maintenance. There was general support for adopting the 2007 Next Generation Energy Act targets for greenhouse gas emissions specifically for the transportation sector. However, the level of support varied among different partners and different geographies.

## IMPACT

The input from Phase 2 was used to make edits to the objectives, performance measures and strategies in this plan (**Chapter 5**). The input also helped to identify and prioritize key activities to be included in the near-term work plan (**Chapter 6**). For example, consistent with the input related to the land use and transportation connections discussion, the work plan includes developing tools and resources to support transportation decisions that reflect the surrounding context. Additionally, the input from Phase 2 will also continue to inform the implementation of this plan into the future.





## STATE AND FEDERAL REQUIREMENTS

For many years, there have been state and federal requirements for statewide transportation plans. These requirements include updating the plan every four years. The plan must support national, state and local goals such as economic development and environmental protection. MnDOT is responsible for working with the public, local governments, metropolitan planning organizations, regional development organizations, tribal governments and other transportation partners to produce a 20-year plan that sets statewide policy direction and guidance. Over the years, these requirements shifted from an exclusive focus on automobiles and trucks to an approach that considers the many ways people and goods travel.

The following sections describe how innovation and new policy direction shaped this plan.

### Purpose of Transportation Planning

Transportation shapes the ways that communities develop. The current transportation system required vast investment of public and private resources over decades. The system also requires a substantial amount of funding to maintain and continue operating. Changes in community needs and desires mean that the system needs to change over time.

Transportation planning is complex. It relies on many different groups working together. The process blends technical analysis, public expectations and input from public and private transportation partners. This blended information is used to identify priorities, choices and risks to the system. It is also used to distribute resources for future investments. Long-range planning is required to be eligible for federal and state transportation funding assistance. It is especially important given the billions of dollars invested in the system each year.

Federal direction for statewide transportation plans requires a multimodal approach that:

- Supports economic vitality in ways that enhance global competitiveness
- Increases safety and security of the transportation system for all users
- Improves accessibility and mobility for both people and freight
- Fosters environmental protection, energy conservation and coordination between transportation and local plans
- Improves connections between transportation modes
- Achieves efficient system operations and management

- Emphasizes preservation of the existing transportation system
- Improves the resiliency and reliability of the transportation system and reduces or mitigates stormwater impacts of surface transportation
- Enhances travel and tourism.<sup>1</sup>

Minnesota law requires a similar focus on safety, system condition, the importance of transportation for the economy and compatibility with state environmental goals. The state also has goals for transit access, reasonable commutes and bicycling and walking.<sup>2</sup>

Minnesota law requires MnDOT to update the Statewide Multimodal Transportation Plan every four years. Federal planning regulations require the Statewide Multimodal Transportation Plan to plan at least 20 years into the future.

**Appendix F** provides links to federal and state laws related to this plan.

## **Changes in Approach and Emphasis**

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### **PERFORMANCE-BASED PLANNING**

MnDOT began using performance measures to inform management and investment decisions in the mid-1990s. In 2003, MnDOT adopted the first performance-based statewide transportation plan in the nation. Performance measures show how well the system is functioning. Targets communicate desired outcomes. Performance measures cover all modes, system assets and operations. A few examples include crash rates, fatalities, roadway and bridge condition and age of transit vehicles. MnDOT carefully considers existing commitments, priorities and tradeoffs when adding or changing performance measures and targets. All adopted performance measures and targets are included in MnDOT's annual performance report.

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1 [Federal planning factors, 23 USC 135\(d\)\(1\)](#)

2 [State transportation goals, Minn Stat 174.01](#)



At the federal level, the 2012 Moving Ahead for Progress in the 21st Century Act established national performance measures related to the National Highway System, safety, congestion, emissions and freight movement. MAP-21 required states to develop performance-based plans and to coordinate with metropolitan planning organizations when developing performance targets. These requirements were continued under the 2015 Fixing America's Surface Transportation Act. To date, final rules have been issued for safety performance management. Proposed rules have been issued for pavement and bridge performance measures and for system performance measures. These categories include measures related to the National Highway System, freight movement on the interstate system and the Congestion Mitigation and Air Quality Improvement Program.

## THE SIGNIFICANCE OF FREIGHT

Federal transportation planning requirements shifted in the early 1990s. One part of this shift was an increased emphasis on the role that freight plays in the economy. Freight's role in the transportation system was again highlighted in MAP-21. MAP-21 required the establishment of freight-related performance measures. The FAST Act further emphasizes freight by directing the U.S. Department of Transportation to designate a national multimodal freight network and develop a national freight strategic plan. The FAST Act also requires states to develop state freight plans and encourages states to create freight advisory committees.

Much work has been done to better understand Minnesota's freight system and the investment needs of the state's ports, waterways, highways, rail and airports. Since 2012, MnDOT updated the State Aviation System Plan, State Rail Plan and Statewide Freight System Plan. MnDOT also adopted its first Statewide Ports and Waterways Plan. These plans help show how goods move across the state and reach local, regional, national and international destinations.

## COOPERATION & CONSULTATION

The 1990s shift in federal direction also required that statewide planners cooperate and consult with many different transportation partners. To meet these new requirements, Minnesota created the area transportation partnerships, which bring local, regional, state and tribal interests together within each MnDOT district. The ATPs collaboratively decide priorities for available federal transportation funding. There is considerable variation in total membership from one ATP to another and each ATP includes city, county, metropolitan planning organization and regional development organization representatives. American Indian tribes within an ATP have the option to participate on the ATP. For the Twin Cities area, the Metropolitan Council's Transportation Advisory Board functions as the metro area ATP. **Figure 4-6** shows the eight ATP districts. **Figure 4-7** identifies the regional development organizations and metropolitan planning organizations in Minnesota.

The sovereignty of tribes was formally recognized through a 2002 accord between Minnesota's tribes, MnDOT and the Federal Highway Administration. The accord established commitments for regular consultation. State executive orders in 2005 and 2013 reinforced the government-to-government character of relationships between tribes and the state.

Figure 4-6: Minnesota's area transportation partnerships

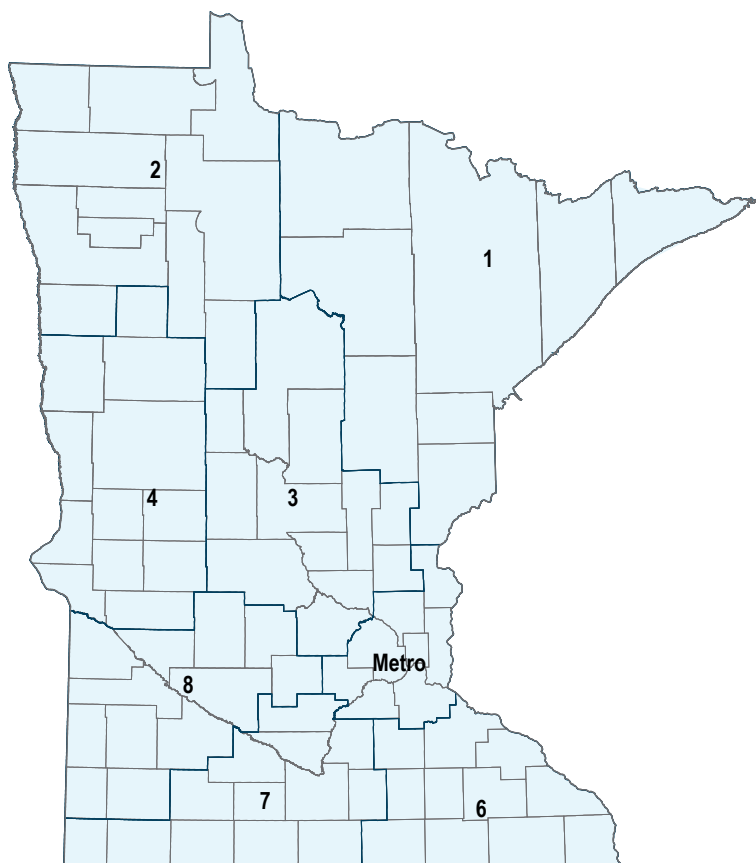
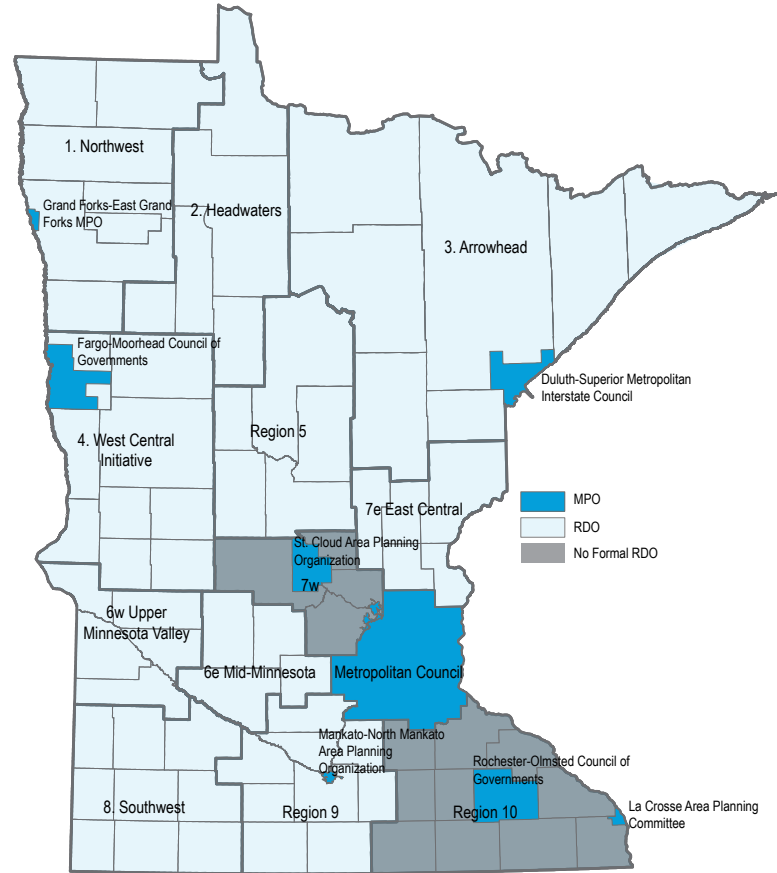


Figure 4-7: Minnesota's metropolitan planning organizations and regional development organizations



## ENVIRONMENTAL JUSTICE

Title VI of the 1964 Civil Rights Act prohibits discrimination on the basis of race, color and national origin in federally assisted programs and activities. A 1994 Presidential Executive Order on Environmental Justice requires agencies to identify and address the effects of all programs, policies and activities on minority and low-income populations.<sup>3</sup> Environmental justice improves decision-making by ensuring that public agencies treat people fairly and involve them in a meaningful way during the development and implementation of transportation plans and projects. **Appendix E** provides an analysis of the potential impacts the objectives and strategies identified in **Chapter 5** may have on the state's environmental justice populations.

<sup>3</sup> [Executive Order 12898, Federal Actions to Address Environmental Justice in Minority and Low-Income Populations](#)

## OLMSTEAD PLAN

Olmstead plans are named after a U.S. Supreme Court decision that held that the unjustified segregation of people with disabilities violates the Americans with Disabilities Act. The plans describe how public entities will meet their obligation to provide individuals with disabilities opportunities to live, work and be served in integrated settings.

In 2012, Minnesota began working on its Olmstead plan. The Minnesota Olmstead Plan identifies a method for Minnesota state agencies to document their plans to provide services to people with disabilities. Transportation is a key aspect of an individual's independence and quality of life. The Minnesota Olmstead Plan assumes that the need for available and accessible transportation applies to all modes of transportation. However, the plan recognizes that much of the transportation need relates to transit services. It identifies strategies to meet the plan's vision for transportation – "People with disabilities will have access to reliable, cost-effective and accessible transportation choices that support the essential elements of life such as employment, housing, education and social connections."<sup>4</sup>



## COMPLETE STREETS

Streets and roadways are inherently multimodal. They accommodate the travel of people using cars, trucks, buses, emergency vehicles and bicycles and those walking. The complete streets approach to road planning and design considers and balances the needs of all users. The goal is to provide a system that is accessible and equitable to all, regardless of how they choose to travel. MnDOT adopted a complete streets policy in 2013 and updated the policy in 2016. MnDOT uses a complete streets approach in system-level planning, project scoping and design, operations and maintenance. Several Minnesota cities, counties and planning organizations have developed similar policies.



## OTHER PLAN REVIEW

As part of this update process, MnDOT's other statewide transportation plans and the long range transportation plans prepared by Minnesota's metropolitan planning organizations and tribal nations were reviewed. The purpose of the review was to identify key trends and policy objectives and to consider how those trends and objectives may impact this plan update. The identified trends were addressed in the trend analysis described in [Chapter 3](#). The policy objectives were considered as the plan's objectives and strategies were reviewed and updated ([Chapter 5](#)). Summaries of the reviews are included in [Appendix G](#).

<sup>4</sup> [Putting the Promise of Olmstead into Practice: Minnesota's Olmstead Plan](#), August 10, 2015

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## Chapter 5

### HOW WILL WE GUIDE OURSELVES MOVING FORWARD?

## OBJECTIVES, PERFORMANCE MEASURES & STRATEGIES

This plan focuses on five objectives:

- Open decision-making
- Transportation safety
- Critical connections
- System stewardship
- Healthy communities

Each objective includes related strategies for MnDOT and transportation partners. Taken together, the objectives and strategies support the Minnesota GO Vision and help address the changes affecting Minnesota (**Chapter 3**).

All transportation partners are engaged in many different activities that help to realize the vision on a daily basis. The purpose of this plan is not to list every possible activity, but to focus on key areas where additional emphasis is needed.

To help ensure that progress is made in the coming years, each objective includes a list of related performance measures. These measures will help track progress toward meeting the objectives and the desired outcomes of the vision.

The objectives and related strategies are listed in no particular order. All are critical focus areas for the upcoming years. Each objective has four parts:

- **Objective statement** – a few key phrases that describe the goal that MnDOT and transportation partners are working toward
- **What this is about** – more description about the goal of the objective
- **Performance measures** – existing performance measures that track progress toward the objective
- **Strategies** – a list of actions to help MnDOT and transportation partners achieve the objective. The bold text of each indicates the strategy statement and is followed by additional description and examples.



## Open Decision-Making

**Make transportation system decisions through processes that are inclusive, engaging and supported by data and analysis. Provide for and support coordination, collaboration and innovation. Ensure efficient and effective use of resources.**

### WHAT THIS IS ABOUT

Essential to open decision-making are the elements of accountability, transparency and communication. Transportation decision-makers are stewards of the transportation system and have the responsibility to make informed choices and be open about how and why decisions are made. Decision-makers need to rely on many different types of information and inputs to make responsible decisions and balance priorities. Integrated into all these elements are the important considerations of socio-economic equity and individual ability.

Engagement with transportation users and those otherwise affected by the system is a critical input to the transportation decision-making process. Decision-makers cannot just communicate decisions but must also create opportunities for the public to influence decisions. Transportation partners should use different tools and techniques to facilitate good engagement. Good engagement uses inclusive, accessible and varied tools to reach different communities. Specific focus should be given to reaching individuals who are traditionally underrepresented in transportation decision-making. This will result in decisions that better reflect the priorities of all Minnesotans.

Communication and education are also critical to open decision-making. Effective communication is not just about making information available. It is also about making it easy to find and understand. This includes using plain language and meeting the Americans with Disabilities Act document accessibility standards. Additionally, education is the foundation for understanding. This includes telling the big-picture story about the transportation system, the importance of investing in it and the trade-offs that need to be made. It also includes communicating project scopes, timelines and impacts.

Open decision-making is supported by data, analysis, performance measurement, research and risk management. It is the responsibility of transportation partners to continually explore technology, innovation and the driving forces behind the system. These are important tools for improving transportation planning processes and increasing the efficiency of the transportation system.



The importance of open decision-making processes are recognized and supported in federal legislation and state regulations. However, truly open decision-making goes beyond just meeting requirements. It is about building public trust. Since the majority of transportation funding comes from the public through fees and taxes, transportation decision-makers need to be accountable for the decisions they make. They need to ensure public resources are used efficiently and effectively and that decisions are well documented and communicated.

## PERFORMANCE MEASURES

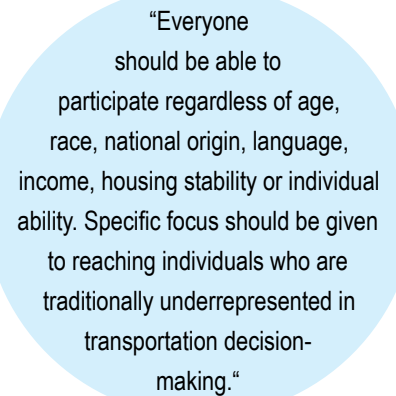
**Table 5-1** lists the existing MnDOT performance measures related to the open decision-making objective. Additional proposed performance measures are under development. These proposed measures are identified in **Chapter 6**.

Table 5-1: Open decision-making performance measures


MEASURE	TARGET	REPORTING
<p>Annual percentage of MnDOT omnibus survey respondents that agree with the following statements:</p> <ul style="list-style-type: none"> <li>• “MnDOT can be relied upon to deliver Minnesota’s transportation system.”</li> <li>• “MnDOT considers customer concerns when developing transportation plans.”</li> <li>• “MnDOT acts in a fiscally responsible manner.”</li> </ul>	80% for each statement	Report number and which statements do not meet target; identify differences among demographic groups
<p>Annual percentage of survey respondents indicating they are confident in MnDOT:</p> <ul style="list-style-type: none"> <li>• Building roads and bridges</li> <li>• Maintaining roads and bridges</li> <li>• Communicating accurate info to MN citizens about their transportation plans and projects</li> <li>• Providing alternative transportation options for the future</li> </ul>		
Annual percentage of minorities and women in the highway-heavy construction workforce	No target	Report percentage and trend
Annual percentage of minorities and women in MnDOT’s workforce	No target	Report percentage and trend
Annual percentage of MnDOT construction projects let in the year scheduled (defined as projects in the first year of the State Transportation Improvement Program let in that year)	90%	Report percentage and trend

## STRATEGIES

- **Engage with users and those otherwise affected by the system throughout all transportation processes.** Engagement is a key input to decision-making. It is important for transportation partners to engage users and those otherwise affected by the system. Engagement is important to understand the needs for a specific project. However, engagement should not be limited to just projects. It is also important for transportation partners to regularly engage with the public and each other to better understand the overall priorities for the system. This includes understanding what is important today and what will matter in the future. When engaging with the public, transportation partners should use a variety of tools and techniques. Everyone should be able to participate regardless of age, race, national origin, language, income, housing stability or individual ability. Specific focus should be given to reaching individuals who are traditionally underrepresented in transportation decision-making. When doing engagement, it is important to provide familiar opportunities but also to try new and innovative tools and techniques. For example, Metro Transit's Better Bus Stop program uses federal funding to improve the user experience at bus stops in neighborhoods with high levels of low-income or minority residents. Metro Transit contracted with 12 community groups to engage with residents to determine what improvements should be made and how to prioritize them.
- **Communicate project-level information and impacts to the public and partners in a timely manner.** Project-level communications are critical to ensuring that Minnesotans are aware of potential impacts to their travel and businesses are aware of impacts to freight and their customers. Transportation projects may also have impacts to the surrounding communities. Impacted communities should also be included in communication plans. Transportation partners should strive to clearly share information in a timely manner about projects and any potential impacts. Communication should begin in advance of the project and continue until the project is complete. Information should be easy to understand and available through a variety of channels to help people and businesses make informed decisions about their transportation plans. Accurate and open communication is critical to maintaining trust between transportation partners and Minnesotans.



“Everyone should be able to participate regardless of age, race, national origin, language, income, housing stability or individual ability. Specific focus should be given to reaching individuals who are traditionally underrepresented in transportation decision-making.”




“For truly open decision-making to occur, it is important to have multiple perspectives at the table.”

- **Educate the public and partners on system-wide and modal questions in addition to project-specific transportation information.** In addition to project-level information, proactive and ongoing communications about big-picture transportation issues, decisions and processes is also an important component of open decision-making. It helps to ensure transparency and promote understanding. Transportation partners should work with each other and the public to identify key questions and develop educational materials to answer these questions. The materials should be engaging, honest, easy to find and accessible to all Minnesotans.
- **Improve early coordination in planning, project-selection and scoping to more effectively and efficiently use resources and maximize benefits.** Coordinating with partners early within the planning, project-selection and scoping processes may present opportunities to combine resources and leverage public and private investments. It allows transportation projects to address multiple needs, including non-transportation issues and goals related to health, housing, the environment and economy. For example, MnDOT District 7 uses the 10-year Capital Highway Investment Plan as an engagement tool to discuss project needs, timing and coordination with local partners early in the project development process. Specifically, they focus on projects five to seven years out from construction. The Duluth – Superior Metropolitan Interstate Council worked with the City of Duluth to develop the Duluth Downtown Streetscape Plan. With many of the downtown streets reaching the end of their life cycle, the area-wide plan will help ensure other community priorities are addressed as the streets are repaired. Additionally, it is important for transportation partners to coordinate projects within their own programs to minimize negative impacts to the traveling public whenever possible.
- **Develop and support a diverse workforce within the transportation sector.** For truly open decision-making to occur, it is important to have multiple perspectives at the table. This allows for a more comprehensive discussion that better reflects the goals and priorities of all Minnesotans. Workforce diversity is essential to achieving this objective. Additionally, the transportation sector workforce is the face that the industry presents to the public. What that face looks like can have impacts on the level of engagement possible within different communities. It is important that partners within the transportation sector actively seek diverse workforce participation at all levels, including individuals of different races, genders, languages, ages and abilities. This includes developing new talent and providing support and growth opportunities for existing employees. A diverse workforce also contributes to increased access to well-paying jobs and wealth creation for a broader cross-section of Minnesotans. For example, MnDOT’s Disadvantaged Business Enterprise program helps ensure women- and minority-owned businesses are able to participate in transportation construction contracts.

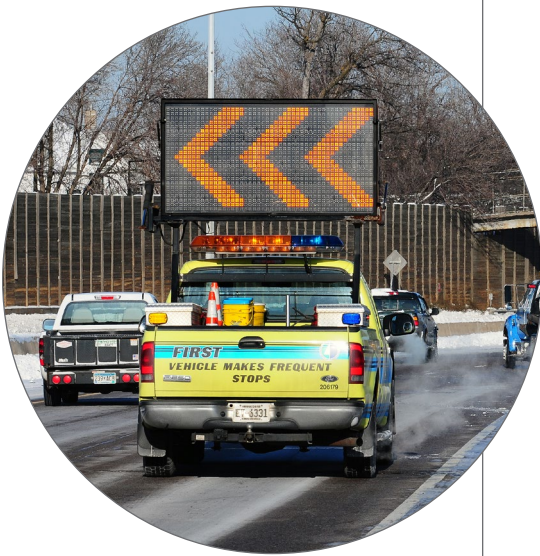


- **Use performance measurement to inform decision-making and show progress toward national, statewide, regional and local goals.** Performance measurement is one of the key inputs into the transportation decision-making process. It is an important tool to support open decision-making and should be used by transportation partners across all modes. MnDOT is a leader in the use of performance measures to evaluate services, guide plans and track progress toward meeting national goals and overall state priorities. Recent federal legislation required that metropolitan planning organizations also develop and use performance measures to track progress toward these and other regional goals in Minnesota’s major urban areas. In addition to goals and priorities, it is also important to consider existing commitments, trade-offs and available data when developing measures and targets for use in decision-making.
- **Ensure key transportation data is kept up-to-date, usable and easily accessible to transportation partners and the public.** Data is an important tool used to inform decision-making and communicate decisions. It is also becoming increasingly essential in the operation of the transportation system. It is important that transportation partners continue to collect and share key data, such as infrastructure alignment, facility location, asset condition and use. It is also important that the data is kept up-to-date and is able to integrate and be used across jurisdictions and between the public and private sectors. Additionally, transportation partners should continually work to improve existing datasets and identify and develop new datasets. Transportation partners should review the data they collect to ensure it aligns with and supports broader goals and objectives. This will help improve decision-making and allow the transportation system to change over time. For example, MnDOT recently led an effort to implement a linear referencing system to standardize roadway location information in Minnesota. When complete the system will integrate with other MnDOT applications and partner systems to make data-sharing easier.
- **Use research to inform decision-making and foster innovation within the transportation sector.** Transportation decision-makers rely on different types of information and inputs to inform decisions. Research and analysis provide the basis for this information, helping to identify best practices, quantify costs and benefits, and highlight potential issues and impacts. Research is also critical to fostering innovation by identifying and testing new trends, tools and techniques. It is important that transportation partners continue to support research and innovation in all areas. This includes planning, safety, materials, construction and maintenance practices, data collection and others.



“It is important that transportation partners continue to support research and innovation in all areas.”





## Transportation Safety

**Safeguard transportation users and the communities the system travel through. Apply proven strategies to reduce fatalities and serious injuries for all modes. Foster a culture of transportation safety in Minnesota.**

### WHAT THIS IS ABOUT

Transportation safety is a top priority for Minnesota. It includes the safety of individual users and the safety of the communities the system travels through.

**Transportation user safety** applies to all users of the transportation system regardless of their mode of travel. Comprehensive traveler safety involves an integrated approach that includes the “4Es” of safety – education, enforcement, engineering and emergency medical and trauma services – and more. Each of these areas is critical to improving overall safety and helping to grow a traffic safety culture in Minnesota.

**Community safety** is much more than just transportation. However, there is a role transportation partners can play to help ensure Minnesota’s communities are safe. Specific transportation infrastructure, facilities and services can impose risks to the communities they travel through. For example, a train carrying hazardous materials can have serious public safety impacts in the instance of a derailment. Similarly, airport safety zoning is used to help avoid potential public safety issues involving airport operations. Transportation partners need to safeguard against these and similar risks. There are also risks to the transportation system that can negatively impact community safety by inhibiting essential travel needs such as emergency response and emergency medical and trauma services. These threats include severe weather, acts of terrorism and crime. Special events such as major sporting events and political conventions can also strain or overwhelm the transportation system’s capacity and inhibit public safety efforts.

### PERFORMANCE MEASURES

**Table 5-2** lists the existing MnDOT performance measures related to the transportation safety objective. Additional proposed performance measures are under development. These proposed measures are identified in **Chapter 6**.

Table 5-2: Transportation Safety performance measures

MEASURE	TARGET	REPORTING
Total number of fatalities and serious injuries on Minnesota roadways resulting from crashes involving a motor vehicle each year	300 fatalities and 850 serious injuries by 2020	Report totals and by mode and urban or rural; report trend
Total number of aviation fatalities and incidents each year	No target	Report total and trend
Total number of rail derailments each year	No target	Report total and trend
Annual percentage of at-grade rail crossings meeting grade-separation guidelines	No target	Report percentage and trend
Total percentage of the Allied Radio Matrix for Emergency Response buildout complete	100%	Report percentge

## STRATEGIES

- Increase participation in and continue support for the collaborative safety initiative Toward Zero Deaths.** Minnesota’s cornerstone roadway safety initiative, TZD is led through a partnership between MnDOT, the Minnesota Department of Public Safety and Minnesota Department of Health. It is a collaborative program aimed at eliminating fatal and life-changing injury crashes on Minnesota roadways by strategically addressing the “4Es” of safety - education, enforcement, engineering and emergency medical and trauma services. MnDOT and partners provide overarching direction and financial support toward achieving the TZD goals through the Strategic Highway Safety Plan and Highway Safety Improvement Program. Additionally, TZD regional coordinators work to bring together local safety partners, stakeholders and the public to help spread best practices statewide, bring more voices into the conversation and promote a culture of safety throughout Minnesota.
- Explore new opportunities to improve safety for all modes of transportation.** Although important, TZD is only one piece of the overall transportation safety picture. Transportation partners across all modes should continue to find new ways to communicate and work together to improve safety for travelers, infrastructure, facilities, services and the communities they travel through. When it comes to safety, different modes have different issues, priorities and regulations. However, there is a lot that can be learned through coordination and collaboration. This data and information sharing can lead to new safety strategies and policy actions that draw on the best available data, research and experience to improve the safety of the transportation system.

### MINNESOTA TOWARD ZERO DEATHS

**Mission:** To create a culture for which traffic fatalities and serious injuries are no longer acceptable through the integrated application of education, engineering, enforcement, and emergency medical and trauma services. These efforts will be driven by data, best practices and research.

**Goals:**

- Establish the vision of TZD as a priority for all state and local agencies and units of government
- Create and strengthen traffic safety partnerships
- Promote and implement effective traffic safety initiatives

**Values:**

- Continuous improvement
- Engaged partners
- Evidence-based approaches

Learn more at [www.MinnesotaTZD.org](http://www.MinnesotaTZD.org).

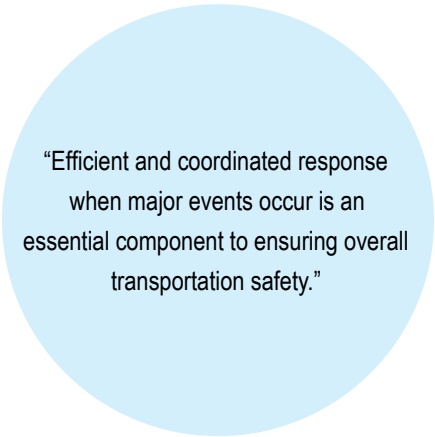
“It is important to remember that enforcement is limited; it cannot stop all violations... For example, education strategies can help improve compliance and should be coordinated with related enforcement efforts to maximize the benefits of both.”

- **Develop and share critical safety information and support educational initiatives to reduce unsafe actions by all transportation users and operators.** Educational initiatives inform transportation system users and operators of the rules and risks related to transportation. This helps to promote safety throughout Minnesota. For example, the TZD program sponsors statewide and regional workshops to bring together safety partners and share information about safety trends, current and emerging practices and ongoing efforts related to the “4Es” of safety. Individual agencies also lead specific safety efforts. DPS develops and distributes child passenger safety materials to child care centers, preschools and teachers to educate about keeping kids safe in vehicles. MnDOT provides educational information on rail crossings, work zone safety, distracted driving and bicycle and pedestrian safety. MnDOT also conducts pilot safety seminars at events throughout the state to help ensure Minnesota pilots remain current in safety training. Additionally, Greater Minnesota transit operators receive continued safety education and training from MnDOT on topics such as passenger assistance, defensive driving and driver and passenger safety. Collaboration and coordination of these educational efforts is critical. Also, as noted in the open decision-making objective, it is important that educational materials are engaging, honest, easy to find and accessible to all Minnesotans.
- **Emphasize enforcement techniques with proven safety benefits.** Compliance of users with transportation laws and requirements is one key aspect of improving safety for all modes. This includes traffic laws, truck weight restrictions and railroad laws, among others. Enforcement is important to achieving compliance. For example, cities, counties, MnDOT and DPS work together to enhance enforcement efforts to prevent impaired driving. These efforts are a factor in the continued reduction of alcohol-related crash deaths in Minnesota. In addition to proven strategies, new opportunities and methods for improving compliance should also be considered. This could include rewriting existing laws in plain language to improve understanding. It also could include exploring new technologies and tools for more efficient enforcement. However, it is important to remember that enforcement is limited. It cannot stop all violations. Other strategies to improve compliance should be explored in addition to enforcement based on the issue or context. For example, education strategies can help improve compliance and should be coordinated with related enforcement efforts to maximize the benefits of both.

- **Plan, design, build, operate and maintain transportation infrastructure and facilities to improve the safety of all users and the communities they travel through.** Transportation infrastructure, facilities and services should be planned, designed and built with the goal of improving safety of all users regardless of age, race, national origin, language, income, housing stability, individual ability or choice of travel mode. As an example, many units of government adopted complete streets ordinances or policies that direct how roads are designed to enable safe access for drivers, transit users, pedestrians and bicyclists. However, there may be instances when safety improvements for one mode may have adverse impacts on other forms of transportation. It is important to consider these trade-offs in safety decision-making. MnDOT and other transportation partners continually work to ensure the compliance of the transportation system with Minnesota’s Olmstead Plan and the Americans with Disabilities Act. In addition to design, the operations and maintenance of infrastructure, facilities and services also have impacts on user safety. It is also important to note that not all safety issues can be fixed using engineering solutions. Engineering, along with education and enforcement, should be used collectively to improve transportation user safety.
- **Implement strategic engineering and technology solutions to improve transportation safety.** For roadways, this primarily includes systematically implementing cost-effective improvements, such as cable median barriers, rumble strips, intersection lighting and turn lanes. Access management and performing proper maintenance on transportation assets can also help improve safety. Additionally, technology plays a critical role in improving safety for all users of the transportation system. Examples include intersection conflict warning systems, bus driver guidance assist systems, smart phone applications for the visually impaired, emergency vehicle signal preemption, air navigation aids and positive train control technology, which is train location and collision avoidance technology for freight and passenger rail service. Advances in vehicle technology, such as self-driving and connected vehicles, may dramatically improve transportation safety and should be encouraged and embraced as the technology develops.



“Transportation infrastructure, facilities and services should be planned, designed and built with the goal of improving safety of all users...”



“Efficient and coordinated response when major events occur is an essential component to ensuring overall transportation safety.”

- **Work with emergency medical and trauma services to reduce response time and increase survivability.** Quick intervention by emergency services dramatically increases the survivability of those impacted by transportation crashes. For this reason, support of the statewide trauma system is critical. This includes minimizing obstructions to crash locations, such as blocked roadways, and ensuring safe and reasonable access to hospitals and other key facilities such as heliports, airports and major highways. Since law enforcement officers are often first responders to the scene of a crash, it is also important that their first responder training be current.
- **Collaborate with local, regional, state and federal planning efforts to ensure efficient and coordinated response to special, emergency and disaster events.** Efficient and coordinated response when major events occur, whether anticipated or unexpected, is an essential component to ensuring overall transportation safety. No one partner can do this alone. It is critical during these events that the transportation system continues to operate and that emergency medical and trauma services are not impacted for travelers and the broader community. Transportation partners can accomplish this through emergency relief and disaster preparedness plans and through strategies and policies that support homeland security and safeguard the personal security of all users. For example, MnDOT developed an emergency response plan that provides for mitigation, response and recovery to events that impact transportation. The emergency response plan is supplemented with mutual aid agreements with various agencies and local jurisdictions. MnDOT also provides training and resources to communities for the development and implementation of airport emergency plans. Additionally, many individual organizations, including state and local agencies, emergency responders and public transit providers, also prepare emergency response plans. For example, the Grand Forks - East Grand Forks metropolitan planning organization established the Bridge Traffic Incident Management Plan to address traffic impacts during closure of any of the four major bridges across the Red River in their area.

- **Enhance and maintain emergency communications infrastructure across the state.** The ability of first responders and other critical personnel to communicate during emergency events is a key component of public safety. Cellular service is often the go-to form of communication to call emergency medical and trauma services to the scene of a crash or to alert authorities of other emergencies. However, cellular service has limitations. It is not available everywhere in Minnesota and networks can be overwhelmed. Transportation partners should continue to support efforts to provide wider access to cellular service, but it is also important to enhance and maintain other emergency communication infrastructure to ensure communications are always available. For example, MnDOT maintains a statewide shared safety communication system for Minnesota public safety providers through a communication backbone service known as the Allied Radio Matrix for Emergency Response. ARMER provides a key backup system and a strategic platform to support national, state, regional and local initiatives such as the Integrated Public Alert Warning System and FIRST Net.







## Critical Connections

**Maintain and improve multimodal transportation connections essential for Minnesotans' prosperity and quality of life. Strategically consider new connections that help meet performance targets and maximize social, economic and environmental benefits.**

### WHAT THIS IS ABOUT

The transportation system is a vital part of keeping Minnesotans connected to jobs, family, shopping, health care, schools, places of worship, recreation and entertainment. Each person identifies different connections as critical based on where they live and their individual needs. In urban areas, critical connections may mean providing safe and reliable alternatives to driving during peak travel periods. In rural areas, it may mean roadway connections to regional centers for people and goods.

Critical connections also vary by type of transportation. For example, the key connections needed for driving may be different than those for freight, transit, bicycling or walking. These connections may also vary in scale depending on whether people and goods move across the state, throughout a region or within a community. All of these connections are important to the overall economic prosperity and quality of life in Minnesota.

While many types of connections are important, given finite resources, it is necessary to set priorities to provide complete, efficient and affordable movement of people and goods. Although all connections are important to someone at some time, there are critical – or priority – connections that serve as the backbone for movement across and within Minnesota. Identifying, maintaining and enhancing these priority connections are a shared responsibility. As a state agency, MnDOT, in cooperation with other transportation partners, strives to provide connections that move people and goods across the state and within regions. This includes roadways, waterways, intercity and regional bus, airports, rail and bicycle routes. Metropolitan planning organizations strive to ensure connections that move people and goods throughout their region. This means developing regional transportation plans and programming projects of regional significance. Local units of government, such as cities and counties, strive to ensure connections that move people and goods within their community. This could mean an integrated network of local roads, safe options to bicycle and walk, easy access to transit service or local connections to key freight routes. All connections, regardless of level, location or transportation type, need to be developed in coordination with one another to ensure a truly connected Minnesota.



## PERFORMANCE MEASURES

**Table 5-3** lists the existing MnDOT performance measures related to the critical connections objective. Additional proposed performance measures are under development. These proposed measures are identified in **Chapter 6**.

Table 5-3: Critical connections performance measures

MEASURE	TARGET	REPORTING
Placeholder for system reliability and delay measures for the Interstate and National Highway System	To be determined	Report total and by passenger or freight and urban or rural; report trend
Average annual aircraft delay compared to scheduled departure time at MSP	No target	Report total and trend
Number of system airports with adequate approaches appropriate for their airport classification	100%	Report percentage and trend
Annual transit on-time performance within the Twin Cities and Greater Minnesota	No target	Report percentage and trend
Percentage of state-owned sidewalk miles substantially compliant with ADA standards	100%	Report percentage and trend
Annual number of available seat miles offered on scheduled service nonstop flights from MSP and Greater Minnesota airports	No target	Report total and trend
Population within 30 minutes surface travel time to a paved and lighted runway	No target	Report percentage and trend
Percentage of state's communities whose span of transit service meets the minimum guidelines each year	90%	Report percentage and trend
Average annual number of jobs accessible within a 30-minute drive during AM peak	No target	Report total and trend
Average annual number of jobs accessible within a 30-minute transit commute during AM peak	No target	Report total and trend

## STRATEGIES

- **Define priority networks for all modes based on connectivity and access to destinations and integrate the networks into decision-making.** This means identifying the connections essential for local, regional, statewide, national or global travel so Minnesotans can reach the destinations important to them. This may include existing and proposed facilities. Priority networks should be defined at the local, regional, statewide, national and global levels. For example, MnDOT identified a state bicycle network and state priority freight network. Metropolitan planning organizations, regional development organizations and local governments define local and regional priority connections. Examples include the Metropolitan Council's Twin Cities Regional Bicycle System Study, the Rochester-Olmsted Council of Governments 2040 Regional Bikeway Map or the regional development organizations' DevelopMN Initiative which has a strategy to identify a coherent network of the most critical roadway connections to maintain over the next 20 years. For transit systems, the priority is to meet minimum service guidelines, which quantify the number of hours a transit agency provides service during weekdays and weekends based on the community's size.
- **Identify and prioritize multimodal solutions that have a high return on investment.** Selecting investments and operational strategies that have a high return on investment demonstrates sound management of limited resources. Calculating return on investment is not limited to only financial considerations. It also includes social, economic and environmental factors such as safety, noise, travel time, vehicle operating costs, surrounding land use and context, air quality and wetland impacts.
- **Identify and prioritize low-cost improvements to accelerate social, economic and environmental benefits when large-scale solutions cannot be implemented in the foreseeable future.** Funding and other constraints may delay or prevent transportation agencies from implementing long-term solutions. In these instances, there may be opportunities to provide lower-cost improvements that can address the transportation need in the short-term until funding is available to provide a long-term solution. For example, MnDOT identified the conversion of U.S. Highway 10 between Coon Rapids and St. Cloud to a freeway as a long-term solution to address safety issues. Currently, this solution is not financially feasible. As a result, MnDOT also identified and is implementing a variety of short-term strategies to address the immediate safety challenges until the funding for the freeway conversion is available.

“Selecting investments and operational strategies that have a high return on investment demonstrates sound management of limited resources.”

- **Support and develop multimodal connections that provide equitable access to goods, services, opportunities and destinations.**

Transportation connects people to their daily needs and provides links to goods, services and opportunities. Every day people take numerous trips - going to work or school, shopping, seeing a doctor, visiting friends or taking a vacation. How these trips are taken may vary depending on the available travel options, distance of the trip, time constraints or even the weather. The type of available connections – roads, transit, rail, bicycle, pedestrian, water or air –varies by geographic area, but the connections should all be accessible regardless of age, race, national origin, language, income or individual ability. This includes ensuring the transportation system meets the transportation goals and strategies identified in Minnesota’s Olmstead Plan and the Americans with Disabilities Act, and that it considers the needs of individuals without stable housing. It also means ensuring these connections are not just available but are also useable. For example, there may be a bus route to a destination, but the scheduled times or hours of service may not make it a viable option to meet user needs.

- **Provide greater access to destinations and more efficient, affordable and reliable movement of goods and people throughout the Twin Cities metropolitan area.**

As the major population and economic center in the state, the efficient movement of goods and people into and throughout the Twin Cities is critical to the state’s overall economy and quality of life. Delays in the Twin Cities metro area can cause delays in the state’s overall transportation network. For example, an intercity bus or semi that is delayed in the Twin Cities will arrive late to its next destination, which may cause additional delays at other stops. Improving system efficiency and providing bottleneck relief in the Twin Cities has statewide benefits. Multimodal options, including transit, bicycling and walking are important contributors to the efficient movement of people throughout the region. A better defined and connected freight network, including air, rail, truck, ports, waterways and intermodal facilities will provide greater accessibility and more efficient movement of goods, contributing to the overall economy and quality of life of the region and state. The Metropolitan Council identified active traffic management, the development of the MnPASS Express Lane system and the expansion of the metropolitan area transit system as primary focus areas for reducing congestion and improving safety.

“The Metropolitan Council identified active traffic management, the development of the MnPASS Express Lane system, and the expansion of the metropolitan area transit system as primary focus areas for reducing congestion and improving safety.”

“Providing a variety of transportation choices that connect regional economic centers and providing options for movement within a city supports the state’s economy and allows everyone... to access goods, services and opportunities.”

- **Improve freight operations and intermodal connections for better access to the transportation system.** Important freight connections include links to manufacturers and distribution centers, farm-to-market routes, forestry access, terminals on the rail, waterway and air cargo systems, among others. Protecting and improving these connections are essential to ensure Minnesota’s prosperity. As an example, the Duluth-Superior Metropolitan Interstate Council formed the Harbor Technical Advisory Committee to discuss harbor-related issues and concerns, promote the harbor’s economic and environmental importance to the community, and provide sound planning and management recommendations to decision makers. MnDOT completed the Statewide Freight System Plan and several regional-level freight studies. MnDOT uses the plan and studies to inform transportation planning and decision-making.
- **Provide transportation options that improve multimodal connections between workers and jobs.** Deciding whether to accept a job or what jobs are available to an individual are influenced by several factors such as the cost of housing and the availability and cost of transportation. There are instances in Minnesota where there is a mismatch between where workers live and where jobs are available. Expanded transit service, shuttle service, carpools and telecommuting are some tools that can make jobs more accessible.
- **Develop and improve multimodal transportation options within and between cities and regions.** People and goods move within and between cities and regions using air transportation, passenger rail, intercity bus, transit and bicycle and pedestrian accommodations. The type of transportation used depends on numerous factors such as travel time, cost, availability and personal preference. Providing a variety of transportation choices that connect regional economic centers and providing options for movement within a city supports the state’s economy and allows everyone, regardless of age, race, national origin, language, income or individual ability, to access goods, services and opportunities. Providing these connections also encourages the use of other forms of transportation besides driving alone.

- **Develop and improve connections between modes of transportation.**

Not only is it important to provide multimodal connections within and between cities and regions, it is also important to support connections between the different forms of transportation. For example, located in downtown Duluth, the Duluth Transit Authority opened the Duluth Transportation Center, a facility that serves transit and intercity bus. It also includes a parking ramp and connections to the city's skyway system and trails. Planners envision future connections with intercity passenger rail. Connections between modes are also important for the movement of freight. Intermodal freight terminals provide multimodal access to national and international markets. The Twin Cities have two rail intermodal (truck to rail) container terminals: BNSF's St. Paul Intermodal Facility and Canadian Pacific's Twin Cities Intermodal Terminal.



## System Stewardship

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**Strategically build, manage, maintain and operate all transportation assets. Rely on system data and analysis, performance measures and targets, agency and partners' needs, and public expectations to inform decisions. Use technology and innovation to get the most out of investments and maintain system performance. Increase the resiliency of the transportation system and adapt to changing needs.**

### WHAT THIS IS ABOUT

After decades of building new corridors and facilities, MnDOT and transportation partners are increasingly shifting their focus to maintaining the existing transportation system. As stewards of the system, transportation partners must protect the massive public and private resources invested in the transportation system.

System stewardship addresses three concepts: asset management, system management and system resiliency.

**Asset management** is a systematic process of cost-effectively operating, maintaining and upgrading assets once they are built or purchased.

Transportation assets include all aspects of the transportation system such as travel ways, vehicles and support facilities. This also includes data, software and research that helps improve materials and practices to maximize the useful life of an asset.

**System management** involves planning for the appropriate changes that will allow the system to adapt to future needs. In strategic system management, it is essential to set priorities and manage based on those priorities. This includes making trade-offs when necessary. It is critical to think in terms of risk and to assess likely impacts to Minnesota's quality of life, economy and the environment.

**System resiliency** refers to reducing vulnerability and ensuring redundancy and reliability to meet essential travel needs. The transportation system is vulnerable to many types of threats and risks, such as severe weather, acts of terrorism and cyber-attacks. Advanced preparation, mitigation and adaptation to threats and risks helps to ensure people and goods are able to continue to travel during emergencies.





## PERFORMANCE MEASURES

**Table 5-4** lists the existing MnDOT performance measures related to the system stewardship objective. Additional proposed performance measures are under development. These proposed measures are identified in **Chapter 6**.

Table 5-4: System stewardship performance measures

MEASURE	TARGET	REPORTING
Annual percentage of state highway miles with poor ride quality in the travel lane	Interstate: 2% NHS: 4% Non-NHS: 10%	Report percentage and trend
Annual percentage of state bridges in poor condition as a percent of total bridge deck area	NHS: 2% Non-NHS: 8%	Report percentage and trend
Placeholder for MAP-21 transit vehicle condition measure	To be determined	To be determined
Annual percentage of runway and parallel taxiway pavement in poor condition at all paved airports	4%	Report percentage and trend
Annual percentage of routine bridge inspections completed on time	100%	Report percentage and trend
Annual percentage of routine culvert inspections completed on time	80%	Report percentage and trend
Annual percentage of bridges with posted weight restrictions	To be determined	Report percentage and trend



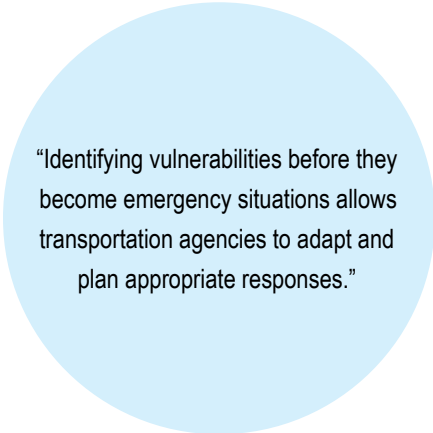


“Good system management requires setting priorities and managing based on those priorities... This means some assets will be maintained to a higher standard than others.”

## STRATEGIES

- **Give asset management priority to infrastructure on identified priority networks.** Good system management requires setting priorities and managing based on those priorities. The critical connections objective directs transportation partners to identify priority networks for each mode based on current and future connectivity and accessibility needs, and to use the networks to inform the decision-making process. Priority networks should be identified for each type of transportation. The size and extent of these priority networks will vary by mode, jurisdiction and focus. For example, MnDOT’s highway priority network, the National Highway System, looks different than its priority for the pedestrian system, which is to support local pedestrian networks that connect to key destinations within communities and across road networks. When it comes to asset management, it is not feasible to maintain all transportation assets in current condition or better due to available resources and changing transportation behavior. The system should change over time. Given this outlook, it is important for transportation partners to invest in priority assets accordingly. This means some assets will be maintained to a higher standard than others. For example, the City of Duluth identified a priority sidewalk network for snow removal. This may also include strategically upgrading critical existing infrastructure where appropriate.
- **Maximize the useful life of transportation assets while considering system performance, costs and impacts to the state’s economy, environment and quality of life.** Capital, operations and maintenance decisions should be made using a risk-based asset management approach. This approach strives to maximize the useful life and minimize the life-cycle cost of all transportation assets. It also considers impacts to the state’s economy, environment and quality of life. The timing of fixes and asset replacement can and should be influenced by economic, environmental and quality of life factors. Considering these factors as part of asset management decisions allows the system to change to address present and future needs.
- **Incorporate asset management principles in capital, maintenance and operations decisions.** A holistic approach is required for effective asset management. Capital, maintenance and operations decisions are all linked and impact one another throughout the life of an asset. For example, capital investments have future operations and maintenance expenses. Likewise, operations and maintenance decisions can impact how frequently an asset needs to be replaced. It is critical that these implications are considered when decisions are made.

- **Better align ownership and operations of Minnesota’s transportation assets with statewide, regional and local priorities.** Transportation assets, including roadways, transit systems, sidewalks, trails, rail track, airports and port and waterway infrastructure, are owned and operated by many different levels of government and private-sector businesses and organizations. The types of funding available, overall priorities and performance expectations vary depending on who owns and operates the asset. To be good stewards of the system, all transportation partners should ensure they safely maintain and operate the assets they are responsible for. This may require right-sizing the system by transferring ownership or consolidating services. It is important that all transportation partners continue to work together and support better aligning asset ownership and operation with priorities at all levels to promote overall system stewardship.
- **Better coordinate the management of all assets connected to the transportation system.** Transportation assets cross jurisdictional boundaries and are connected to other infrastructure systems. For example, city infrastructure such as water, wastewater and fiber optics may be located under a MnDOT roadway that also supports county transit service. Assets also include data. Transportation partners need to continue to communicate with each other about data management, asset condition and projected needs. This communication helps to better coordinate projects, increase efficiencies, maximize the useful life of all assets and minimize disruptions whenever possible.
- **Proactively identify risks to the transportation system and surrounding communities to prioritize mitigation and response activities.** Identifying vulnerabilities before they become emergency situations allows transportation agencies to adapt and plan appropriate responses. Mitigation strategies can help the transportation system and surrounding communities become more resilient to special, emergency and disaster events. For example, MnDOT completed a flash flood vulnerability assessment in two of its districts to identify bridges, culverts and other infrastructure at higher risk of flooding due to climate change.



“Identifying vulnerabilities before they become emergency situations allows transportation agencies to adapt and plan appropriate responses.”

- **Support regional approaches to mitigating identified risks to the transportation system and surrounding communities.** Many risks to the transportation system are larger and more complicated than what can be effectively managed by transportation agencies alone. Addressing these risks requires regional strategies and includes non-transportation partners. For example, it is not always feasible or desirable to address flood mitigation at the individual transportation project level. Sometimes reducing the risk of flooding to a transportation facility, such as making a culvert or bridge opening wider, can create additional risks downstream to property owners and communities. Likewise, changes in land management can create new flood risks to existing transportation facilities. Regional approaches, including transportation partners, watershed districts and land managers, can often be more effective and less expensive at mitigating flooding.
- **Use recovery efforts to reduce system vulnerabilities.** No one wants to experience an emergency such as major flooding, but recovering from this type of event presents a unique opportunity to implement major changes. For example, since 2009, the City of Moorhead has purchased homes that are prone to flooding. In the long-term, this will reduce the costs that occur when the Red River floods.
- **Providing ongoing training to transportation professionals.** As the state's population ages, so, too, does its workforce. Learning to conduct inspections or how to properly maintain some transportation assets can take years of on-the-job training. Many of the workers who currently complete these tasks have been doing their job for many years and are nearing retirement age. Often times, their knowledge was gained from experience, which is not something that can be effectively transferred through a manual or class. Workforce shortages can also be caused by economic changes or changes in regulations. For example, the nation currently faces a shortage of truck drivers due to an increased demand for freight movement and new government hours-of-service regulations that limit the number of hours current drivers work. It is critical that new workers have the opportunity to learn from these experts and that transportation partners are developing employees with long-term workforce sustainability in mind.
- **Conduct regular inspections of transportation infrastructure, facilities and equipment to monitor conditions and identify risks.** Proper operation and maintenance of the transportation system requires regular inspections. These inspections are also critical for identifying and addressing risks. For example, MnDOT recently hired additional rail inspectors to monitor the condition of Minnesota's railways. MnDOT has also studied the effectiveness of using unmanned aerial systems (drones) to conduct bridge inspections, which may reduce the costs and improve the quality of the inspections.

## Healthy Communities

**Make fiscally responsible transportation system decisions that respect and complement the natural, cultural, social and economic context. Integrate land use and transportation to leverage public and private investments.**

### WHAT THIS IS ABOUT

Transportation provides connections to education, employment, recreation and other opportunities that build communities with healthy economies, environments and people. Fostering healthy communities in Minnesota requires that Minnesota's transportation partners consider the impacts of the transportation system on users and the surrounding context. Context refers to the things people care about—the people, places and circumstances of their lives. Transportation and context are closely linked. Together they shape the communities where life takes place. It is important that transportation decisions consider community characteristics such as land use, energy consumption, the environment, economy, culture, public health and the needs of traditionally underserved populations. Conversely, transportation decisions impact the surrounding context and shape the ways in which people live, work, play and access services. Land use decisions that are complementary of the existing and planned transportation system limit the environmental impact of new transportation demand and make transportation in Minnesota more efficient.

Not all places are the same and there is no one-size-fits-all solution for transportation decisions. Considering context when making transportation decisions leads to projects that are safer, sustainable in scale and tailored to the specific places in which they exist—projects that respect and complement the economy, environment and quality of life in a place. It also helps ensure that Minnesota is advancing equitable access to opportunities, preserving the natural and cultural heritage for future generations and maintaining an environmentally and economically-sustainable transportation system for all to use in the future.



## PERFORMANCE MEASURES

**Table 5-5** lists the existing MnDOT performance measures related to the healthy communities objective. Additional proposed performance measures are under development. These proposed measures are identified in **Chapter 6**.


Table 6-5: Healthy communities performance measures

MEASURE	TARGET	REPORTING
Annual greenhouse gas emissions from the transportation sector	29.5 million tons CO <sub>2</sub> equivalent by 2025	Report total and trend
Number of criteria pollutants below National Ambient Air Quality Standards each year	All criteria pollutants below threshold	Report number of pollutants not meeting standards and which pollutants
Total percentage of acres planted with native seeds on MnDOT projects	To be determined	Report percentage and trend
Total percentage of light fixtures using LED luminaries on MnDOT roadways	100%	Report percentage
Annual percentage of MnDOT omnibus survey respondents perceiving safe environments for bicycling / walking	No target	Report percentage and trend
Annual total road salt used for snow and ice control on the state highway system compared to modeled optimal salt use	Less than 10% more than modeled optimal quantity	Report percentage difference and trend


## STRATEGIES

- Plan, design, develop and maintain transportation infrastructure and facilities in a way that reflects and is informed by the surrounding context.** Not every transportation project is the same. The scope of work, the users of the facility and the characteristics of the surrounding community all require unique consideration. For this reason, a one-size-fits-all approach to decision-making and project development is not appropriate. Transportation partners need to make decisions that are reflective of context. Doing this requires having sound information and examples from which to draw, including potential engagement, design and environmental mitigation strategies. Context considerations will help strengthen the connections between land use and transportation decisions by providing multiple “starting points” for project-development conversations, depending on the needs of those who use the system and the surrounding community. The principles of context sensitive solutions should guide plans and projects to address environmental, economic and social needs while involving a broad range of stakeholders, advancing equity and creating lasting value for communities.

- **Give higher priority to transportation improvements in areas with complementary existing or planned land uses.** Community land use planning should consider existing and planned transportation projects as a way to enhance the efficiency and affordability of the transportation system. Local land use decisions can significantly impact transportation, especially when development patterns do not match up with the existing or planned transportation system. For example, siting schools or medical facilities on the edge of communities stresses the transportation system by requiring people to travel greater distances to access resources and often results in new infrastructure investments. Higher priority will be given to transportation projects that serve communities actively planning for and implementing mutually-supportive transportation and land use decisions. For example, under Minnesota’s Safe Routes to School program, local communities must require new subdivisions be built with sidewalks to be eligible for grant funding. Where appropriate, transit-oriented development is a tool that connects land use and transportation infrastructure through higher density residential and commercial development. TOD often incorporates features that better facilitate transit use, bicycling and walking. Local parking policies can also be adjusted to rely on market-based strategies to ensure balanced supply and demand for parking.
- **Coordinate land use and transportation planning within communities to ensure consistency, maximize benefits and limit long-term costs.** Coordinating land use and transportation plans can help ensure that transportation and the surrounding context work together in promoting community, economic and environmental health while limiting the long-term costs of potential discrepancies. Strong coordination helps ensure that transportation decisions are made with land use in mind and that land use and development consider existing and planned transportation infrastructure. This type of coordination is especially important for institutional land uses. For example, communities should consider airports and their required safety zones during the comprehensive planning process to ensure land uses are compatible with the airport. Communities with airport safety zones within their jurisdiction should also depict these boundaries on official zoning maps. These actions will increase a community’s understanding of airport zoning and reduce future land use conflicts and the costs associated with addressing conflicts.



“Higher priority will be given to transportation projects that serve communities actively planning for and implementing mutually-supportive transportation and land use decisions.”



“When possible, transportation projects should look to improve environmental quality and provide ecological services...”

- **Use a complete streets approach to assess trade-offs to better serve both users and those affected by the transportation system.** A complete streets approach to transportation decision-making seeks to integrate the needs of all users regardless of socioeconomic status or individual ability through the design, operation and maintenance of a transportation facility. Examples of complete streets approaches include improved pedestrian crossings, consideration of truck movements and accommodating transit stops. MnDOT is committed to the principles of complete streets. The agency has a policy that complete streets be considered in all projects along the state highway system. Partner agencies are encouraged to formally adopt a complete streets approach. Using a complete streets approach also benefits those who spend time in places located near transportation facilities. Complete streets may reduce the speed and volume of vehicle traffic by using traffic calming strategies and encouraging mode shift away from driving alone. This can reduce the likelihood that transportation facilities become barriers. It can also lessen the environmental impact of the transportation system on surrounding communities.
- **Support and implement approaches that preserve Minnesota's natural resources, avoid causing environmental harm and improve environmental quality.** It is important to address environmental concerns at the project level but also consider broader impacts throughout the system. The use, operations and maintenance of the transportation system impacts the environment. Examples of these impacts include air pollution, water quality issues, storm water runoff, wetland degradation and noise. At the project level, these impacts must be considered to minimize effects to the local environment and meet the requirements of the National Environmental Policy Act. At the system level, Minnesota's transportation system is responsible for air emissions (including particulates, carbon dioxide and more), runoff and other negative impacts that affect people living around transportation facilities. Frequently, these impacts are more pronounced in communities of color and low-income households. For example, major transportation corridors were often built through communities of color, which results in elevated air pollution levels within 300 meters of busy roadways. Minimizing negative impacts from the transportation system in these communities is an important aspect of advancing equity through the transportation system. When possible, transportation projects should look to improve environmental quality and provide ecological services through activities such as increasing pollinator habitat by using native seed mixes on roadsides and increasing the integration of green infrastructure components.



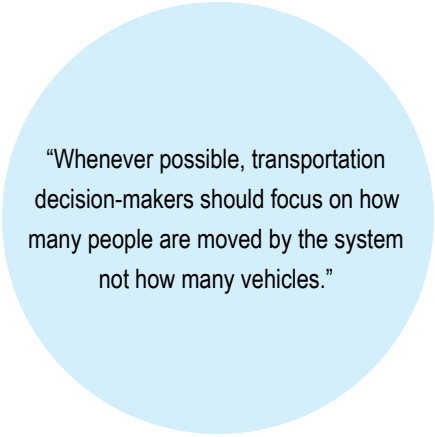
- **Make transportation decisions that minimize and reduce total greenhouse gas emissions.** The transportation sector is the second-largest contributor to greenhouse gas emissions in Minnesota behind only electricity production facilities. It plays a large role in whether the state will meet the emission reduction goals set by the Next Generation Energy Act. Making transportation decisions that minimize and reduce total greenhouse gas emissions will ensure that Minnesota's transportation systems do their part in combating global climate change.
- **Support economic vitality and create and maintain jobs through transportation infrastructure investments.** MnDOT will work with public partners, such as the Minnesota Department of Employment and Economic Development, and private partners to define economic development objectives and leverage local and private resources in an effort to support net-positive economic opportunities in Minnesota. All transportation partners should continue to be actively involved to ensure that the projects selected for funding achieve net economic gains for the state while carefully considering the tradeoffs that accompany economic development opportunities. A particular focus should be placed on ensuring that economic development activities work to advance equity for all people in Minnesota. The Scenic Byways program is an example of transportation investments that help support local economic development and create and maintain jobs through tourism.
- **Develop a transportation system that is respectful of cultural resources and maintains those resources for generations to come.** Minnesota is home to a vast array of cultural resources, many of which are tied to the transportation system. Cultural resources can be broadly defined as evidence of past human activity, including art, language, structures and more. Ensuring that these resources are considered in transportation system decisions is crucial to allow future generations of Minnesotans to visit, explore and enjoy the same cultural resources that exist today. The transportation system should do its part to preserve Minnesota's indigenous languages, historic properties and cultural identities for years into the future.

### NEXT GENERATION ENERGY ACT OF 2007

Minnesota's Next Generation Energy Act sets targets for energy conservation, renewable energy use and greenhouse gas emission reductions. The GHG goals identified in law are for the state to reduce emissions from all sectors to:

- 15 percent below 2005 levels by 2015
- 30 percent below 2005 levels by 2025
- 80 percent below 2005 levels by 2050

Data is not yet available for 2015 but Minnesota likely did not achieve the identified reduction target.



“Whenever possible, transportation decision-makers should focus on how many people are moved by the system not how many vehicles.”

- **Identify and give priority to infrastructure improvements, services and education that increase the number of people who bicycle, walk and take transit.** Increasing the number of people who bicycle, walk and take transit has many benefits for Minnesota’s communities. Shifting a greater share of travelers towards more active modes has the potential to improve the health of Minnesota’s people and environment by encouraging physical activity and reducing vehicle emissions. Programs such as the federal transportation alternatives set-aside offer funding and resources to encourage walking and bicycling in communities. Additionally, increasing the availability of broadband access may allow Minnesotans to work remotely or connect to medical services without needing to travel significant distances to see a specialist. Reducing the number of people driving alone has a number of benefits that can improve community, economic and environmental health. Whenever possible, transportation decision-makers should focus on how many people are moved by the system not how many vehicles. Fewer people driving alone also benefits freight movement, as fewer cars on the road means less congestion and more space for trucks to carry goods.



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## Chapter 6

### WHAT IS NEXT FOR MNDOT?

## NEXT STEPS

The Minnesota GO Vision, guiding principles and the objectives and strategies laid out in **Chapter 5** provide direction for all transportation partners. This direction outlines how partners should work together to develop, maintain and operate Minnesota's transportation system. This chapter outlines how MnDOT, specifically, will move forward. The next steps for MnDOT include identifying near-term work activities, continued planning efforts as well as monitoring and reporting.

## WORK PLAN 2017-2020


MnDOT will do the activities listed below before the Statewide Multimodal Transportation Plan is updated again in four years. These activities are not necessarily specific to any one objective or strategy but represent key areas for MnDOT to advance. Taken together, these activities will help realize the overall policy direction laid out in this plan. The list is not meant to be all-inclusive. There are many other activities in each of these areas and other areas that MnDOT will do in the upcoming years to help move this plan forward.

## Work Plan Activities


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### ENGAGEMENT, COMMUNICATIONS & EDUCATION

- **Increase the transparency of MnDOT's project selection processes.**  
How MnDOT selects construction projects is a regular topic of interest. Recently, the Office of the Legislative Auditor reviewed MnDOT's project selection process and made several recommendations. There are several actions MnDOT will undertake to improve transparency. These actions include implementing best practices to improve transparency of the project selection process and local agency involvement, establishing a method to track spending of local dollars on the trunk highway system, and identifying the most important future expansion projects if new funding becomes available.
- **Provide more continuous engagement with partners and the public.**  
Currently, engagement at MnDOT is very project-focused. MnDOT has a large presence within a community during planning and construction activities but is less present and involved if no work is currently underway. Expanding MnDOT's engagement efforts to include more ongoing communication and relationship-building at the district level would allow for better understanding of broader local and regional priorities. Additionally, this would create opportunities for increased education about key transportation topics and issues. It would likely also improve coordination and engagement on specific projects when they do occur.



**Related Objectives:** Open  
Decision-Making



**Related Objectives:** Open  
Decision-Making

- **Develop and update new, more inclusive public engagement resources.** MnDOT is regularly trying different and new engagement tools and tactics through the agency's various planning processes, and learning important lessons along the way. As follow-up to this plan, MnDOT will compile a resource library of different tools and tactics for public engagement and make them easily available to internal and external audiences. The resources will include key information about how to implement the tool / tactic, its effectiveness and the costs associated with implementation. This will help develop a more consistent and effective approach to engagement throughout MnDOT. Special emphasis will be placed on identifying engagement tools and tactics to better reach traditionally underserved populations.
- **Develop and improve educational materials to answer key questions of interest to Minnesotans.** As follow-up to this plan, MnDOT will identify key transportation topics of interest to the public and our partners and develop and improve educational materials such as text, videos and graphics related to these topics. Emphasis will be placed on resources that are engaging, easy to understand, easy to find and accessible to all Minnesotans. This effort will build off existing resources, such as [www.dot.state.mn.us/getconnected](http://www.dot.state.mn.us/getconnected) and [www.MinnesotaGO.org](http://www.MinnesotaGO.org). Topics for initial consideration include: (1) How are transportation projects identified and by whom? (2) Where does the money for transportation come from and how is it spent? (3) What are the benefits of transportation investments? (4) What are the goals for our transportation system and progress toward these goals? (5) How and when do stakeholders get involved in the planning and project-development process? (6) How do performance measures influence project selection? (7) What are the long-term projections for system condition?
- **Develop and execute safety education campaigns.** MnDOT supports various safety education campaigns each year, in coordination with Toward Zero Deaths and other agency partners such as the Department of Public Safety. In follow-up to this plan, MnDOT will support safety education campaigns to address key safety issues such as work zone safety, pedestrian and bicycle safety, motorcycle safety and distracted driving. Other safety topics will be identified and implemented on an ongoing basis. MnDOT will work to make all educational materials engaging, honest, easy to find and accessible to all Minnesotans.

**Related Objectives:** Open Decision-Making

**Related Objectives:** Open Decision-Making, Transportation Safety and System Stewardship

**Related Objectives:** Transportation Safety and Healthy Communities

## ADVANCING EQUITY

### Related Objectives:

Open Decision-Making,  
Critical Connections and  
Healthy Communities

### Related Objectives:

Open Decision-Making,  
Critical Connections and  
Healthy Communities

- **Study how transportation affects equity and identify transportation strategies and approaches that will meaningfully reduce disparities.** Transportation policies can contribute to inequality related to race, income, ability and other factors. However, they can also help reduce negative effects brought about by development and construction and improve quality of life for all. To better understand how Minnesota’s transportation policies affect equity, MnDOT will develop an “advancing transportation equity” report. The report will be modeled from the Advancing Health Equity Report completed by the Minnesota Department of Health. It will include defining what an equitable transportation system is and identifying transportation strategies and approaches that can advance equity and reduce disparities.
- **Pilot tools and strategies to better incorporate equity into project-level decision-making.** MnDOT is committed to incorporating equity into transportation decision-making. However, more work is needed to fully understand what that means for the transportation system and how it will be best accomplished. The upcoming I-94 study between downtown St. Paul and downtown Minneapolis, because of its size, importance, location and history, provides a platform for MnDOT to explore new tools and strategies. The study will focus on ensuring equity is incorporated throughout the project, from early engagement to a more equitable transportation system upon its completion. MnDOT will document lessons learned as a part of this project in order to continually improve the agency’s ability to promote equity in future projects.



## ASSET MANAGEMENT

- **Expand and improve asset management planning.** Building on the agency's ongoing asset management practices, MnDOT will add more categories of infrastructure to asset management planning efforts. Additionally, MnDOT will review and update data management practices to support the agency's asset management planning and will make MnDOT data available to local partners when possible. MnDOT will also work with cities, counties and other partners to collect and report local system condition data. MnDOT will expand the Transportation Asset Management System to include all significant highway assets – pavement, bridges, pedestrian and bicycle infrastructure, roadside infrastructure, etc. When fully operational, the Transportation Asset Management System will identify and track individual assets such as signals, lighting, intelligent transportation systems infrastructure and guard rails. The long-term goal is to include all MnDOT highway-related assets. To account for the full life-cycle of infrastructure, MnDOT will study and implement methods to better incorporate maintenance and operations activities in capital investment plans and will develop a methodology to calculate maintenance needs based on capital investments. MnDOT will also partner with asset management planning efforts for non-highway assets, such as transit vehicles.
- **Identify vulnerabilities and assess risks to the transportation system.** Identifying system vulnerabilities before they become emergency situations allows MnDOT to adapt and plan appropriate responses. MnDOT will continue to complete vulnerability assessments for risks such as landslides and flooding related to a changing climate. MnDOT will also explore vulnerability assessments for risks in other areas. As risks are identified, MnDOT will evaluate strategies to reduce or eliminate vulnerabilities. For example, MnDOT will study the potential of developing a flood mitigation / climate adaptation program that would facilitate updates on the state highway network to increase resiliency to climate-related impacts. MnDOT will also develop better methods to track and report investments to respond to identified system risks.

**Related Objectives:**  
Open Decision-Making and  
System Stewardship

**Related Objectives:**  
Critical Connections,  
System Stewardship and  
Healthy Communities



**Related Objectives:**  
Open Decision-Making and  
Healthy Communities

**Related Objectives:**  
Critical Connections,  
System Stewardship and  
Healthy Communities

## LAND USE & TRANSPORTATION

- **Develop tools and resources to support transportation decisions that reflect the surrounding context.** For years MnDOT has embraced the idea of context sensitive solutions and flexible design standards to develop and maintain a transportation system that is reflective of the people and places that it serves. However, adoption of these ideas has been inconsistent. Developing context guidance will help bring together related initiatives, establishing a common framework and language to describe context-focused design and maintenance going forward. One particular component of context that will need to be explored is the definition of “urban.” MnDOT will review its current policies and programs to identify the different ways in which urban is defined and select a definition to be used for performance reporting. Additional work that seeks to establish recommended practices for community engagement in different settings will also be part of this effort. In the end, additional context guidance will provide multiple potential starting points for a project, allowing for greater flexibility while offering a common reference for many different initiatives at MnDOT.
- **Update MnDOT technical guidance to incorporate new practices and policy direction.** MnDOT is responsible for a variety of technical guidance which influences how projects are developed and impacts communities in Minnesota. It is important that these documents are updated periodically to reflect new research, innovation and policy direction. In the near term, MnDOT will update its access management guidance to reflect changes that have occurred to the state’s highway system since the guidance was completed in 2008. Effective access management reduces congestion and crashes, preserves road capacity, improves travel time, eases movement between destinations and supports local economic development. The Road Design Manual establishes uniform policies and procedures for MnDOT. Since it was last updated, several revisions have occurred. MnDOT will update the Road Design Manual to incorporate existing technical memoranda and consider additional policy guidance, such as new context considerations. Other guidance documents will be reviewed and updated, as appropriate.

## PLANNING

- **Review existing and potential new National Highway System intermodal connectors.** NHS intermodal connectors, or last mile connectors, are roadway segments that provide access between the NHS and major passenger or freight intermodal facilities such as ports and airports. Eligible intermodal facilities are determined by annual passenger or freight volumes or daily vehicle traffic and the importance of the intermodal facility within the state. MnDOT will work with its partners to review existing NHS intermodal connectors and identify potential new connectors.
- **Refine the methodology used for calculating return on investment.** Calculating return on investment includes not only financial considerations, but also social, economic and environmental factors such as safety, noise, travel time, vehicle operating costs and air quality. Currently, MnDOT uses ROI when selecting projects for some programs. MnDOT will clarify how ROI is used in its current programs, examine whether ROI can be used in additional programs and research potential new factors for consideration. MnDOT will also explore tools to measure the health impacts of transportation decisions, such as the Integrated Transport and Health Impact Modelling tool.
- **Maintain the MnDOT Trend Analysis Library.** As part of the SMTP update process, MnDOT produced more than 20 papers that explore the interaction between trends that will shape the future of Minnesota and the state's transportation system. These papers are available on MnDOT's statewide planning website – [www.MinnesotaGO.org](http://www.MinnesotaGO.org). As a follow-up to this plan, MnDOT will identify and implement an update schedule for each paper to ensure they are kept up-to-date and available as a resource for future planning and engagement efforts. New trend topics will be added as they emerge.
- **Study and work with transportation partners to prepare for connected and autonomous vehicles.** Vehicle technology is changing rapidly. As part of this plan update, MnDOT identified some of the potential implications of self-driving and connected vehicles. MnDOT will work with other state and federal agencies, transportation partners and industry to monitor changes, review and update regulations, and explore demonstration projects. As part of those efforts, MnDOT will explore how to ensure the technology benefits individuals with disabilities. As more information about how the new vehicles perform in real world conditions becomes available, MnDOT will review and adjust the agency's plans to incorporate the technology.

**Related Objectives:** Critical Connections

**Related Objectives:** Open Decision-Making, Critical Connections and Healthy Communities

**Related Objectives:** Open Decision-Making

**Related Objectives:** Transportation Safety and Critical Connections

## CLIMATE CHANGE & ENVIRONMENTAL QUALITY

**Related Objectives:**  
Healthy Communities

**Related Objectives:**  
System Stewardship and  
Healthy Communities

- **Work with transportation partners to identify and advance statewide strategies for reducing greenhouse gas emissions.** As part of this plan update, MnDOT formally adopts the target of reducing GHG emissions from the transportation sector by 30 percent from 2005 levels in accordance with the Minnesota Next Generation Energy Act. While GHG emissions from the transportation sector have declined and are projected to continue declining, emissions are still projected to be 10 to 15 percent higher than the target. Many different approaches will be required to make progress on this target. MnDOT will work internally and with transportation stakeholders to identify and implement strategies to reduce GHG emissions from the transportation sector.
- **Study and implement new and improved practices to reduce negative environmental impacts from state highway maintenance and operations.** MnDOT manages more than 175,000 acres of greenspace along Minnesota's roadways. How these green spaces are managed impacts the environment. For example, these green spaces are an opportunity to provide habitat corridors for pollinators such as bees and butterflies. The use of native plant mixes also provides habitat for native animal life and reduces the impact of storm water runoff and erosion from major precipitation events, among other environmental benefits. As such, it is important that MnDOT reduce negative impacts to, and enhance where possible, these green spaces. To do this, MnDOT will continue to study the costs and benefits of increasing the use of native roadside planting and partner to implement native plantings in key corridors. Additionally, MnDOT will implement strategies to reduce chloride use during winter maintenance and limit the spread of invasive species.



## NEXT STEPS FOR THE FAMILY OF PLANS

MnDOT's family of plans provides direction for all the ways that goods and people move throughout Minnesota. All planning at MnDOT begins with the Minnesota GO 50-year Vision. The SMTP is the next level of planning in the family of plans. It provides policy direction to each of the modal and system plans. The modal and system plans include:

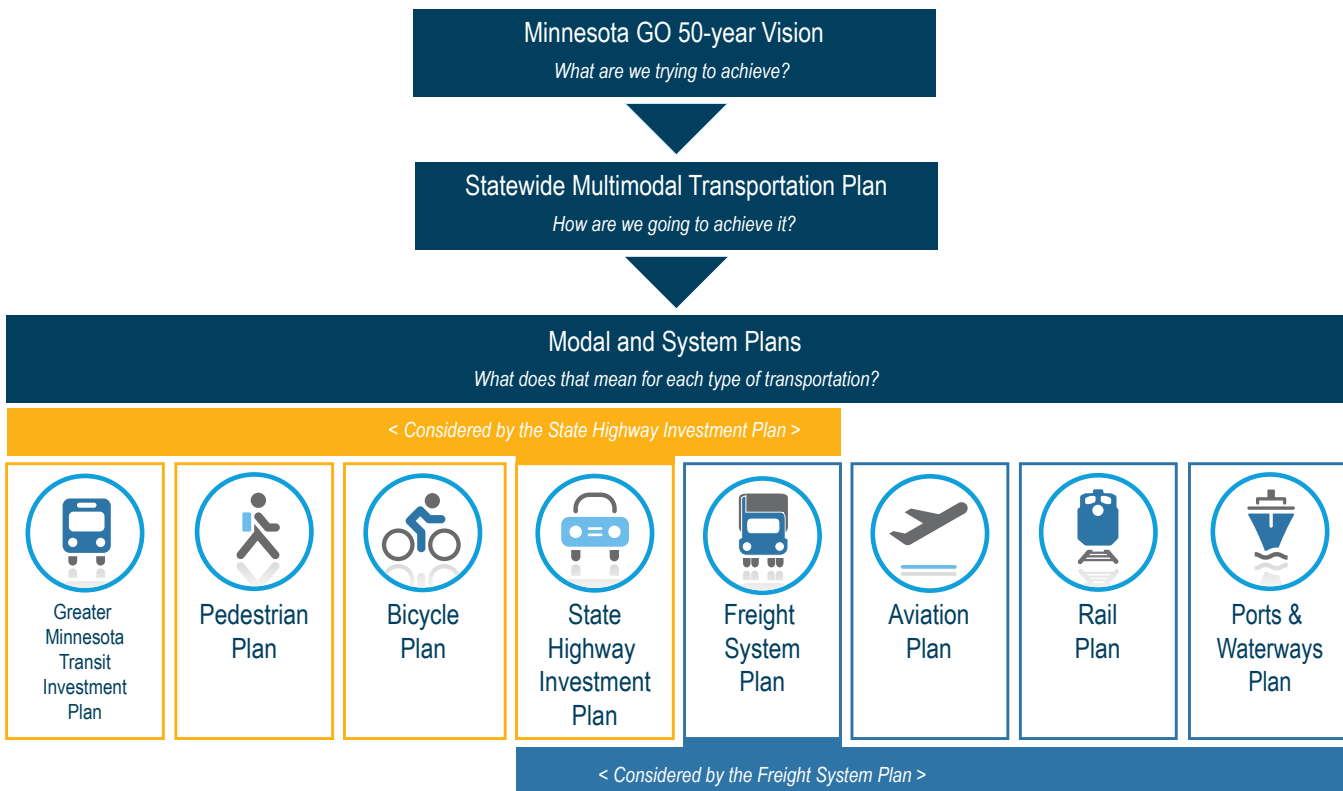
- **Greater Minnesota Transit Investment Plan** – This plan sets priorities for transit investments and determines the level of funding necessary for the state to meet its transit needs in Greater Minnesota. The Greater Minnesota Transit Investment Plan is currently being updated and is anticipated to be adopted in late 2016 / early 2017.
- **Statewide Pedestrian System Plan** – MnDOT is currently developing the state's first statewide pedestrian plan. The plan will be based off of the collaborative framework, **Minnesota Walks**, developed in 2016 with the Minnesota Department of Health. The plan will also identify key strategies to increase walking and rolling year-round. It is anticipated to be complete in late 2017 or early 2018.
- **Statewide Bicycle System Plan** – This plan identifies policy direction for bicycle transportation in Minnesota. The most recent update of the Statewide Bicycle System Plan was adopted in 2016.
- **State Highway Investment Plan** – This plan sets a fiscally-constrained, performance-based, 20-year investment direction for future capital improvements on Minnesota's state highway system. The 20-year State Highway Investment Plan is currently being updated and is anticipated to be adopted in early 2017.
- **Statewide Freight System Plan** – This document broadly plans for Minnesota's freight system across all modes. The most recent update of the Statewide Freight System Plan was adopted in 2016.
- **State Rail Plan** – This plan establishes guidance for Minnesota initiatives and investments for freight and passenger rail services. The most recent update of the State Rail Plan is anticipated to be adopted in 2016.
- **State Aviation System Plan** – This plan informs decision making and guides the development of Minnesota's system of publicly-funded airports. The most recent update of the State Aviation System Plan was adopted in 2013.
- **Statewide Ports and Waterways Plan** – This document broadly plans for Minnesota ports and waterway facilities. The first Statewide Ports and Waterways Plan was adopted in 2013.

Figure 6-1 shows the relationship between the plans within this family.

The new policy direction from this SMTP will be reflected in each of MnDOT's modal and system plans as they are updated. It is anticipated that these updates will occur over the next few years.

In addition to MnDOT's family of plans, there are many more supporting plans and studies that inform transportation decision-making at MnDOT and for other transportation partners. These plans focus on specific topics, such as safety or on specific geographic areas or corridors. All of this planning helps direct the specific projects that build, maintain and operate Minnesota's transportation system.

Figure 6-1: MnDOT Family of Plans



## MONITORING & REPORTING

To track progress toward the objectives identified in this plan, MnDOT will continue to monitor and report on the key performance measures identified in **Chapter 5**. The primary reporting method is MnDOT's Annual Transportation Performance Report. This report holds transportation partners accountable for delivering the direction identified in this plan. It also allows the public and transportation partners to see how well the plan strategies are working. Since the SMTP is only updated every four years, annual performance reporting is useful to identify if and when any mid-course corrections are necessary.

MnDOT will also work to develop additional performance measures and targets in the near-term. The current list of measures does not tell the complete story of the plan, yet. For some policy areas there is a need to develop new measures or reassess existing targets to better communicate progress. Specific measures to be explored and developed are identified in **Table 6-1**. However, others may be added over time.

Table 6-1: Proposed performance measures to be developed in the next one to three years

PROPOSED MEASURE	RELATED OBJECTIVE
Public engagement measures to be developed by MnDOT public engagement committee	Open Decision-Making
Construction projects completed on time	Open Decision-Making
Measure of project delivery for modes / programs that MnDOT manages through grants as opposed to construction	Open Decision-Making
Access to trauma center measure	Transportation Safety
Allied Radio Matrix for Emergency Response maintenance / reliability measure	Transportation Safety
Job accessibility by bicycling and walking	Critical Connections
Reliability of intercity commuter rail and bus services	Critical Connections
Measure of improvement to bicycle and pedestrian networks on the state highway system	Critical Connections
Measure of availability/condition of first/last mile connections	Critical Connections
Measure of rail asset condition	System Stewardship
Measure of waterway asset condition	System Stewardship
Measure of pedestrian asset condition	System Stewardship
Measure of total system value	System Stewardship
Measure of total system size	System Stewardship
Measure of jurisdictional transfer progress	System Stewardship
Annual percent of Minnesotans who use each mode of transportation	Healthy Communities

MnDOT will also look to improve how performance measures are reported to make sure the information is easy to find, engaging and accessible to all Minnesotans. MnDOT will update its performance measure website and reporting to include all the performance measures from **Chapter 5** and new measures as they are adopted.

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# Appendices

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Statewide  
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## Appendix A

### ACKNOWLEDGEMENTS

## ACKNOWLEDGEMENTS

This update to the Statewide Multimodal Transportation Plan would not have been possible without the contributions of many individuals and partners.

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Transportati

# Appendix B

## GLOSSARY

## GLOSSARY OF TERMS

### A

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**Americans with Disabilities Act** – The Americans with Disabilities Act, or ADA, is federal legislation passed in 1990 that protects against discrimination based on disability. A portion of the law imposes accessibility requirements on public accommodations, which includes transportation infrastructure.

**Area Transportation Partnership** – Unique to Minnesota, Area Transportation Partnerships, or ATPs, are regional partnerships made up of technical and political representatives from the state, tribes, counties, cities, townships and other local partners. There are eight ATPs that closely follow MnDOT district boundaries. Each ATP in the state functions differently. Generally speaking, the ATPs are programming entities. They have some level of involvement in selecting projects for most state and federal funding programs. However, their role varies depending on the funding program and ranges from reviewing and commenting to project selection.

**Asset** – In terms of transportation, an asset refers to infrastructure, equipment or information under the responsibility of a transportation entity, such as roadway pavement, transit vehicles, performance data, etc.

**Asset management** – Asset management is a systematic process of maintaining, upgrading and operating physical assets cost-effectively throughout their life-cycle. Asset Management provides a decision-making framework for both short- and long-range planning.

**Autonomous vehicle** – An autonomous vehicle refers to a vehicle that is capable of sensing its surroundings and navigating without a human driver. Autonomous vehicles are sometimes called driverless cars, self-driving cars or robotic cars.

### C

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**Climate change** – Climate change refers to a change in global or regional climate patterns. This includes natural variation and the influence of human activity.

**Complete streets** - Complete streets is an approach to road planning and design that considers and balances the needs of all users. The goal is to provide a system that is accessible and equitable to all, regardless of how they choose to travel.

## E

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**Environmental stewardship** – Environmental stewardship is the protection and preservation of environmental quality, support for healthy communities and conservation of natural resources.

**Equity** – Equity is fairness. It applies to people of all races, ethnicities, incomes, and abilities. It is not the same as equality, which means equal.

## F

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**The FAST Act** – The FAST Act is the federal surface transportation legislation passed in 2015. It provides federal funding and direction for transportation in Minnesota. The name FAST Act stands for the “Fixing America’s Surface Transportation Act.”

## G

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**Greater Minnesota** – Greater Minnesota is the portion of the state excluding the Twin Cities region.

**Greenhouse gas emissions** – Greenhouse gasses are atmospheric gases that contribute to the greenhouse effect through their absorption of solar radiation. Commonly-known greenhouse gases are carbon dioxide, methane and ozone.

## H

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**Human capital** – Human capital refers to the skills, knowledge and experience of an individual or population. It is viewed in terms of their value or cost to an organization or country.

## I

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**Infrastructure** – Infrastructure refers to the basic underlying structures and facilities that are required by society, such as buildings, roads and power supplies.

## L

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**Land use** – Land use refers to the physical characteristics and activity that define an area. Different types of land uses exist, such as residential, commercial and agricultural.

## M

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**MAP-21** – MAP-21 is the federal surface transportation legislation passed in 2012. It provided federal funding and direction for transportation in Minnesota. It was superseded by the FAST ACT in 2015. The name MAP-21 stands for the “Moving Ahead for Progress in the 21st Century Act.”

**Metropolitan Planning Organization** – A Metropolitan Planning Organization, or MPO, is an entity created by federal law. The role of MPOs is to provide local elected officials input into transportation planning, programming and implementation. MPOs are designated in metropolitan areas with populations over 50,000. State department of transportations are required by federal law to cooperate with MPOs related to transportation planning and implementation that impacts a MPO region.

**MnPASS** – MnPASS is the name of the system of high-occupancy toll lanes in the Twin Cities. The lanes are free for vehicles carrying two or more people and buses. Solo drivers may pay to use the lanes.

**Mode** – Mode refers to the different ways that goods and people move, such as by foot, bicycle, car, truck, train, ship and airplane.

**Multimodal** – Multimodal refers to anything that includes more than one type of transportation. For example, the Statewide Multimodal Transportation Plan is a plan for all the ways people and goods move throughout Minnesota.

## N

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**National Highway System** – The National Highway System, or NHS, is a network of strategic highways throughout the country. It includes Interstates as well as other roads that serve major freight or passenger facilities and destinations. The NHS is designated by the United States Department of Transportation, but MnDOT periodically reviews and submits changes to the system.

**Next Generation Energy Act** – Minnesota’s Next Generation Energy Act of 2007 set targets for energy conservation, renewable energy use and greenhouse gas emission reductions in the state.

## O

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**Objective** – In the SMTP, an Objective is a few key phrases that describe the goal that MnDOT and transportation partners are working toward. This plan’s objectives can be found in Chapter 5.

## P

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**Performance Measure** – In the SMTP, a performance measure is a metric that measures progress toward a goal, outcome or objective. This plan's existing performance measures can be found in Chapter 5. Potential future measures are identified in Chapter 6.

**Programming** – In transportation, programming refers to the process of identifying which projects will receive funding. Different funding sources have different processes to select projects. Most projects use funding from more than one source.

## Q

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**Qualitative** – Qualitative refers to something measured by its characteristics rather than number.

**Quantitative** – Quantitative refers to something measured by its number rather than characteristics.

## R

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**Regional Development Organization** – Regional Development Organizations, or RDOs, are regional entities that primarily work with, and on behalf of, local units of government in order to develop plans and implement programs that focus on the economic, social, physical and governmental concerns in each region of the state. This includes working with MnDOT related to rural regional transportation planning and programming. There are 12 regions that cover Greater Minnesota. Many of the RDOs are formally-designated Regional Development Commissions, or RDCs, as established by Minnesota state statute. However, not every region has a formal RDC. In these regions, other organizations serve a similar role.

**Return on investment** – Return on investment, or ROI, is a measure comparing costs and benefits of a particular project, action, or strategy. In transportation, ROI is most commonly used to determine the net present value of a project and typically includes financial as well as societal costs and benefits.

## S

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**Socioeconomic** – Socioeconomic refers to a combination of social and economic factors such as a person's job, income and education. A person's socioeconomic status can impact their transportation needs, preferences and choices.

**Strategy** – In the SMTP, a strategy is an action to help MnDOT and transportation partners achieve an objective. This plan’s strategies can be found in Chapter 5.

**System resiliency** – System resiliency refers to the transportation system’s ability to handle stresses, such as extreme weather or other emergencies.

## T

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**Target** – In the SMTP, a target is a specific performance level representing the achievement of a goal, outcome or objective. This plan’s performance targets can be found in Chapter 5, as applicable.

**Technical memoranda** – Technical memoranda, or tech memos, refer to a document that is specifically targeted to a technical audience, such as practicing engineers or engineering managers, who are interested in the technical details of a project or task. Tech memos usually are brief and cover only a single topic.

**Toward Zero Deaths** – Toward Zero Deaths, or TZD, is Minnesota’s cornerstone roadway safety initiative. It is led through a partnership between MnDOT, the Minnesota Department of Public Safety and Minnesota Department of Health. It is a collaborative program aimed at eliminating fatal and life-changing injury crashes on Minnesota roadways by strategically addressing education, enforcement, engineering, and emergency response issues.

**Transportation user** – Transportation user refers to a person using any mode of transportation, whether walking, bicycling, driving, riding as a passenger, etc.

**Twin Cities** – Twin Cities refer to the portion of the state including and surrounding Minneapolis and St. Paul. Most commonly, this includes the seven-county area of Anoka, Carver, Dakota, Hennepin, Ramsey, Scott and Washington counties. Other similar, though different, “Twin Cities” boundaries also exist.

## U

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**Unmanned aerial systems** – Unmanned aerial systems, or UAS (also known as drones), are aircraft that fly without a human pilot aboard. They can operate with various levels of autonomy, from a remote control human operator to fully-autonomous led by on-board computers.





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## Appendix C

### OVERVIEW OF TRANSPORTATION FUNDING IN MINNESOTA

A high-level summary of this information is included in **Chapter 2** of the SMTP.

### TRANSPORTATION REVENUE VS. GENERAL REVENUE

**Transportation revenue** describes funding raised through the use of the transportation system or related activities. This includes taxes, fees and profits connected to transportation. Examples of transportation revenue are fuel taxes and money collected from passenger fares.

**General revenue** describes funding that is not directly tied to a transportation activity, such as property taxes.

All transportation modes are funded to some extent by both transportation revenue and general revenue.

## INTRODUCTION

Many partners are involved in funding Minnesota's transportation system. The federal government, tribal governments, state government, counties, cities, townships and metropolitan planning organizations, and private corporations and non-profit organizations all provide transportation funding or help decide how money is spent. However, the specific role each partner plays is different. Some partners provide money through one or more funding sources. Others only provide direction for how money from certain sources should be spent. Most partners do both. For each mode of transportation, the mix of funding partners is different. For example, local units of government provide the largest portion of funding for Minnesota roadways. However, the state's rail system is primarily supported through funding from private corporations.

Funding sources can be grouped into two categories based on where the money comes from – transportation revenue or general revenue.

Transportation revenue describes funding raised through the use of the transportation system or related activities. This includes taxes, fees and profits connected to transportation. Examples of transportation revenue are fuel taxes and money collected from passenger fares. Conversely, general revenue describes funding that is not directly tied to a transportation activity, such as property taxes. All transportation modes are funded to some extent by transportation revenue and general revenue.

Different rules guide how money is allowed to be spent. Generally speaking, funds from public sources are distributed to specific projects and activities through programs (**Figure C-1**). A funding source may contribute to only one program or many. Specific projects are often funded from more than one program. Putting it all together is a complex puzzle. Funding for any given project depends on a variety of factors such as the project purpose, transportation mode, scope, lead organization and timing.

Transportation projects can be grouped into different categories based on the type of activity. At a high level, the main types of activities are:

- Capital, which includes the construction of facilities and purchase of equipment. It can also include activities necessary to deliver capital projects such as planning, purchase of land, design, etc.
- Maintenance, which includes the rehabilitation of existing facilities and equipment, such as roadway repair.
- Operations, which includes activities that support the safe use of the system such as inspections, bus driving, plowing, traffic control, etc.

Figure C-1: Transportation funding process



In addition to funding, financing is also an important tool used to support Minnesota’s transportation system. Funding refers to money available at the time of a project, such as having \$20 in one’s wallet. Examples of funding sources are taxes and fees. Financing, on the other hand, is money provided with the expectation that it will be paid back, usually with interest. This is like charging something to a credit card or taking out a loan. The money eventually needs to be repaid from a funding source. An example of financing is bonding. Funding and financing are both useful but it is important to understand the difference between them.

## How to Read This Document

The information in this document represents a snapshot in time. It reflects current funding conditions, which may change as new laws or guidance are developed or as the use of the system changes. This document is not an accounting of every dollar spent on transportation in Minnesota. Rather, it focuses on identifying the key funding sources and programs, and the relationships between them. It also focuses primarily on public sources of funding due to information availability.

How the funding and financing pieces come together to build, maintain and operate the system is different for each mode of transportation. The following sections identify the key funding sources and programs for each transportation system - air, ports and waterways, rail and surface transportation, which includes roadways, trails, transit and intercity bus service. Each section also includes a graphic that highlights the relationships between the different funding sources and programs. **Figure C-2** explains what is included in each graphic.

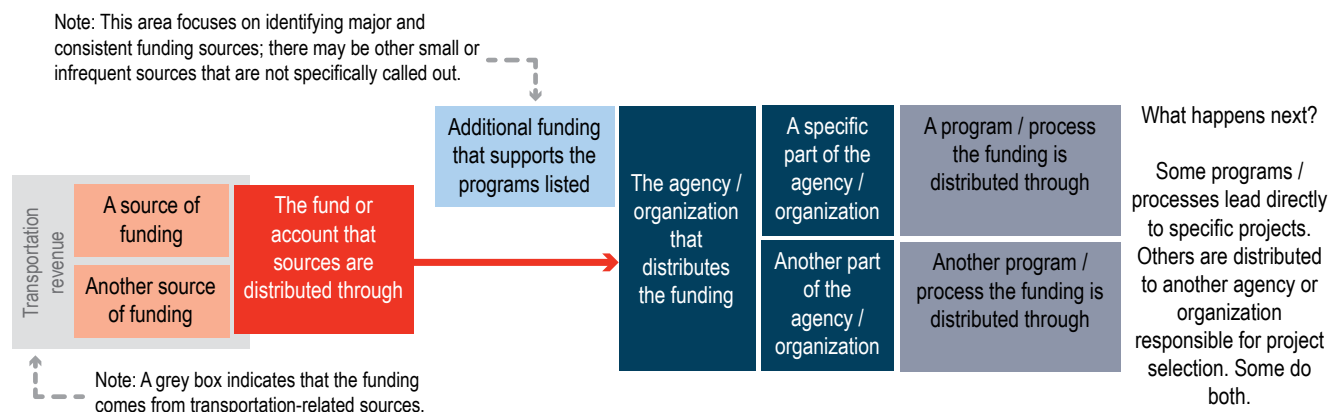
**FUNDING VS. FINANCING**

**Funding** refers to money available at the time of a project, such as having \$20 in one’s wallet. Examples of funding sources are taxes and fees.

**Financing** is money provided with the expectation that it will be paid back, usually with interest. This is like charging something to a credit card or taking out a loan. The money eventually needs to be repaid from a funding source. An example of financing is bonding.

Funding and financing are both used to support transportation in Minnesota.

Figure C-2: How to read the transportation funding graphics



## AIR TRANSPORTATION AT A GLANCE

**Size:** There are 388 airports in Minnesota:

- 135 are publicly owned and receive state funds.
- Nine have commercial airline service.
- Six are privately owned, with public use.
- 67 are privately owned, for private use.
- Other seaplane bases and heliports, including hospital heliports.

**Use:** Airports in Minnesota support general aviation activities (e.g. agricultural spraying, business travel, firefighting), air cargo and commercial airline service.

**Responsibility:** Local units of government are responsible for public airports in Minnesota.

## AIR TRANSPORTATION

### GENERAL AVIATION

Most of Minnesota's public airports are the responsibility of local units of government. They receive the majority of their capital funding from federal transportation revenue through the Airport and Airway Trust Fund. State and local sources also contribute to capital projects and are the primary resource for airport maintenance and operations activities. The State Airports Fund is the main state funding source and is made up of transportation revenue, specifically revenue from aviation activities. Local funding sources include a mix of transportation and general revenue. Additionally, airports can receive funding from private investment, including occasional public-private partnerships.

### COMMERCIAL AIRLINE SERVICE

Commercial passenger service in Minnesota is primarily set up and funded by the airlines serving the state. Some federal transportation revenue is used to support commercial service as part of the Essential Air Service program.



# Federal Funding

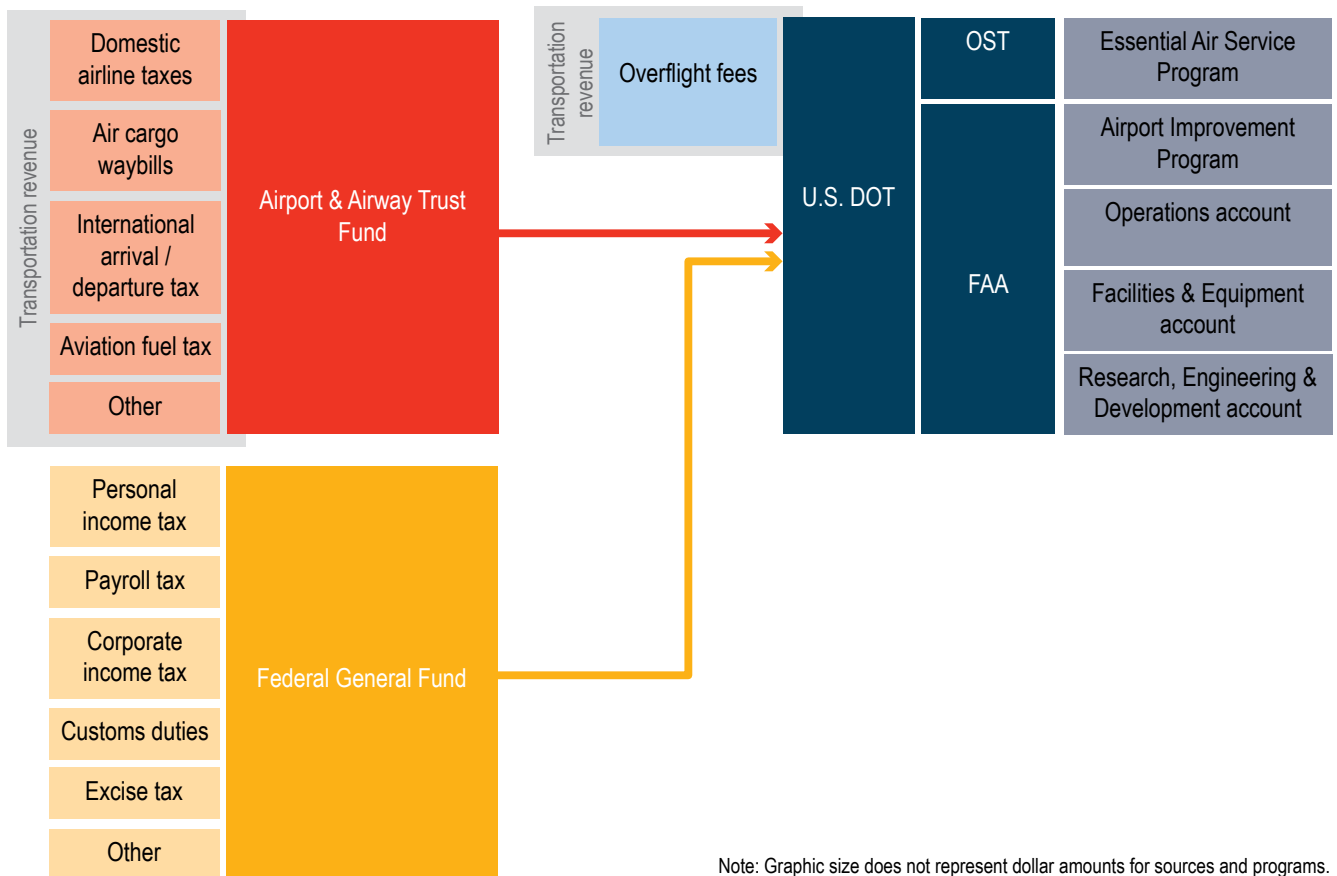
Federal funding for air transportation comes primarily from transportation sources. Federal sources mostly support general aviation activities.

**Table C-1** identifies the federal funding sources and programs that support air transportation in Minnesota. **Figure C-3** shows the relationship between these sources and programs.

Table C-1: List of federal air transportation funding sources and programs

Sources / Funds	Programs / Processes
<ul style="list-style-type: none"> <li><b>Airport &amp; Airway Trust Fund:</b> dedicated aviation revenue, including domestic airline taxes, air cargo waybills, international arrival / departure tax, aviation fuel tax, etc.</li> <li><b>Federal General Fund:</b> non-dedicated federal revenue, including personal income tax, payroll tax, corporate income tax, customs duties, excise tax, etc.</li> <li><b>Overflight fees</b> on foreign aircraft</li> </ul>	<ul style="list-style-type: none"> <li><b>Essential Air Service Program</b>, administered by U.S. DOT - Office of the Secretary (OST)</li> <li><b>Airport Improvement Program</b>, administered by U.S. DOT - Federal Aviation Administration (FAA)</li> <li><b>FAA Operations Account</b></li> <li><b>FAA Facilities &amp; Equipment Account</b></li> <li><b>FAA Research, Engineering &amp; Development Account</b></li> </ul>

Figure C-3: Relationships between federal air transportation funding sources and programs



Note: Graphic size does not represent dollar amounts for sources and programs.



## State Funding

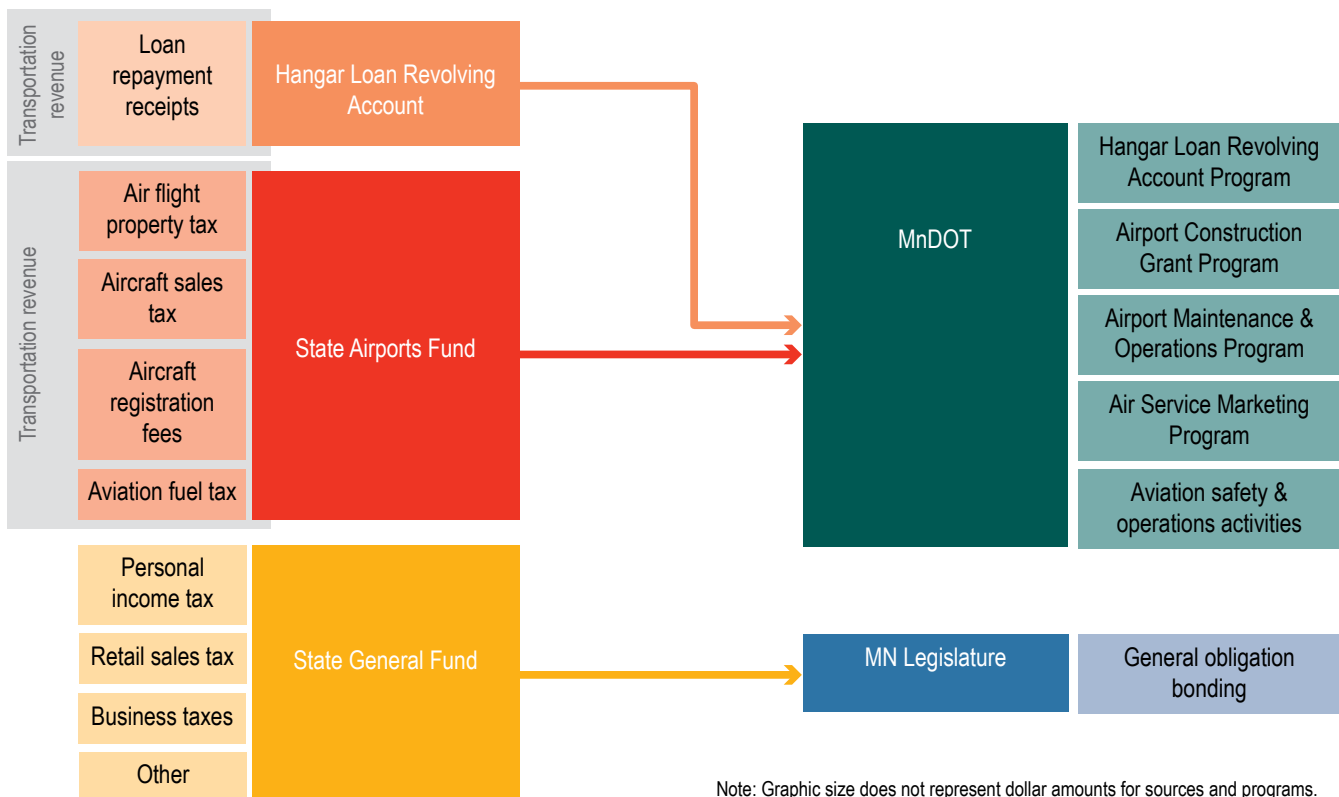
State funding for air transportation comes primarily from transportation sources. State sources mostly support general aviation activities. **Table C-2** identifies the state funding sources and programs that support air transportation.

**Figure C-4** shows the relationship between these sources and programs.

Table C-2: List of state air transportation funding sources and programs

Sources / Funds	Programs / Processes
<ul style="list-style-type: none"> <li><b>Hangar Loan Revolving Account:</b> loan repayment receipts from previous loans</li> <li><b>State Airports Fund:</b> dedicated aviation state revenue, including airflight property tax, aircraft sales tax, aircraft registration fees &amp; aviation fuel tax</li> <li><b>State General Fund:</b> non-dedicated state revenue, including personal income tax, retail sales tax, business taxes, etc.</li> </ul>	<ul style="list-style-type: none"> <li><b>Hangar Loan Revolving Account Program</b>, administered by MnDOT</li> <li><b>Airport Construction Grant Program</b>, administered by MnDOT</li> <li><b>Airport Maintenance &amp; Operations Program</b>, administered by MnDOT</li> <li><b>Air Service Marketing Program</b>, administered by MnDOT</li> <li>Other <b>aviation safety &amp; operations activities</b>, administered by MnDOT</li> <li>State legislative <b>general obligation bonding</b></li> </ul>

Figure C-4: Relationships between state air transportation funding sources and programs



## Local Funding

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Local funding plays an important role in supporting Minnesota's aviation system. Airports are typically the responsibility of local units of government and require significant local investment to maintain and operate. Also, many federal and state funding sources require matching funds, which often come from local sources. The primary local funding sources are airport generated revenues (e.g. fuel systems, hangar rental, vending machines, land rental and landing fees), passenger facility charges at the nine airports with scheduled airline service, and municipal and airport authority revenues (e.g. local taxes). Local sources include transportation revenue and general revenue.

## Other Funding

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Minnesota's publicly-funded aviation system receives revenue each year from the airlines that operate in the state. Private businesses occasionally provide funding assistance for improvements at public airports in Minnesota related to their needs.

Finally, Minnesota's aviation system consists of many privately owned facilities. The most common example in the state are hospital heliports, privately owned and operated airports and seaplane bases. These facilities are primarily funded through private sources.



# PORTS & WATERWAY TRANSPORTATION

## PORTS & WATERWAY TRANSPORTATION AT A GLANCE

**Size:** Two waterway systems (Mississippi River and Great Lakes-St. Lawrence Seaway), 219 navigable river miles, eight ports and 10 active locks and dams.

**Use:** Ports and waterways are primarily used to move bulk freight but also support recreational activities.

**Responsibility:** The majority of port terminals are privately owned. The federal government is responsible for all locks and dams.

### PORTS

Most port terminals in Minnesota are privately owned and funded entirely through private sources. Public port authorities often lease port land to private companies to operate port terminals. Additional funding for public port authorities comes from state general revenue and is available for capital projects as part of the Port Development Assistance Program. Operations and maintenance activities are funded almost exclusively through revenue received from use of the ports.

### WATERWAYS

Minnesota's navigational channels and locks and dams also require investment to stay operational. This funding comes through the U.S. Army Corps of Engineers and includes federal transportation and general revenue.



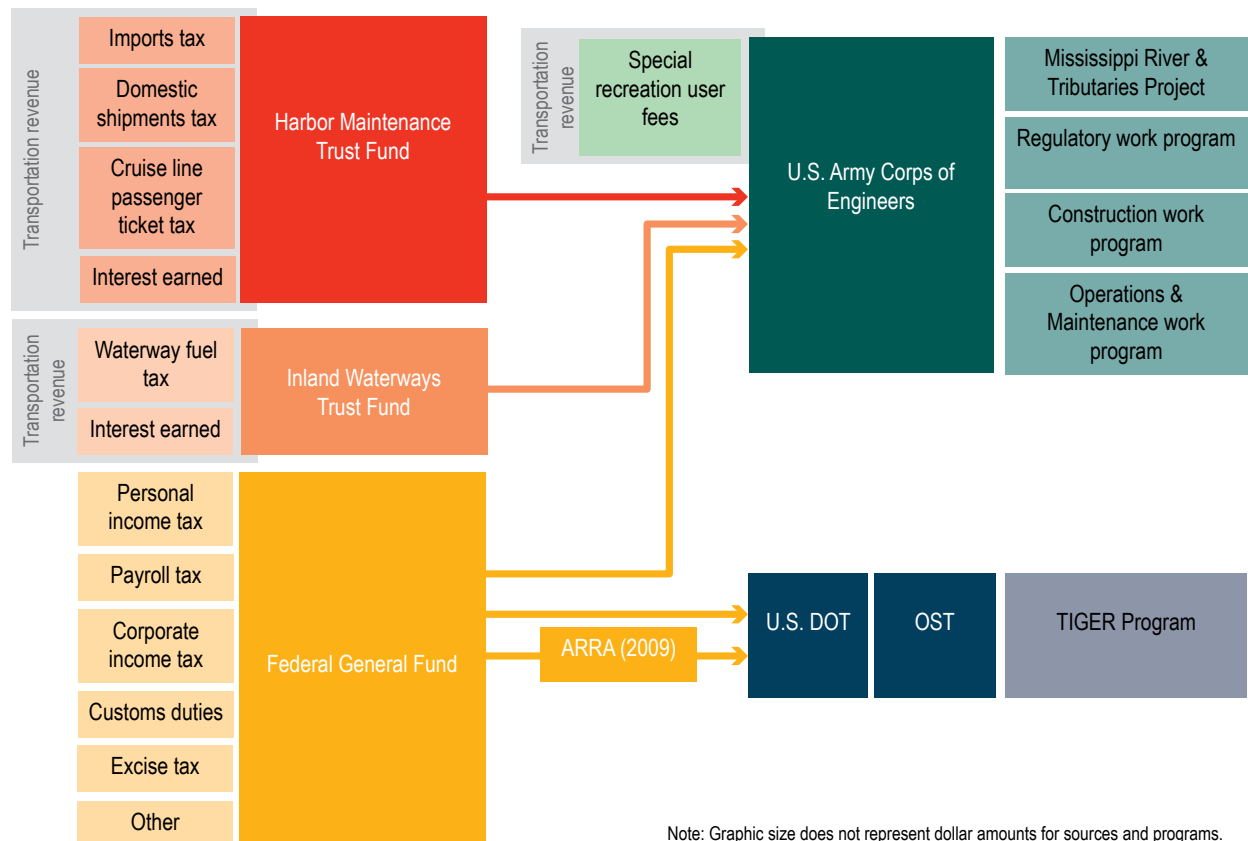
# Federal Funding

Federal funding for ports and waterway transportation comes primarily from transportation sources. Federal sources mostly support waterway capital, operations and maintenance activities. **Table C-3** identifies the federal funding sources and programs that support ports and waterway transportation in Minnesota. **Figure C-5** shows the relationship between these sources and programs.

Table C-3: List of federal ports and waterway transportation funding sources and programs

Sources / Funds	Programs / Processes
<ul style="list-style-type: none"> <li><b>Harbor Maintenance Trust Fund:</b> dedicated harbor federal revenue, including imports tax, domestic shipments tax, cruise line passenger tickets tax and interest earned</li> <li><b>Inland Waterways Trust Fund:</b> dedicated waterway federal revenue, including waterway fuel tax and interest earned</li> <li><b>Federal General Fund:</b> non-dedicated federal revenue, including personal income tax, payroll tax, corporate income tax, customs duties, excise tax, etc.                             <ul style="list-style-type: none"> <li><b>American Recovery and Reinvestment Act (ARRA):</b> 2009 stimulus package</li> </ul> </li> <li><b>Special recreation user fees</b></li> </ul>	<ul style="list-style-type: none"> <li><b>Mississippi River &amp; Tributaries Program,</b> administered by U.S. Army Corps of Engineers</li> <li>U.S. Army Corps <b>Regulatory work program</b></li> <li>U.S. Army Corps <b>Construction work program</b></li> <li>U.S. Army Corps <b>Operations &amp; Maintenance work program</b></li> <li><b>Transportation Investment Generating Economic Recovery (TIGER) Program,</b> administered by U.S. DOT - Office of the Secretary (OST)</li> </ul>

Figure C-5: Relationships between federal ports and waterway transportation funding sources and programs



Note: Graphic size does not represent dollar amounts for sources and programs.

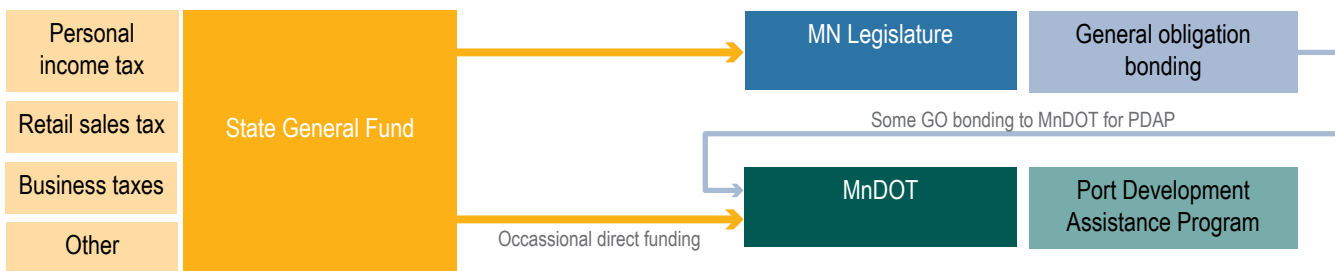
## State Funding

State funding for ports and waterway transportation comes from general sources. State sources fund capital activities at Minnesota ports. **Table C-4** identifies the state funding sources and programs that support ports and waterway transportation. **Figure C-6** shows the relationship between these sources and programs.

Table C-4: List of state port & waterway transportation funding sources and programs

Sources / Funds	Programs / Processes
<ul style="list-style-type: none"> <li><b>State General Fund:</b> non-dedicated state revenue, including personal income tax, retail sales tax, business taxes, etc.</li> </ul>	<ul style="list-style-type: none"> <li>State legislative <b>general obligation bonding</b></li> <li><b>Port Development Assistance Program</b>, administered by MnDOT</li> </ul>

Figure C-6: Relationships between state port & waterway transportation funding sources and programs



Note: Graphic size does not represent dollar amounts for sources and programs.

## Local Funding

Local funding for public port authority operations generally comes from the revenues received from leases with port tenants.

## Other Funding

Most terminals along Minnesota's waterways are privately owned and are on private land. They operate for private benefit and are supported by substantial private investment.

Public-private partnerships can be a funding option for ports and waterway transportation. However, they are not commonly used and there are no recent examples in Minnesota.

# RAIL TRANSPORTATION

## FREIGHT RAIL

Private funding from the 21 freight railroad companies operating in Minnesota is the main source for capital, maintenance and operations activities on the state's rail system. Publicly-owned railways rely on federal, state and local sources of funding in addition to public-private partnerships. Typically, public funding for the rail system comes from general revenue.

## PASSENGER RAIL

Passenger rail operations for Amtrak's Empire Builder are largely funded through Amtrak revenue, such as ticket sales and advertising, and federal general revenue. Capital and maintenance activities related to train equipment are also funded through these same sources. Capital and maintenance activities related to rail tracks are mostly funded through the private railroad companies, occasionally in partnership with states. Planning and development of future passenger rail service is primarily supported by state general revenue.

## COMMUTER RAIL

Northstar commuter rail capital, maintenance and operations are funded as part of Metro Transit's budget. In addition to money from passenger fares, funding also comes from state transportation revenue through the Metropolitan and Greater Minnesota Transit Accounts.

Light rail and streetcar services are considered transit and included in the Surface Transportation section of this document.

## RAIL TRANSPORTATION AT A GLANCE

**Size:** Minnesota's rail system is made up of 4,485 total route miles, including 381 miles of passenger rail service and 40 miles of commuter rail service.

**Use:** The rail system primarily supports 21 freight railroad companies, one passenger rail line (Amtrak's Empire Builder) and one commuter rail line (Metro Transit's Northstar).

**Responsibility:** Minnesota's rail system is mostly owned by private railroad companies. Passenger and commuter rail services have rights / agreements with the railroads for the use of the tracks.



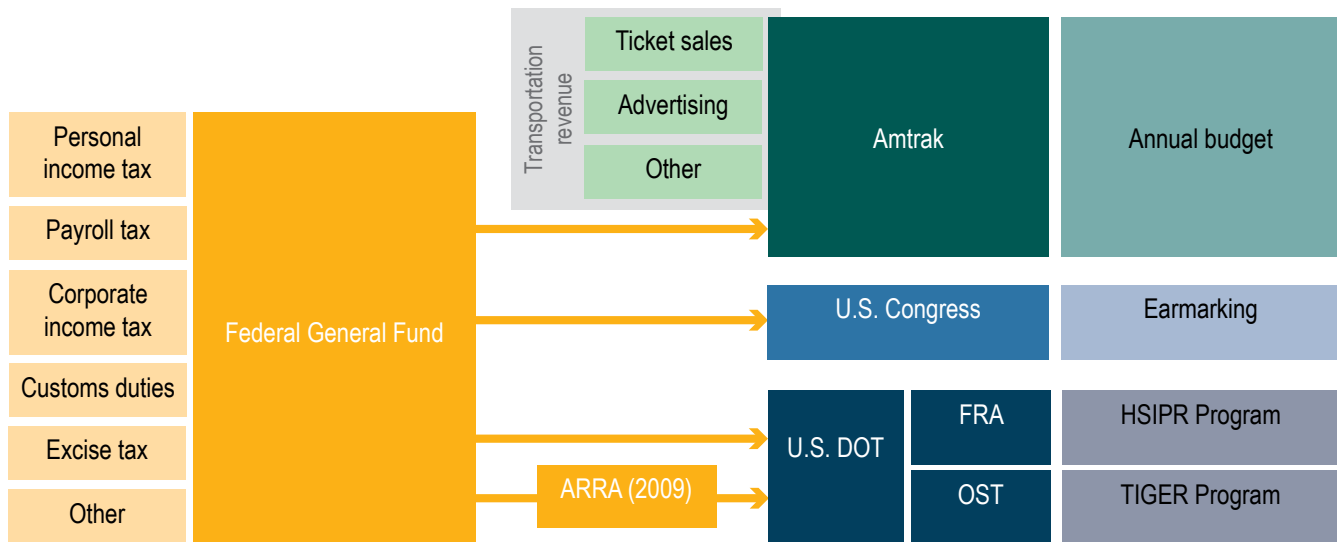
## Federal Funding

Federal funding for rail transportation comes primarily from general revenue. Federal sources mostly support passenger rail activities. **Table C-5** identifies the federal funding sources and programs that support rail transportation in Minnesota. **Figure C-7** shows the relationship between these sources and programs.

Table C-5: List of federal rail transportation funding sources and programs

Sources / Funds	Programs / Processes
<ul style="list-style-type: none"> <li><b>Federal General Fund:</b> non-dedicated federal revenue, including personal income tax, payroll tax, corporate income tax, customs duties, excise tax, etc.</li> <li><b>American Recovery and Reinvestment Act (ARRA):</b> 2009 stimulus package</li> <li><b>Amtrak revenue:</b> ticket sales, advertising, etc.</li> </ul>	<ul style="list-style-type: none"> <li><b>Transportation Investment Generating Economic Recovery (TIGER) Program,</b> administered by U.S. DOT - Office of the Secretary (OST)</li> <li><b>High-Speed Intericty Passenger Rail (HSIPR) Program,</b> administered by U.S. DOT - Federal Railroad Administration (FRA)</li> <li>Congressional <b>earmarking</b> for passenger rail projects</li> <li>National Railroad Passenger Corporation (Amtrak) <b>annual budget</b></li> </ul>

Figure C-7: Relationships between federal rail transportation funding sources and programs



Note: Graphic size does not represent dollar amounts for sources and programs.

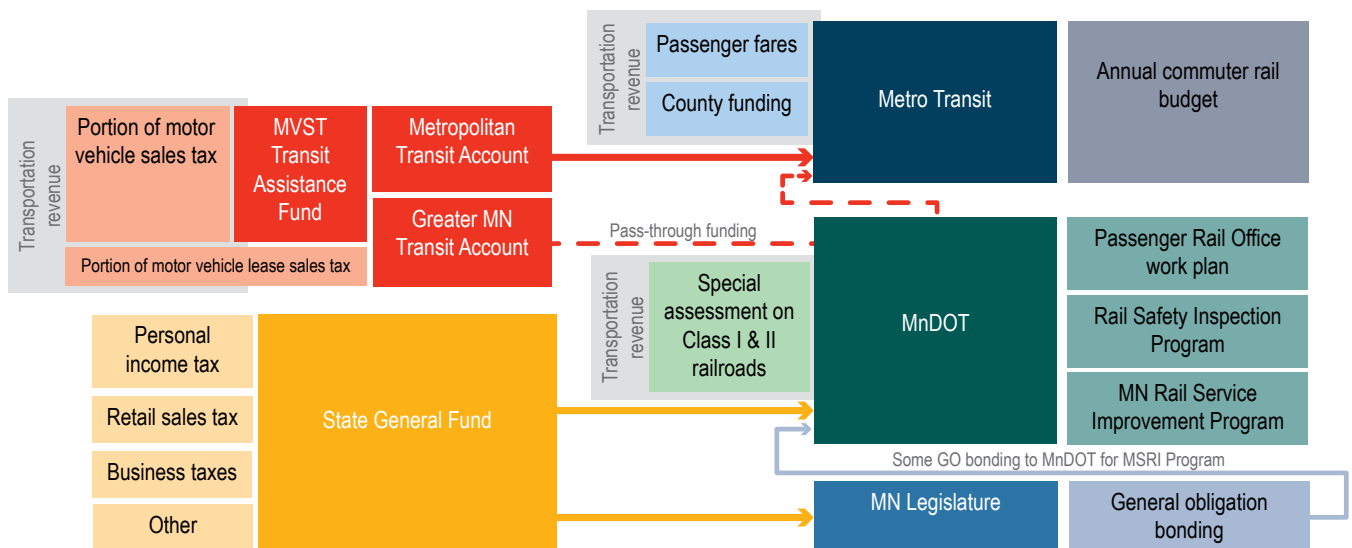
# State Funding

State funding for rail comes from transportation and general revenue. State sources support freight, passenger and commuter rail activities. **Table C-6** identifies the state funding sources and programs that support rail transportation. **Figure C-8** shows the relationship between these sources and programs.

Table C-6: List of state rail transportation funding sources and programs

Sources / Funds	Programs / Processes
<ul style="list-style-type: none"> <li><b>Motor Vehicle Sales Tax (MVST) Transit Assistance Fund:</b> 40 percent of revenue collected from the motor vehicle sales tax</li> <li><b>Metropolitan Transit Account:</b> 90 percent of Transit Assistance Fund revenue</li> <li><b>Greater Minnesota Transit Account:</b> 10 percent of Transit Assistance Fund revenue, plus 50 percent of motor vehicle lease sales tax revenue after the first \$32 million collected</li> <li><b>State General Fund:</b> non-dedicated state revenue, including personal income tax, retail sales tax, business taxes, etc.</li> <li><b>Special assessment</b> on Class I and Class II railroads, collected by MnDOT</li> </ul>	<ul style="list-style-type: none"> <li>Metro Transit annual <b>commuter rail budget</b></li> <li>MnDOT <b>Passenger Rail Office work plan</b></li> <li><b>Rail Safety Inspection Program</b>, administered by MnDOT</li> <li><b>Minnesota Rail Service Improvement Program</b>, administered by MnDOT</li> <li>State legislative <b>general obligation bonding</b></li> </ul>

Figure C-8: Relationships between state rail transportation funding sources and programs



Note: Graphic size does not represent dollar amounts for sources and programs.

## Local Funding

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Funding for freight rail projects at the local level varies from location to location. Some local governments have economic development or other types of programs to support freight rail. Others may choose to contribute matching funds to state or federal grants for freight rail projects. Generally speaking, at the local level rail transportation funding comes from general tax revenues.

When local investment in passenger rail occurs, it is primarily through the county Regional Railroad Authority revenues. County RRAs have taxing authority to levy for rail development purposes.

Local investment in commuter rail occurs primarily at the county level through general tax revenues. In the Twin Cities, a major source of commuter rail funding is the Counties Transit Improvement Board. CTIB is funded through a portion of sales tax revenue from its member counties.

## Other Funding

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The railroad companies operating in Minnesota make significant investments in rail infrastructure and freight rail service each year. Additionally, other private businesses may help support projects that increase their access to the freight rail system. Public-private partnerships offer a funding opportunity when there are quantifiable benefits to both public and private sectors. However, this type of funding is not commonly used for freight rail in Minnesota.

Public-private partnerships also offer an opportunity to support passenger rail development. However, at this time there are no examples of this type of investment in passenger rail in Minnesota.

Private investments and transit agency fare box recovery are also funding sources for commuter rail in Minnesota.



# SURFACE TRANSPORTATION

## ROADWAYS

The majority of roadways in Minnesota are the responsibility of local units of government – cities, counties, townships. Capital, maintenance and operations activities on these roadways are primarily funded by local general revenue, such as property taxes. State transportation revenue also supports some local roadways through the State Aid for Local Transportation program. Additionally, some federal programs target funding to local roadways.

The state highway system consists of interstates, U.S. highways and Minnesota highways. These roadways make up about 8 percent of the total roadway miles in Minnesota. For these roadways, state transportation revenue, specifically the state gas tax, is the largest funding source for capital, maintenance and operations activities. Federal programs are also a significant source of funding for the state system. They make up about a quarter of the funding for capital projects.

In addition to motor vehicles, bicyclists and pedestrians can also legally use Minnesota roadways, except where explicitly prohibited. Many roadways include specific bicycle and pedestrian elements to encourage safety for all users. Examples of these elements include bicycle lanes, sidewalk and widened or paved shoulders. Since these elements are often included as part of roadway projects, they are typically funded by many of the same sources that fund general roadway projects.

## TRAILS

In addition to on-road bicycle and pedestrian facilities (described in the previous section), trails, or shared-use paths, also provide important connections for those bicycling and walking. In Minnesota, trails are funded through a variety of programs at the federal, state and local levels. There are consistent funding programs for these projects at all levels but the specific amount available from each source varies year by year. Funding levels are affected by things such as the amount of money set aside by Congress or the Legislature, bonding and how well the proposed projects compete in various program solicitations.

## SURFACE TRANSPORTATION AT A GLANCE

### Size:

- 142,914 roadway miles
- 818 miles of designated bicycling routes
- 620 miles of sidewalk along the State Trunk Highway system and many more along local roadways
- More than 4,000 miles of designated trails
- 212 regular bus routes, two light rail lines and two bus rapid transit routes and dial-a-ride service in the Twin Cities
- 42 Greater Minnesota public transit systems, plus four tribal systems
- Intercity bus connections to 87 destinations

### Use:

- 59.1 billion vehicle miles traveled on Minnesota roadways
- Minnesota roadways also carry bicycle and pedestrian traffic, as do trails
- 98.8 million rides on Twin Cities transit
- 12.2 million rides on Greater Minnesota transit
- 49,801 rides on Minnesota intercity bus routes

### Responsibility:

- The majority of roadways, including on-road bicycle and pedestrian facilities, are owned by cities, counties and townships
- Most shared-use paths are also owned by local units of government; state trails are the responsibility of the Minnesota Department of Natural Resources
- Transit service in the Twin Cities is primarily operated by the Metropolitan Council (other providers include Southwest Transit, Minnesota Valley Transit Authority, Maple Grove Transit, Plymouth Transit and the University of Minnesota)
- Transit services in Greater Minnesota are operated at the regional, county or city level.

## TRANSIT

In the Twin Cities, transit includes regular and express bus service, dial-a-ride bus service, bus rapid transit, light rail transit and commuter rail. For the purposes of this summary, commuter rail funding is discussed in the rail section of this document since it operates on the same network as freight and passenger rail services. The other types of transit are considered surface transportation since they operate on the roadway network or within roadway right of way. For these modes, capital projects are largely funded by federal transportation revenue, through programs such as New Starts. Transit maintenance and operations are primarily funded by state-level sources, such as the motor vehicle sales tax, which are distributed through the Metropolitan Transit Account. For major transitway projects, such as the Green Line, significant funding for capital and operations comes from county general revenue. Starting in 2008, five of the metropolitan counties implemented a quarter cent sales tax to support the development and operations of the region's transitway system. This money is distributed for capital and operations through the Counties Transit Improvement Board.

In Greater Minnesota, the majority of public transit activities are funded through state sources. These include transportation and general revenue. Local sources make up approximately a quarter of Greater Minnesota transit. Federal programs also provide revenue for capital and operations activities.

For all transit systems, money collected from passenger fares makes up a portion of the funding available for capital, maintenance and operations activities. However, the amount varies widely among different transit services throughout the state.

## INTERCITY BUS

Most intercity bus services in Minnesota are owned and operated by private companies and funded through private sources. However, some carriers receive public funding assistance to support their operations and create or enhance access to small towns across the state. This public funding assistance comes primarily from federal and state transportation revenue through the Minnesota Intercity Bus Program.

## Federal Funding

Federal funding for surface transportation comes primarily from transportation revenue. Federal sources mostly support roadway- and transit-related activities. **Table C-7** identifies the federal funding sources and programs that support surface transportation in Minnesota. **Figure C-9** shows the relationship between these sources and programs.

Table C-7: List of federal surface transportation funding sources and programs

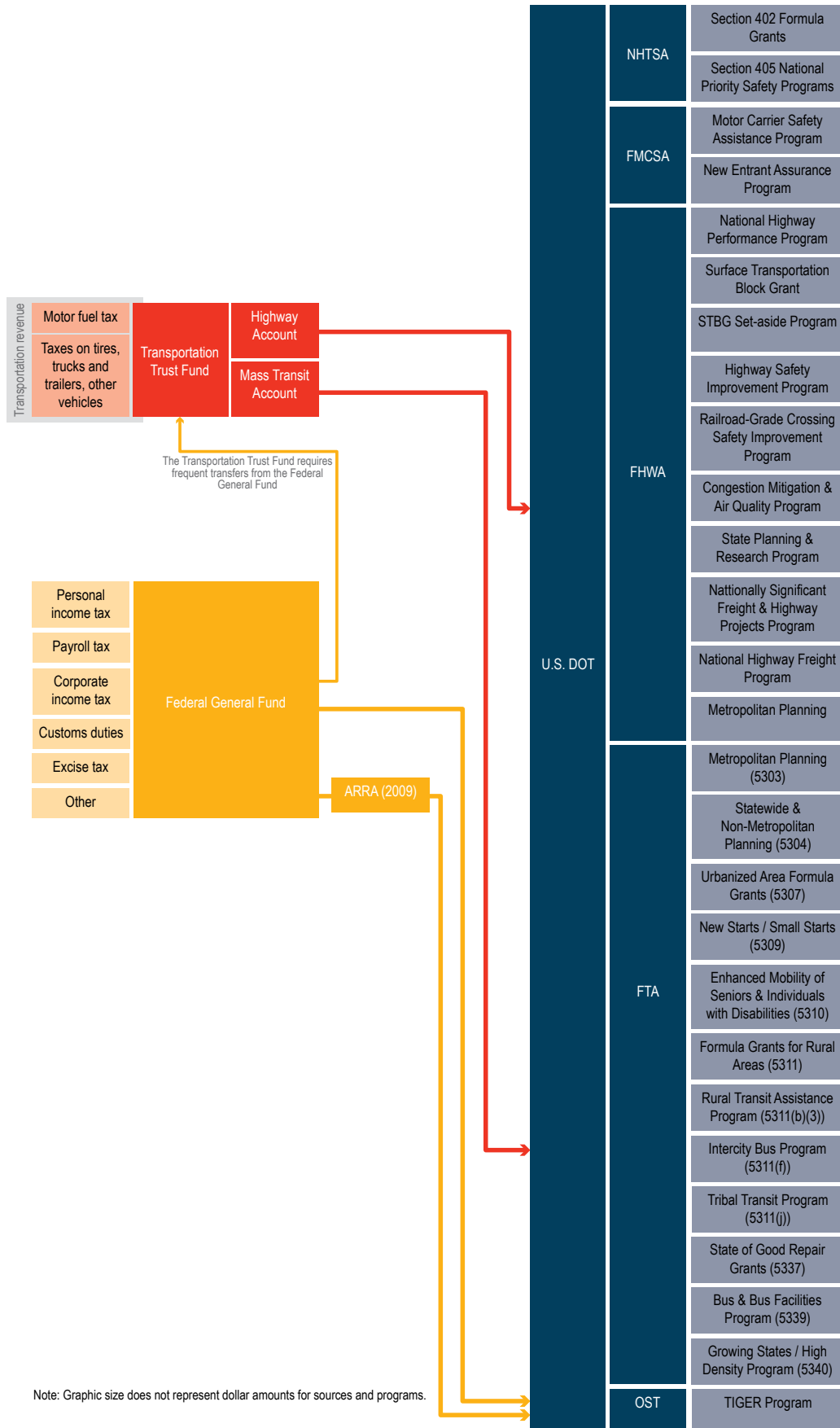
Sources / Funds	<ul style="list-style-type: none"> <li>• <b>Transportation Trust Fund:</b> dedicated transportation federal revenue, including motor fuel tax and taxes on tires, trucks and trailers and other vehicles               <ul style="list-style-type: none"> <li>• <b>Highway Account:</b> 85 percent of Transportation Trust Fund revenue</li> <li>• <b>Mass Transit Account:</b> 15 percent of Transportation Trust Fund revenue</li> </ul> </li> <li>• <b>Federal General Fund:</b> non-dedicated federal revenue, including personal income tax, payroll tax, corporate income tax, customs duties, excise tax, etc.               <ul style="list-style-type: none"> <li>• <b>American Recovery and Reinvestment Act (ARRA):</b> 2009 stimulus package</li> </ul> </li> </ul>
Programs / Processes	<ul style="list-style-type: none"> <li>• <b>Section 402 Formula Grants</b>, administered by U.S. DOT - National Highway Traffic Safety Administration (NHTSA)</li> <li>• <b>Section 405 National Priority Safety Programs</b>, administered by U.S. DOT - NHTSA</li> <li>• <b>Motor Carrier Safety Assistance Program</b>, administered by U.S. DOT - Federal Motor Carrier Safety Administration (FMCSA)</li> <li>• <b>New Entrant Assurance Program</b>, administered by U.S. DOT - FMCSA</li> <li>• <b>National Highway Performance Program</b>, administered by U.S. DOT - Federal Highway Administration (FHWA)</li> <li>• <b>Surface Transportation Block Grant (STBG)</b>, administered by U.S. DOT - FHWA STBG Set-aside Program - administered by U.S. DOT - FHWA</li> <li>• <b>Highway Safety Improvement Program</b>, administered by U.S. DOT - administered by U.S. DOT - FHWA</li> <li>• <b>Railroad-Grade Crossing Safety Improvement Program</b>, administered by U.S. DOT - FHWA</li> <li>• <b>Congestion Mitigation &amp; Air Quality Program</b>, administered by U.S. DOT - FHWA</li> <li>• <b>State Planning &amp; Research Program</b>, administered by U.S. DOT - FHWA</li> <li>• <b>Nationally Significant Freight &amp; Highway Projects Program</b>, administered by U.S. DOT - FHWA</li> <li>• <b>National Highway Freight Program</b>, administered by U.S. DOT - FHWA</li> <li>• <b>Metropolitan Planning funds</b>, administered by U.S. DOT - FHWA</li> <li>• <b>Metropolitan Planning Program (5303)</b>, administered by U.S. DOT - FHWA / Federal Transit Administration (FTA)</li> <li>• <b>Statewide &amp; Non-metropolitan Planning (5304)</b>, administered by U.S. DOT - FHWA / FTA</li> </ul>

Table C-7: List of federal surface transportation funding sources and programs (continued)

Programs / Processes	<ul style="list-style-type: none"><li>• <b>Urbanized Area Formula Grants (5307)</b>, administered by U.S. DOT - FTA</li><li>• <b>New Starts / Small Starts (5309)</b>, administered by U.S. DOT - FTA</li><li>• <b>Enhanced Mobility of Seniors &amp; Individuals with Disabilities (5310)</b>, administered by U.S. DOT - FTA</li><li>• <b>Formula Grants for Rural Areas (5311)</b>, administered by U.S. DOT - FTA</li><li>• <b>Rural Transit Assistance Program (5311(b)(3))</b>, administered by U.S. DOT - FTA</li><li>• <b>Intercity Bus Program (5311(f))</b>, administered by U.S. DOT - FTA</li><li>• <b>Tribal Transit Program (5311(j))</b>, administered by U.S. DOT - FTA</li><li>• <b>State of Good Repair Grants (5337)</b>, administered by U.S. DOT - FTA</li><li>• <b>Bus &amp; Bus Facilities Program (5339)</b>, administered by U.S. DOT - FTA</li><li>• <b>Growing States / High Density Program (5340)</b>, administered by U.S. DOT - FTA</li><li>• <b>Transportation Investment Generating Economic Recovery (TIGER) Program</b>, administered by U.S. DOT - Office of the Secretary (OST)</li></ul>
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Figure C-9: Relationships between federal surface transportation funding sources and programs



Note: Graphic size does not represent dollar amounts for sources and programs.

## State Funding

State funding for surface transportation comes primarily from transportation revenue. State sources support roadway, trail, transit and intercity bus activities. **Table C-8** identifies the state funding sources and programs that support surface transportation. **Figure C-10** shows the relationship between these sources and programs.

Table C-8: List of state surface transportation funding sources and programs

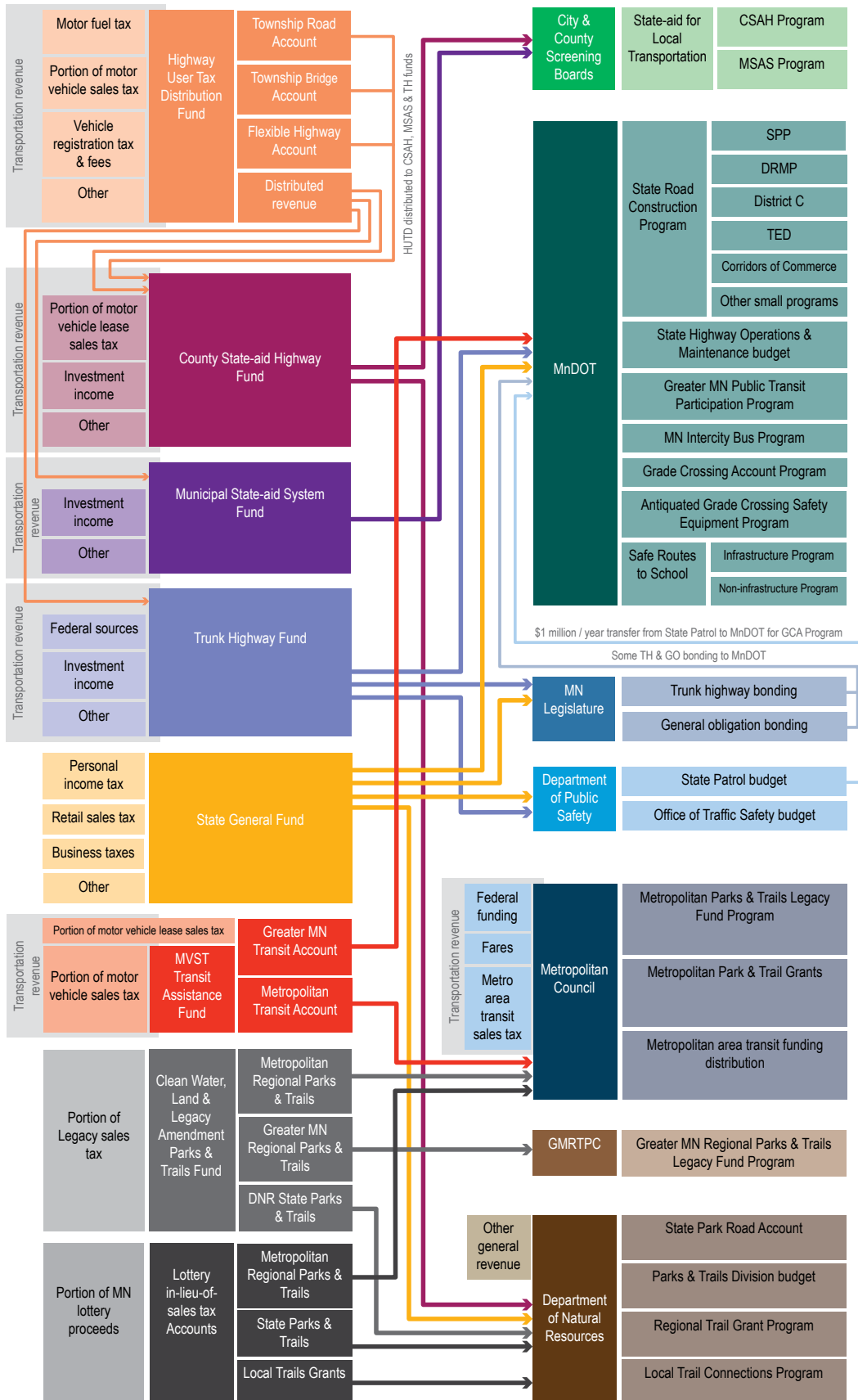
Sources / Funds	
	<ul style="list-style-type: none"> <li> <b>Highway User Tax Distribution Fund (HUTD):</b> dedicated transportation revenue, including 97 percent of the state motor fuel tax, 60 percent of MVST, vehicle registration tax and fees, etc.           <ul style="list-style-type: none"> <li> <b>5% Set-aside revenue</b> <ul style="list-style-type: none"> <li> <b>Township Road Account:</b> 30.5 percent of HUTD set-aside               </li> <li> <b>Township Bridge Account:</b> 16 percent of HUTD set-aside               </li> <li> <b>Flexible Highway Account:</b> 53.5 percent of HUTD set-aside               </li> </ul> </li> <li> <b>95% distributed revenue</b> <ul style="list-style-type: none"> <li> <b>County State-aid Highway (CSAH) Fund:</b> 29 percent of distributed HUTD, plus HUTD set-aside, plus 50 percent of motor vehicle lease sales tax after the first \$32 million collected, investment income, etc.               </li> <li> <b>Municipal State-aid System (MSAS) Fund:</b> 9 percent of distributed HUTD, plus investment income, etc.               </li> <li> <b>Trunk Highway Fund:</b> 62 percent of distributed HUTD, plus revenue from federal sources, shared construction, investment income, etc.               </li> </ul> </li> </ul> </li> <li> <b>State General Fund:</b> non-dedicated state revenue, including personal income tax, retail sales tax, business taxes, etc.         </li> <li> <b>Motor Vehicle Sales Tax (MVST) Transit Assistance Fund:</b> 40 percent of revenue collected from the motor vehicle sales tax           <ul style="list-style-type: none"> <li> <b>Greater Minnesota Transit Account:</b> 10 percent of Transit Assistance Fund revenue, plus 50 percent of motor vehicle lease sales tax revenue after the first \$32 million collected           </li> <li> <b>Metropolitan Transit Account:</b> 90 percent of Transit Assistance Fund revenue           </li> </ul> </li> <li> <b>Clean Water, Land &amp; Legacy Amendment Parks &amp; Trails Fund:</b> 14.25 percent of constitutionally-dedicated sales tax revenue           <ul style="list-style-type: none"> <li> <b>Department of Natural Resources (DNR) State Parks &amp; Trails:</b> 40 percent of Legacy Parks &amp; Trails Fund           </li> <li> <b>Metropolitan Regional Parks &amp; Trails:</b> 40 percent of Legacy Parks &amp; Trails Fund           </li> <li> <b>Greater MN Regional Parks &amp; Trails:</b> 20 percent of Legacy Parks &amp; Trails Fund           </li> </ul> </li> <li> <b>Lottery in Lieu (LIL) Accounts:</b> MN lottery proceeds           <ul style="list-style-type: none"> <li> <b>State Parks &amp; Trails LIL Account:</b> 22.5 percent of lottery proceeds           </li> <li> <b>Metropolitan Parks &amp; Trails LIL Account:</b> 22.5 percent of lottery proceeds           </li> <li> <b>Local Trails Grants LIL Account:</b> 3 percent of lottery proceeds           </li> </ul> </li> </ul>

Table C-8: List of state surface transportation funding sources and programs (continued)

<b>Programs / Processes</b>	<ul style="list-style-type: none"> <li>• <b>State-aid for Local Transportation</b> <ul style="list-style-type: none"> <li>• <b>County State-aid Highway (CSAH) Program</b>, administered by County Screening Board</li> <li>• <b>Municipal State-aid System (MSAS) Program</b>, administered by Municipal Screening Board</li> </ul> </li> <li>• <b>State Road Construction Program</b>, administered by MnDOT           <ul style="list-style-type: none"> <li>• <b>Statewide Performance Program (SPP)</b></li> <li>• <b>District Risk Management Program (DRMP)</b></li> <li>• <b>District C funding</b></li> <li>• <b>Transportation Economic Development (TED) Program</b>, jointly administered with the Department of Employment and Economic Development</li> <li>• <b>Corridors of Commerce Program</b></li> <li>• <b>Other small programs</b></li> </ul> </li> <li>• <b>MnDOT State Highway Operations &amp; Maintenance budget</b></li> <li>• <b>Greater MN Public Transit Participation Program</b>, administered by MnDOT</li> <li>• <b>MN Intercity Bus Program</b>, administered by MnDOT</li> <li>• <b>Grade Crossing Account (GCA) Program</b>, administered by MnDOT</li> <li>• <b>Antiquated Grade Crossing Safety Equipment Program</b>, administered by MnDOT</li> <li>• <b>Safe Routes to School Program</b>, administered by MnDOT           <ul style="list-style-type: none"> <li>• <b>SRTS Infrastructure Program</b></li> <li>• <b>SRTS Non-infrastructure Program</b></li> </ul> </li> <li>• State legislative <b>general obligation (GO) bonding</b></li> <li>• State legislative <b>trunk highway (TH) bonding</b></li> <li>• Department of Public Safety (DPS) <b>State Patrol budget</b></li> <li>• DPS <b>Office of Traffic Safety budget</b></li> <li>• <b>Metropolitan Parks &amp; Trails Legacy Program</b>, administered by the Metropolitan Council</li> <li>• <b>Metropolitan Parks &amp; Trails Grants</b>, administered by the Metropolitan Council</li> <li>• <b>Metropolitan area transit funding distribution</b>, administered by the Metropolitan Council</li> <li>• <b>Greater MN Regional Parks &amp; Trails Legacy Fund Program</b>, administered by the Greater MN Regional Parks &amp; Trails Commission</li> <li>• <b>State Park Road Account</b>, administered by the Department of Natural Resources (DNR)</li> <li>• <b>DNR Parks &amp; Trails budget</b></li> <li>• <b>Regional Trail Grant Program</b>, administered by the DNR</li> <li>• <b>Local Trail Connections Program</b>, administered by the DNR</li> </ul>
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Figure C-10: Relationships between state surface transportation funding sources and programs



Note: Graphic size does not represent dollar amounts for sources and programs.

## Local Funding

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Local units of government provide substantial funding for surface transportation. The primary sources of local funding include general revenue at the county and city level, such as property tax and sales tax. Since the majority of roadways in Minnesota are locally owned, property taxes make-up the single largest funding source for Minnesota roadways.

Local funding for trails and other roadway bicycle and pedestrian improvements also comes from county and city general revenue. In addition to support for bicycle and pedestrian capital investments, maintenance of these facilities is primarily the responsibility of local government.

Transit funding at the local level comes from county and city general revenue. In the Twin Cities, a number of the metropolitan counties opted to increase sales tax by a quarter cents to support the development of the region's transitway system. This funding is distributed through the Counties Transit Improvement Board and goes toward capital and operations of major transitway projects. City and county transit agencies also receive some revenue from fare box collection and advertising. Additionally, counties in the Twin Cities have Regional Railroad Authorities. RRAs have taxing authority, which they can levy for rail transit development purposes.

In addition to the direct local investments, many federal and state funding sources require matching funds, which often come from local sources.

## Other Funding

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Private investment in surface transportation does occur. However, it does not make up a significant portion of the funding picture. When private investment does occur, it is typically in the form of public-private partnerships on specific projects. Additionally, there are a small number of privately owned roadways, trails and transit services in Minnesota.

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## Appendix D

### FULL ENGAGEMENT SUMMARY

## INTRODUCTION

The Minnesota Department of Transportation updated the Statewide Multimodal Transportation Plan and the 20-year Minnesota State Highway Investment Plan through one joint process. As part of the update process, MnDOT integrated public engagement with technical tasks for both plans. This appendix includes a summary of public and stakeholder engagement activities completed, audiences reached, results and outcomes. This summary includes engagement activities for all project stages.

## Engagement Approach

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MnDOT based the engagement approach for the plan update process on the following principles:

- Go to the public and partners. Do not make them come to us.
- Design tools to facilitate different levels of engagement. Individuals vary in interest and knowledge but everyone should be able to participate.
- Be responsive and adaptive. Tailor tools and techniques to the needs of each specific group or event.
- Partner with traditionally underserved communities to design an engagement approach that works for them.
- Focus on involving more individuals and trying new things, but do not forget about traditional stakeholders and tested tools.
- Collect data, regularly report on outreach activities, implement lessons learned and fine-tune the approach.

## Engagement Phases

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The joint plan update process included several engagement phases. The focus of engagement was different in each phase. The following table provides more detail.

Table D-1: Project phase and engagement focus

PROJECT PHASE	FOCUS OF ENGAGEMENT
Project initiation phase	Engagement for both plans consisted of getting the word out about the plan updates. MnDOT asked participants to provide input on the project scope, when appropriate.
Primary engagement phase (Phase 1)	SMTP engagement focused on the changes that are projected to occur in Minnesota over the next 20 years. MnDOT asked participants to identify which changes are most important for transportation partners to plan for.
	MnSHIP engagement focused on different investment scenarios. MnDOT asked participants to identify which scenario they preferred and which investment categories are most important.
Second engagement phase (Phase 2)	SMTP engagement focused on questions about how proposed policy changes would be implemented. MnDOT asked participants to weigh in and shape the agency's near-term work plan.
	MnSHIP engagement focused on getting feedback on the draft investment direction. MnDOT asked participants to rate the draft direction and comment about what they would change.
Formal public comment period	Engagement for both plans focused on getting the word out that drafts were available for review. MnDOT asked participants to provide comments, if interested.



## ACTIVITIES COMPLETED

The following sections include a summary of the activities completed including a brief description of the activity, timeline and participation.

### In-Person Engagement

There were more than 200 in-person engagement activities completed. Each activity is listed in the following sections. Date, location and estimated attendance are included for each activity.

## PARTNER & STAKEHOLDER BRIEFINGS

MnDOT completed more than 200 in-person engagement activities as part of the plan update process. These events involved the general public and transportation partners / stakeholders. A variety of event types were used, including:

- Partner and stakeholder briefings
- Stakeholder forums
- Workplace-based outreach
- Community events
- Traditionally underserved community partnerships

In-person engagement activities occurred throughout all stages of the project. Each individual activity is listed in the following sections. Date, location and estimated attendance are included for each activity.

## PARTNER & STAKEHOLDER BRIEFINGS

The project team conducted informational meetings with partner and stakeholder groups throughout the duration of the project. Generally speaking, MnDOT went to existing meetings to provide these briefings. In some cases, meetings were called specific to this project. Presentations were given using either PowerPoint or Prezi. MnDOT received feedback through meeting notes and paper worksheets, when appropriate. The focus of the meetings depended on the project stage. When applicable, the results section of this report provides more detail on the topics covered. Additionally, MnDOT has a greater responsibility to involve certain internal and external advisory partners due to federal and state law. In addition to providing informational briefings to these partners, MnDOT also asked the groups for guidance on the overall project direction. Partner and stakeholder briefings began in March 2014 and continued through November 2016. However, most of the briefings were concentrated in the primary engagement phase (October 2015 – March 2016) and the formal public comment period (September / October 2016).

### External Meetings

- Metropolitan Planning Organization Directors in St. Cloud on February 6, 2015 (20 participants)
- La Crosse Area Planning Committee staff in Rochester on March 16, 2015 (1 participant)
- Duluth-Superior Metropolitan Interstate Council staff in Duluth on March 23, 2015 (5 participants)
- Metropolitan Council staff in Saint Paul on March 24, 2015 (5 participants)



- St. Cloud Area Planning Organization staff in St. Cloud on March 24, 2015 (3 participants)
- Mankato-North Mankato Area Planning Organization staff in Mankato on March 25, 2015 (2 participants)
- Fargo-Moorhead Council of Governments staff in Fargo on March 30, 2015 (4 participants)
- Grand Forks-East Grand Forks Metropolitan Planning Organization staff in East Grand Forks on March 30, 2015 (2 participants)
- Advocacy Council for Tribal Transportation in Thief River Falls on April 17, 2015 (20 participants)
- Metropolitan Planning Organization Directors in Arden Hills on May 8, 2015 (25 participants)
- AARP staff in Saint Paul on May 15, 2015 (1 participant)
- Metro Capital Improvements Committee in Roseville on June 12, 2015 (10 participants)
- Advocacy Council for Tribal Transportation in Walker on July 17, 2015 (20 participants)
- SMTP Heath Impact Assessment Scoping Advisory Group in Saint Paul on August 21, 2015 (9 participants)
- Regional Development Organization Transportation Planners in Duluth on August 26, 2015 (15 participants)
- Metropolitan Planning Organization Directors in Saint Paul on September 30, 2015 (20 participants)
- Metro Capital Improvements Committee in Roseville on October 9, 2015 (20 participants)
- Tribes and Transportation Conference in Morton on October 13, 2015 (10 attendees)
- Legislative committee members and staff in Saint Paul on October 21, 2015 (15 participants)
- Rochester-Olmsted Council of Governments Policy Board in Rochester on October 23, 2015 (20 participants)
- East Central Regional Development Commission in Mora on October 26, 2015 (25 participants)
- Area Transportation Partnership 4 in Fergus Falls on October 26, 2015 (15 participants)

- Area Transportation Partnership 1 Steering Committee in Hermantown on November 2, 2015 (40 participants)
- Grand Forks-East Grand Forks Metropolitan Planning Organization Technical Advisory Committee in East Grand Forks on November 10, 2015 (15 participants)
- La Crosse Area Planning Committee Technical Advisory Committee in La Crosse on November 11, 2015 (15 participants)
- Fargo-Moorhead Council of Governments Transportation Technical Committee in Fargo on November 12, 2015 (25 participants)
- Metropolitan Council Technical Advisory Committee Planning Committee in Saint Paul on November 12, 2015 (15 participants)
- Southwest Regional Development Commission in Slayton on November 12, 2015 (15 participants)
- West Central Initiative Foundation Transportation Advisory Committee in Fergus Falls on November 13, 2015 (12 participants)
- Area Transportation Partnership 7 in Mankato on November 13, 2015 (26 participants)
- Scenic Byway Workshop in Detroit Lakes on November 17, 2015 (50 participants)
- Legislative committee members and staff in Saint Paul on November 18, 2015 (12 participants)
- Grand Forks-East Grand Forks Metropolitan Planning Organization Board in East Grand Forks on November 18, 2015 (10 participants)
- Mankato-North Mankato Area Planning Organization Technical Advisory Committee in Mankato on Thursday, November 19, 2015 (20 participants)
- Headwaters Regional Development Commission in Bemidji on Thursday, November 19, 2015 (25 participants)
- Area Transportation Partnership 6 in Rochester on November 20, 2015 (10 participants)
- Area Transportation Partnership 8 in Olivia on November 19, 2015 (30 participants)
- Metropolitan Interstate Commission Harbor Technical Advisory Committee in Duluth on December 2, 2015 (30 participants)
- Upper Minnesota Valley RDC Transportation Advisory Committee in Appleton on December 3, 2015 (15 participants)

- University of Minnesota Center for Transportation Studies Freight and Logistics Symposium in Minneapolis on December 4, 2015 (11 participants)
- Sierra Club North Star Chapter Land Use and Transportation Committee in Minneapolis on December 7, 2015 (12 participants)
- Metropolitan Interstate Commission Technical Advisory Committee in Superior on December 8, 2015 (17 participants)
- Environmental Quality and Energy Committee in Fridley on December 8, 2015 (15 participants)
- Area Transportation Partnership 2 in Bemidji on December 10, 2015 (12 participants)
- St. Cloud Area Planning Organization Technical Advisory Committee in St. Cloud on December 10, 2015 (13 participants)
- Metro Capital Improvements Committee in Roseville on December 11, 2015 (22 participants)
- Minnesota Consortium for Citizens with Disabilities Transportation working group in Saint Paul on December 14, 2015 (12 participants)
- Minnesota Transportation Alliance in Saint Paul on December 14, 2015 (15 participants)
- Minnesota State Emergency Communications Board in Arden Hills on December 17, 2015 (25 participants)
- Metropolitan Council Technical Advisory Committee in Minneapolis on January 4, 2016 (30 participants)
- Federal Highway Administration Minnesota Division staff in Saint Paul on January 7, 2016 (9 participants)
- Citizens Concerned About Rail in Kenyon on January 7, 2016 (70+ participants)
- Minnesota Council of Airports in Saint Paul on January 8, 2016 (25 participants)
- City of Saint Paul Transportation Committee in Saint Paul on January 11, 2016 (5 participants)
- Fond du Lac staff in Cloquet on January 11, 2016 (1 participant)
- Area Transportation Partnership 3 in St. Cloud on January 14, 2016 (20 participants)
- Bois Forte council and staff in Tower on January 15, 2016 (8 participants)

- Renville County Team in Oliva on January 20, 2016 (13 participants)
- League of Minnesota Cities and Association of Minnesota Counties webinar on January 20, 2016 (36 participants)
- Arrowhead Regional Development Commission in Duluth on January 21, 2016 (30 participants)
- Legislative committee members and staff in Saint Paul on January 26, 2016 (18 participants)
- Saint Paul Port Authority in Saint Paul on January 26, 2016 (20 participants)
- Region 9 Development Commission Transportation Advisory Committee in Mankato on January 26, 2016 (14 participants)
- Mid-Minnesota Development Commission in Willmar on January 27, 2016 (18 participants)
- City Engineer's Association of Minnesota conference in Brooklyn Center on January 27, 2016 (35 participants)
- Eden Prairie City Council in Eden Prairie on February 2, 2016 (10 participants)
- Duluth–Superior Metropolitan Interstate Commission staff in Duluth on February 8, 2016 (6 participants)
- Grand Forks-East Grand Forks Metropolitan Planning Organization staff in East Grand Forks on February 9, 2016 (3 participants)
- Fargo-Moorhead Council of Governments staff in Fargo on February 10, 2016 (3 participants)
- Region 9 Development Commission Executive Board in Mankato on February 10, 2016 (15 participants)
- 35W Solutions Alliance in Bloomington on February 11, 2016 (22 participants)
- Region 7W Transportation Advisory Committee in St. Cloud on February 17, 2016 (18 participants)
- La Crosse Area Planning Commission staff in Saint Paul on February 24, 2016 (1 participant)
- St. Cloud Area Planning Organization Executive Board in St. Cloud on February 25, 2016 (28 participants)
- St. Cloud Area Planning Organization staff in St. Cloud on February 25, 2016 (3 participants)

- Environmental Quality Board staff in Saint Paul on February 26, 2016 (2 participants)
- Metropolitan Council staff in Saint Paul on March 1, 2016 (9 participants)
- Minnesota Pollution Control Agency staff in Saint Paul on March 1, 2016 (35 participants)
- Believers of Self-Advocacy in Spring Lake Park on March 3, 2016 (5 participants)
- Fond du Lac Directors in Cloquet on March 4, 2016 (15 participants)
- Grand Portage council and staff in Grand Portage on March 4, 2016 (15 participants)
- Northwest Regional Development Commission Transportation Advisory Committee in Thief River Falls on March 7, 2016 (22 participants)
- Metropolitan Council staff in Saint Paul on March 8, 2016 (3 participants)
- Mankato-North Mankato Area Planning Organization staff in Mankato on March 16, 2016 (2 participants)
- Mdewakanton Sioux staff in Shakopee on March 18 (2 participants)
- Minnesota County Engineers Associate Board in Saint Paul on March 30 (25 participants)
- Metropolitan Council staff in Saint Paul on April 5, 2016 (6 participants)
- Metropolitan Planning Organization Directors in St. Cloud on April 18, 2016 (8 participants)
- Federal Highway Administration Minnesota Division staff in Saint Paul on April 26, 2016 (8 participants)
- ISIAAH-GRIP in Saint Paul on May 5, 2016 (12 participants)
- Southwest Corridor Transportation Coalition in Chaska on May 6, 2016 (35 participants)
- Regional Development Organization Transportation Planners in Bemidji on May 18, 2016 (12 participants)
- Minnesota Pollution Control Agency staff in Saint Paul on May 23, 2016 (1 participant)
- Advocacy Council for Tribal Transportation in Granite Falls on July 28, 2016 (25 participants)
- Metropolitan Planning Organization Directors webinar on September 7, 2016 (8 participants)

- Area Transportation Partnership, Metropolitan Planning Organization and Regional Development Organization members and staff webinar on September 8, 2016 (10 participants)
- Region 9 Development Commission Transportation Advisory Committee in Mankato on September 8, 2016 (20 participants)
- Area Transportation Partnership 7 in Mankato on September 9, 2016 (30 participants)
- Area Transportation Partnership 8 in Willmar on September 9, 2016 (19 participants)
- Metro Capital Improvement Committee in Roseville on September 9, 2016 (22 participants)
- Passenger Rail Forum in Saint Paul on September 12, 2016 (17 participants)
- Northwest Regional Development Commission Transportation Advisory Committee in Warren on September 12, 2016 (15 participants)
- Region 7W Transportation Advisory Committee in St. Cloud on September 14, 2016 (10 participants)
- Area Transportation Partnership, Metropolitan Planning Organization and Regional Development Organization members and staff webinar on September 15, 2016 (5 participants)
- Region 7W Transportation Policy Board in St. Cloud on September 23, 2016 (12 participants)
- Metropolitan Council Transportation Committee in Saint Paul on September 26, 2016 (25 participants)
- Transportation Alliance Legislative Committee in Saint Paul on September 29, 2016 (14 participants)
- Area Transportation Partnership 3 in Baxter on October 6, 2016 (20 participants)
- I-35W Solutions Alliance in Bloomington on October 13, 2016 (20 participants)
- Metropolitan Planning Organization Directors in St. Cloud on November 7, 2016 (20 participants)

### **Internal MnDOT Meetings**

- Planning Management Group in Arden Hills on March 12, 2014 (15 participants)
- MnDOT Tribal Liaison in Saint Paul on March 11, 2015 (2 participants)

- Senior Leadership Team in Saint Paul on April 14, 2015 (16 participants)
- Transportation Program Investment Committee in Saint Paul on April 16, 2015 (20 participants)
- Communications staff in Saint Paul on May 11, 2015 (3 participants)
- Planning Management Group in Arden Hills on May 13, 2015 (15 participants)
- Aeronautics planning staff in Saint Paul on May 26, 2015 (3 participants)
- Rail planning staff in Saint Paul on May 28, 2015 (4 participants)
- Port and waterways planning staff in Saint Paul on May 28, 2015 (1 participant)
- Metro District-Central Office planning coordination meeting in Roseville on May 28, 2015 (16 participants)
- Transit planning staff in Saint Paul on June 2, 2015 (2 participants)
- Freight planning staff in Saint Paul on June 3, 2015 (4 participants)
- Pedestrian planning staff in Saint Paul on June 4, 2015 (2 participants)
- All Planners Group video conference on June 11, 2015 (14 participants)
- Pre-Construction Managers Group / Construction Managers Group in St. Cloud on June 30, 2015 (30 participants)
- Public Affairs Coordinators video conference on July 16, 2015 (15 participants)
- Agency Vidcon video conference on July 17, 2015 (20 participants)
- Senior Leadership Team in Saint Paul on July 28, 2015 (10 participants)
- Metro District-Central Office planning coordination meeting in Roseville on July 30, 2015 (10 participants)
- Modal Planning and Program Management Division in Saint Paul on August 5, 2015 (7 participants)
- Senior Leadership Team in Saint Paul on Tuesday, August 18, 2015 (12 participants)
- Modal Planning and Program Management Division in Saint Paul on September 2, 2015 (7 participants)
- Planning Management Group in Arden Hills on September 9, 2015 (20 participants)
- Senior Leadership Team in Saint Paul on September 15, 2015 (12 participants)







- District Operations meeting in St. Cloud on September 23, 2015 (20 participants)
- State Communications Workshop in Arden Hills on October 7, 2015 (12 participants)
- Agency Vidcon video conference on October 9, 2015 (30 participants)
- Modal Planning and Program Management Division in Saint Paul on October 14, 2015 (8 participants)
- Senior Leadership Team in Saint Paul on October 20, 2015 (8 participants)
- Managers Workshop in Brooklyn Park on November 16, 2015 (50 participants)
- Modal Planning and Program Management Division in Saint Paul on December 9, 2015 (7 participants)
- Senior Leadership Team in Saint Paul on December 15, 2015 (12 participants)
- Senior Leadership Team in Saint Paul on January 19, 2016 (14 participants)
- Fully Utilizing Employees without Labels Employee Resource Group in Saint Paul on January 20, 2016 (10 participants)
- Modal Planning and Program Management Division in Saint Paul on February 3, 2016 (7 participants)
- District 1 staff in Duluth on February 8, 2016 (8 participants)
- District 6 staff in Kasson on February 9, 2016 (50 participants)
- District 2 staff in Bemidji on February 9, 2016 (19 participants)
- Metro District staff in Roseville on February 9, 2016 (15 participants)
- District 4 staff in Detroit Lakes on February 10, 2016 (5 participants)
- All Planners Group video conference on February 11, 2016 (20 participants)
- Senior Leadership Team in Saint Paul on February 20, 2016 (10 participants)
- District 3 staff in Baxter on February 18, 2016 (10 participants)
- District 8 staff in Willmar on February 22, 2016 (10 participants)
- District 2 staff in Bemidji on February 9, 2016 (19 participants)

- District 7 staff in Mankato on March 8, 2016 (5 participants)
- Senior Leadership Team in Saint Paul on March 15, 2016 (15 participants)
- District 7 planning and project management staff in Mankato on March 16, 2016 (8 participants)
- Agency Policy and Investment Direction Setting Meeting in Shoreview on March 22-23, 2016 (70 participants)
- Transportation Program Investment Committee in Saint Paul on April 5, 2016 (15 participants)
- Modal Planning and Program Management Division in Saint Paul on April 13, 2016 (10 participants)
- Senior Leadership Team in Saint Paul on April 19, 2016 (10 participants)
- Planning Management Group in Arden Hills on May 11, 2016 (12 participants)
- Senior Leadership Team in Saint Paul on May 17, 2016 (11 participants)
- Modal Planning and Program Management Division in Saint Paul on June 8, 2016 (7 participants)
- All Planners Group video conference on June 9, 2016 (14 participants)
- Transportation Program Investment Committee in Saint Paul on June 16, 2016 (20 participants)
- Senior Leadership Team in Saint Paul on June 20, 2016 (20 participants)
- Planning Management Group in Arden Hills on July 13, 2016 (20 participants)
- Senior Leadership Team in Saint Paul on July 19, 2016 (15 participants)
- Agency Vidcon video conference on August 19, 2016 (25 participants)
- Senior Leadership Team in Saint Paul on October 24, 2016 (10 participants)
- Planning Management Group in Arden Hills on November 9, 2016 (18 participants)
- Senior Leadership Team in Saint Paul on November 15, 2016 (5 participants)
- All Managers Meeting webinar on November 18, 2016 (60 participants)
- Executive Leadership Team in Saint Paul on November 21, 2016 (6 participants)



## STAKEHOLDER FORUMS

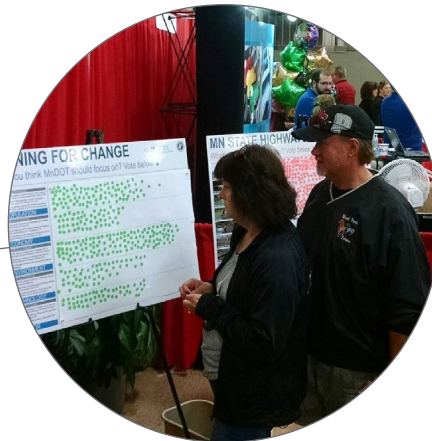
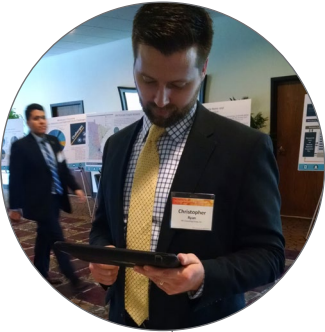
MnDOT held all-day stakeholder forums to provide an opportunity for more in-depth input on specific questions and issues. The forums also provided an opportunity to facilitate a dialogue between different stakeholder perspectives. The forums included presentations by the project team using PowerPoint or Prezi. MnDOT received Feedback through meeting notes, paper worksheets and Mentimeter. The results section of this report provides more detail about the discussion topics. Stakeholder forums occurred in November 2015, as part of the primary engagement phase, and in April / May 2016, as part of the second engagement phase. The November forums also included a Greater Minnesota Transit Investment Plan discussion.

### November Stakeholder Forums

- Stakeholder Forum 1 in Mankato on November 5, 2015 (32 participants)
- Stakeholder Forum 2 in Minneapolis on November 6, 2015 (70 participants)
- Stakeholder Forum 3 in Brainerd on November 9, 2015 (35 participants)

### April / May Stakeholder Forums

- Stakeholder Forum 1 in Detroit Lakes on April 27, 2016 (10 participants)
- Stakeholder Forum 2 in Willmar on May 4, 2016 (23 participants)
- Stakeholder Forum 3 in Grand Rapids on May 5, 2016 (4 participants)
- Stakeholder Forum 4 in Apple Valley on May 9, 2016 (28 participants)
- Stakeholder Forum webinar on May 12, 2016 (6 participants)



## WORKPLACE-BASED OUTREACH

The project team reached out to employers throughout Minnesota to offer a variety of engagement options, ranging from informational presentations to interactive activities. If interested in participating, employers selected an outreach method that worked for them and their employees. The goal of these events was to reach individuals who do not normally participate in the planning process by making it easy and convenient. For presentation-style events, the project team presented using PowerPoint or Prezi and received feedback through paper worksheets and Mentimeter. For survey-based events, MnDOT received feedback through GetFeedback surveys on iPads. When applicable, the results section of this report provides more detail about the topics covered. Workplace-based outreach was completed at the following organizations as part of the primary engagement stage (October 2015 – March 2016) and as part of the formal public comment period (September / October 2016). Engagement conducted at universities is also included in this category.

- HDR Engineering, Inc. in Golden Valley on October 6, 2015 (55 participants)
- Hennepin County in Minneapolis on December 4, 2015 (19 participants)
- WSB and Associates in Minneapolis on December 17, 2015 (31 participants)
- Rosen's Beverage in Fairmont on January 4, 2016 (11 participants)
- DARTS in Saint Paul January 6, 2016 (11 participants)
- General Mills in Minneapolis on January 12, 2016 (15 participants)
- MN GreenCorp Members in Saint Paul on February 1, 2016 (4 participants)
- University of Minnesota Interdisciplinary Transportation Student Organization / Center for Transportation Studies / Humphrey School of Public Affairs in Minneapolis on February 18, 2016 (9 participants)
- Bemidji State University in Bemidji on February 2, 2016 (50 participants)
- North Hennepin Community College in Brooklyn Park on February 11, 2016 (10 participants)
- Bemidji State University in Bemidji on September 15, 2016 (10 participants)

## COMMUNITY EVENTS

The project team identified community events throughout the state as locations for engagement sessions. During the primary engagement phase, the sessions consisted of conducting surveys using GetFeedback surveys on iPads. The results section of this report provides more detail about the survey questions. During the public comment period, the engagement sessions focused primarily on spreading the word about the draft plans through information posters and handouts. The project team gave extra focus to events that helped reach traditionally underserved populations. MnDOT completed engagement at the following community events as part of the primary engagement phase (October 2015 – March 2016), plus the State Fair in August 2015, and as part of the formal public comment period (September / October 2016).

- Northfield Riverwalk Market Fair in Northfield on October 10, 2015 (25 participants)
- Zombie Pub Crawl in Minneapolis on October 17, 2015 (26 participants)
- Mankato Marathon in Mankato on October 18, 2015 (5 participants)
- Burnsville Halloween Fest in Burnsville on October 23, 2015 (1 participant)
- Minneapolis Farmers Market in Minneapolis on October 24, 2015 (50 participants)
- Anoka Halloween Parade in Anoka on October 31, 2015 (50 participants)
- Autumn Market in Glenwood on November 12, 2015 (30 participants)
- Norsefest Festival in Madison on November 14, 2015 (30-40 participants)
- Westridge Mall Craft Fair in Fergus Falls on November 14, 2015 (34 participants)
- Made in MN Expo in St. Cloud on November 21, 2015 (112 participants)
- Beneath the Village Wreath in Morton on November 21, 2015 (30 participants)
- Montevideo Lighted Parade in Montevideo on December 3, 2015 (12 participants)
- Midtown Global Market in Minneapolis on January 20, 2016 (35 participants)
- Bois Forte State of the Band in Tower on January 20, 2016 (150 participants)
- Midtown Global Market in Minneapolis on January 23, 2016 (35 participants)
- Minneapolis Public Library in Minneapolis on February 2, 2016 (35 participants)

- Cass Lake Lions Club in Cass Lake on February 29, 2016 (7 participants)
- Riverwalk Cinema in East Grand Forks on March 10, 2016 (23 participants)
- Duluth Skywalk in Duluth on March 11, 2016 (25 participants)
- St. Cloud Pride in St. Cloud on September 17, 2016 (40 participants)
- Harvest Fest Transportation Fair in Dodge Center on September 17, 2016 (20 participants)
- Open Streets Nicollet in Minneapolis on September 18, 2016 (100 participants)
- Fall Festival in Redwood Falls on September 23, 2016 (7 participants)
- Streets Alive! in Moorhead on September 24, 2016 (8 participants)
- Open Streets University of Minnesota in Minneapolis on October 1, 2016 (30 participants)
- Mankato River Ramble in Mankato on October 9, 2016 (40 participants)

## **ECHO Events**

The project team partnered with Twin Cities Public Television / Emergency, Community, Health, Outreach to conduct engagement within traditionally underserved communities, specifically the Spanish-speaking, Hmong and Somali communities in Minnesota. The ECHO team translated the iPad surveys into these languages. MnDOT completed the following ECHO events in February / March 2016, as part of the primary engagement phase.

- Brian Coyle Center in Minneapolis on February 18, 2016 (22 participants)
- Hmong Village in Saint Paul on February 19, 2016 (53 participants)
- Culture Corner: Daughters of Africa in Worthington on January 20, 2016 (25 participants)
- Village Market in Minneapolis on February 25, 2016 (28 participants)
- Hmong Town Market in Saint Paul on February 26, 2016 (26 participants)
- St. Cloud University in St. Cloud on February 29, 2016 (48 participants)
- Plaza Latina in Saint Paul on March 4, 2016 (19 participants)
- Divine Mercy Catholic Church in Faribault on March 6, 2016 (21 participants)
- City of Landfall in Landfall on March 7, 2016 (29 participants)



## State Fair

The Minnesota State Fair marked the first public engagement event for the project. The project team conducted activities in the general MnDOT booth at the fair. The engagement activities included transportation trivia and a dot exercise to gain input from fairgoers. The results section of this report provides more detail about the specific questions asked. The fair ran from mid-August to Labor Day, 2015.

- **Number of responses:** approximately 5,500

## TRADITIONALLY UNDERSERVED COMMUNITY PARTNERSHIPS

As a part of the public participation plan development, the project team held meetings with community leaders from traditionally underserved populations to identify potential engagement strategies. These meetings were held between October and December 2015, as part of the primary engagement phase.

- New American Academy Leadership in Edina on October 6, 2015
- Nobles County Integration Collaborative in Minneapolis on October 21, 2015
- AARP in Saint Paul on October 29, 2015
- Twin Cities Public Television / Emergency, Community, Health, Outreach (TPT / ECHO) in Saint Paul on December 23, 2015

## PUBLIC HEARING

During the formal public comment period, MnDOT held a public hearing on October 6, 2016 from 4:00 to 6:00 pm. The hearing provided an opportunity for individuals to comment on the draft plans in person. The project team announced the date and time of the hearing in the State Register, in a press release and on social media. The hearing occurred in Saint Paul, connected to 15 video conference locations throughout Minnesota.

## Online Engagement

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Online engagement began in October 2015 and reached thousands of online participants. The majority of online engagement activities took place during the primary engagement phase (October 2015 – March 2016). However, some activities occurred throughout the duration of the project. The following sections summarize each activity.



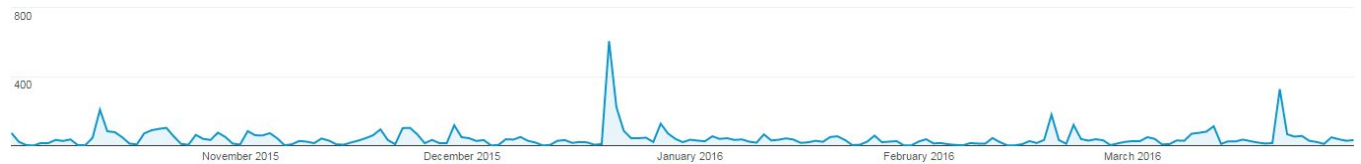
## PROJECT WEBSITE

MnDOT launched an interactive project website in October 2015 at [www.MinnesotaGO.org](http://www.MinnesotaGO.org). The website remained active throughout the duration of the project and will continue to remain a planning resource for the foreseeable future. The data below summarizes activity from October 2015 through March 2016, the most active period of online engagement.

- **Sessions:** 7,567
- **Users:** 4,919
- **Average session duration:** 3 minutes 14 seconds
- **Average pages per session:** 2.7



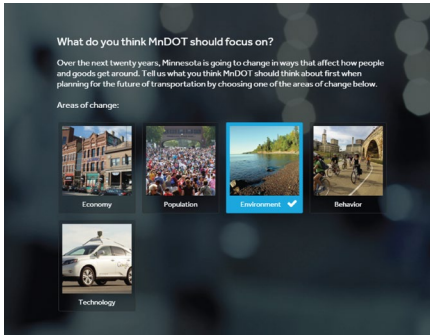
Figure D-1: Monthly website sessions through March 2016



The website saw spikes in website activity connected to the stakeholder emails on October 13, December 21 and March 18 and with social media posts. Top Minnesota cities generating website traffic included Minneapolis, Saint Paul, Rochester, Duluth, Saint Cloud, Plymouth, Mankato, Saint Louis Park, Bloomington and Burnsville.

Table D-2: Top 10 Minnesota cities generating website traffic

CITY	SESSIONS
Minneapolis	729
Saint Paul	562
Rochester	100
Duluth	83
Saint Cloud	69
Plymouth	69
Mankato	68
Saint Louis Park	64
Bloomington	63
Burnsville	59



## WEB SURVEYS

MnDOT launched the first round of online surveys as part of the primary engagement phase (October 2015 – March 2016). The project team made the surveys available through the project website and advertised them via social media and stakeholder emails. MnDOT used a variety of survey tools and included surveys compliant with the Americans with Disabilities Act and Spanish-language surveys. MnDOT launched a second round of online surveys as part of the second engagement phase (April / May 2016). The results section of this report provides more detail about the questions asked through each survey.

### October 2015 through March 2016 Surveys

- **Launch date:** October 1, 2015 (November 5, 2015 for the MnSHIP MetroQuest Survey)
- **Survey tools:** GetFeedback, MetroQuest, SurveyMonkey and Qualtrics.
- **Number of participants:**
  - **Website Surveys:** 2,293
  - **Social Media Surveys:** 2,820

### April / May 2016 Surveys

- **Launch date:** April 12, 2016
- **Survey tools:** GetFeedback, SurveyMonkey and Qualtrics.
- **Number of website surveys:** 50

## SOCIAL MEDIA

MnDOT began a social media strategy related to this project in October 2015. Activity continued through the duration of the project. The strategy primarily used the Minnesota GO Facebook and Twitter profiles. The frequency of social media activity varied based on the project phase. The most active social media presence occurred during the primary engagement phase (October 2015 – March 2016). Overall, the strategy focused on driving traffic to the project website for more information and educational materials, promoting surveys and other feedback opportunities and interacting with followers to gain input directly through Twitter polls. Additionally, MnDOT developed a coordinated social media campaign to connect this project and other planning efforts. The following sections summarize the social media activity related to this project.

- **Frequency of posts:** Weekly, on average, during engagement-focused periods
- **Facebook views:** 250,000+ (October 2015 – March 2016)
- **Twitter impressions:** 47,200+ (October 2015 – March 2016)



## Facebook Ads

The project team ran Facebook ads three times during the primary engagement phase and twice during the formal public comment period. The ads during the primary engagement phase focused on directing people to the project website and encouraging them to complete the online surveys. The ads during the formal public comment period focused on letting people know the draft plans were available for review and comment and directing them to the online comment tool. Some Facebook ads targeted specific groups, such as women, Minnesotans of different ethnic affinities, Spanish-speaking Minnesotans and specific geographic areas. The project team used targeted ads to help reach groups underrepresented through other engagement methods. The results from for the ad runs are shown in the following tables.

Figure D-3: Facebook targeted ad results - primary engagement phase

FACEBOOK AD SET	AMOUNT INVESTED	REACH	CLICKS	POST LIKES	POST COMMENTS	POST SHARES	PAGE LIKES	COST PER CLICK	SURVEY PARTICIPANTS	COST PER SURVEY
Total or average	\$5,875	500,797	7,490	562	127	178	152	\$0.78	2,248	\$2.61
Round 1 SMTP (11/18/15 – 12/01/15) - Target: All Minnesotans	\$500	35,025	521	35	4	8	2	\$0.96	181	\$2.76
Round 1 MnSHIP (11/18/15 – 12/01/15) - Target: All Minnesotans	\$500	45,231	538	23	29	4	4	\$0.93	176	\$2.84
MnSHIP Women Test (12/22/15 – 12/25/15) - Target: Women	\$125	10,207	167	8	0	0	2	\$0.75	NA	NA
Round 2 SMTP - Target: Minnesotans of color, Spanish speakers, zip codes	\$1,400	121,087	1,778	200	14	31	66	\$0.79	417	\$3.36
Round 2 MnSHIP - Target: Women, Minnesotans of color, Spanish speakers, zip codes	\$1,350	130,628	1,676	118	12	8	34	\$0.81	140	\$9.64
Round 3 SMTP - Target: Women, African American ethnic affinity	\$1,000	64,573	1,654	128	64	106	26	\$0.60	1,097	\$0.91
Round 3 MnSHIP - Target: Women, African American ethnic affinity	\$1,000	94,046	1,156	50	4	21	18	\$0.87	237	\$4.21

Table D-4: Facebook targeted ad results - Formal public comment period

FACEBOOK AD SET	AMOUNT INVESTED	REACH	CLICKS	POST LIKES	POST COMMENTS	POST SHARES	COST PER CLICK
Total or average	\$950	75,425	28,056	276	11	67	\$0.33
Round 1 Video (08/29/16 - 09/05/16) - Target: All Minnesotans	\$150	11,144	7,237	55	10	31	\$0.02
Round 1 Video (08/29/16 - 09/05/16) - Target: Ethnic Affinity	\$150	13,692	6,406	55	10	31	\$0.02
Round 1 Video (09/12/16 - 09/19/16) - Target: Women 18-55	\$150	12,792	6,307	55	10	31	\$0.02
Round 2 Video (09/27/16 - 10/05/16) - Target: All Minnesotans under 35	\$200	26,297	7,786	20	1	3	\$0.03
Round 2 Post (10/05/2016-10/13/2016) - Target: All Minnesotans	\$150	5,409	172	201	0	33	\$0.87
Round 2 Post (10/05/2016-10/13/2016) - Target: Ethnic Affinity	\$150	6,091	146	201	0	33	\$1.03

### Facebook Video

The project team created a one-minute animated video to help promote the formal public comment period. The video focused on spreading the word about the draft plans and explaining how to comment. MnDOT shared the video via social media. This included the use of Facebook ads to boost views and to reach target populations.

Figure D-2: Screen capture of video frame



### STAKEHOLDER EMAIL UPDATES

The project team sent update emails to MnDOT's planning and public participation email lists throughout the project. Individuals signed up for email updates via the project website. The emails went out roughly every other month during the project.

The first stakeholder e-mail update:

- **E-mail date:** October 13, 2015
- **Key messages:** Introduction to the project, launch of the website, RSVP for the first round of stakeholder forums
- **Number of recipients:** 242

The second stakeholder e-mail update:

- **E-mail date:** December 21, 2015
- **Key messages:** Engagement update, call to participate
- **Number of recipients:** 8,536

The third stakeholder e-mail update:

- **E-mail date:** March 21, 2016
- **Key messages:** Last call for Phase 1 online survey participation, links to translated surveys, save the data for the second round of stakeholder forums
- **Number of recipients:** 11,182

The fourth stakeholder e-mail update:


- **E-mail date:** April 13, 2016
- **Key messages:** RSVP for the second round of stakeholder forums, links to Phase 2 online surveys
- **Number of recipients:** 11,211

The fifth stakeholder email update:

- **Email date:** June 13, 2016
- **Key messages:** Link to engagement summary, next steps and project timeline
- **Number of recipients:** 11,242

The sixth stakeholder email update:

- **Email date:** August 29, 2016
- **Key messages:** Announcement of the formal public comment period, call to participate
- **Number of recipients:** 11,212



The graphic features the Minnesota Department of Transportation logo on the left and the title "Minnesota GO summer update" on the right. Below the title is a horizontal timeline from 2015 to 2017. The 2015 section is highlighted in blue and contains a "Public Engagement" box with sub-phases "Phase 1" and "Phase 2". The 2016 section contains boxes for "Draft Plans", "Public Comment Period", and "Comment Response". The 2017 section is labeled "ADOPT". Below the timeline, the text reads: "Thank you for your input! This past year, we traveled around the state of Minnesota to gather your input on the future of transportation. During this time, we received over 12,450 responses across a broad range of geographic and demographic groups. Your voices set the stage for writing draft plans for our state's transportation future. Remember those surveys and conversations? We're pleased to share the results! Take a look at what we learned by downloading the executive summary of engagement. Next steps How will this input be used? Great question! Now it's time to draft the policy and investment direction. Throughout the next few months, we'll be busy writing the

The seventh stakeholder email update:

- **Email date:** September 28, 2016
- **Key messages:** Reminder to review the draft plans and provide comment
- **Number of recipients:** 11,213

The project team will send a final stakeholder email upon project completion in January 2017.

## INTERACTIVE ONLINE PLANS & COMMENT TOOL

As part of the formal public comment period, the project team developed interactive online versions of the plans in addition to print and PDF versions. The project website, [www.MinnesotaGO.org](http://www.MinnesotaGO.org), hosted the web-based plans. These HTML versions of the plans helped to ensure the plan content was accessible to all readers. They also allowed for content to be cross-referenced, which made for easier navigation of the document and helped show connections between themes and chapters. Additionally, the web versions of the plan included a built-in comment tool. This allowed individuals to provide comments on specific plan content as they read it. A summary of the online plans is provided below:

- **Total views of online plan pages:** 3,731
- **SMTP:** 1,625
- **MnSHIP:** 2,106

## AUDIENCES REACHED

The information and analysis in this section only includes data from the primary engagement phase (October 2015 – March 2016).

MnDOT tracked demographics as a part of this engagement effort. Four questions were posed on all anonymous participation tools. The questions were optional. They were:

- What is your zip code?
- What is your age?
- What is your gender?
- What is your race/ethnicity?

The project team collected this data throughout the primary engagement phase to determine if certain populations were missed. Data helped refine the engagement strategy from month-to-month in order to address gaps and build on successes. The intended outcome was to reach a population that is representative of Minnesota’s demographic makeup. In addition to these questions, MnDOT gained audience data through the project website and social media accounts.

Table D-5: Minnesota demographics

CATEGORY	POPULATION	PERCENTAGE OF TOTAL
Total state	5,303,925	100%
White	4,524,062	86%
Black or African American	274,412	6%
Asian	214,234	5%
American Indian or Alaska Native	60,916	1%
Native Hawaiian or Other Pacific Islander	2,156	<1%
Multiple races	121,996	1%
Hispanic	250,258	5%
Male	2,632,132	50%
Female	2,671,793	50%
20 and younger	1,434,502	27%
21 to 35	1,111,382	21%
36 to 50	1,060,785	20%
51 to 65	1,060,785	20%
Greater than 66	636,471	12%

The four demographic questions appeared on the hard-copy worksheets, online surveys and iPad surveys. There were 6,876 participants using these tools through the month of March. Fifty-six percent of participants (3,884) answered at least one optional demographic question.



## Key Demographic Takeaways

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The project team analyzed the demographic data and used it to adjust the engagement strategy on a monthly basis. Key takeaways from the engagement data include:

- **Average age skews older:** The data below shows the average age of participants by event type. The median age in Minnesota is 37.6.
  - **Community event:** 42.1
  - **Social media survey:** 50.7
  - **Stakeholder briefing:** 49.2
  - **Stakeholder forum:** 45.8
  - **Website survey:** 49.0
  - **Workplace:** 43.2
  - **Overall:** 47.6
- **Correcting for disproportionately high representation of men:** The primary engagement phase ended with 53 percent female participation and 47 percent male participation. The breakdown for MnSHIP is 53 percent men and 47 percent women. The breakdown for SMTP is 57 percent women and 43 percent men. Concerted social media efforts to increase participation by women on MnSHIP and SMTP surveys increased the overall female representation from 42 percent in November 2015 to 53 percent in March 2016.
- **Correcting for disproportionately low participation from people of color:** The project ended with 87 percent of participants identified as white. This was an overall improvement (13 percent) in participation by people of color from early participation results. The month of December 2015 included one week of targeted Facebook ads to help increase participation from people of color in Minnesota. MnDOT implemented additional strategies from January through March 2016 aiming to address these disparities. The involvement of TPT / ECHO also helped to increase representation from people of color. MnSHIP and SMTP saw an overall increase in the Hispanic, Black or African American, Asia and American Indian or Alaskan Native participation.

## DEMOGRAPHIC BREAKDOWN BY TACTIC

Table D-6: Percentage breakdown of participant demographics by tactic

Note: Three participants identified as "Trans"; one participant identified as "Other"

TACTIC	20 AND BELOW	21-35	36-50	51-65	66+	MALE	FEMALE	WHITE	BLACK OR AFRICAN AMERICAN	AMERICAN INDIAN OR ALASKA NATIVE	ASIAN	NATIVE HAWAIIAN OR OTHER PACIFIC ISLANDER	MULTIPLE	HISPANIC
Total	3%	24%	25%	35%	13%	47%	53%	87%	6%	1%	5%	0%	1%	5%
Community Event	11%	34%	25%	23%	6%	44%	56%	60%	19%	3%	16%	0%	1%	20%
Social Media Survey	2%	18%	24%	41%	15%	24%	76%	88%	7%	1%	2%	0%	2%	3%
Stakeholder Briefing	0%	20%	26%	41%	12%	75%	25%	94%	1%	1%	2%	0%	1%	0%
Stakeholder Forum	0%	32%	23%	41%	5%	59%	41%	95%	0%	0%	0%	5%	0%	0%
Website Survey	2%	22%	25%	37%	14%	58%	42%	96%	1%	1%	2%	0%	1%	1%
Workplace	0%	37%	31%	19%	13%	58%	42%	93%	0%	0%	6%	0%	0%	0%
MnSHIP	3%	24%	26%	35%	13%	53%	47%	89%	4%	1%	6%	0%	1%	5%
Community Event	9%	34%	28%	24%	5%	42%	58%	61%	17%	1%	20%	0%	0%	16%
Social Media Survey	2%	20%	21%	41%	15%	34%	66%	93%	4%	0%	1%	0%	1%	6%
Stakeholder Briefing	0%	19%	26%	41%	14%	73%	27%	95%	0%	1%	2%	0%	1%	0%
Website Survey	2%	20%	26%	38%	14%	59%	41%	97%	1%	0%	2%	0%	0%	1%
Workplace	0%	34%	30%	23%	14%	57%	43%	94%	0%	0%	6%	0%	0%	1%
SMTP	3%	24%	25%	35%	13%	43%	57%	85%	7%	2%	4%	0%	2%	5%
Community Event	12%	32%	22%	28%	6%	46%	54%	59%	21%	5%	12%	0%	2%	24%
Social Media Survey	1%	15%	21%	51%	12%	20%	80%	86%	8%	1%	3%	0%	3%	2%
Stakeholder Briefing	0%	19%	22%	50%	9%	77%	23%	94%	1%	1%	3%	1%	1%	1%
Website Survey	1%	19%	20%	48%	12%	57%	43%	95%	2%	1%	2%	0%	1%	2%
Workplace	1%	34%	27%	29%	9%	59%	41%	90%	1%	1%	7%	0%	0%	0%

Table D-7: Raw values breakdown of participant demographics by tactic

Note: Three participants identified as "Trans"; one participant identified as "Other"

TACTIC	20 AND BELOW	21-35	36-50	51-65	66+	MALE	FEMALE	WHITE	BLACK OR AFRICAN AMERICAN	AMERICAN INDIAN OR ALASKA NATIVE	ASIAN	NATIVE HAWAIIAN OR OTHER PACIFIC ISLANDER	MULTIPLE	HISPANIC
Total	105	813	863	1205	432	1623	1796	2380	159	33	131	5	36	136
Community Event	69	213	158	145	37	295	369	292	94	16	78	0	6	98
Social Media Survey	16	192	249	433	157	240	776	694	52	6	18	2	18	23
Stakeholder Briefing	2	89	115	178	54	345	118	401	3	5	9	2	5	2
Stakeholder Forum	0	7	5	9	1	13	9	20	0	0	0	1	0	0
Website Survey	17	234	270	400	156	605	434	783	9	5	13	0	7	12
Workplace	1	78	66	40	27	125	90	190	1	1	13	0	0	1
MnSHIP	44	361	386	530	192	802	704	1090	54	8	68	1	9	58
Community Event	26	102	82	72	16	132	181	147	42	3	48	0	1	38
Social Media Survey	6	59	61	120	45	95	182	195	8	1	2	0	3	12
Stakeholder Briefing	1	46	64	102	34	190	72	226	1	3	4	1	3	1
Website Survey	11	112	142	207	80	311	214	407	3	1	7	0	2	6
Workplace	0	42	37	29	17	74	55	115	0	0	7	0	0	1
SMTP	61	445	472	666	239	808	1083	1270	105	25	63	3	27	78
Community Event	43	111	76	96	21	163	188	145	52	13	30	0	5	60
Social Media Survey	10	133	188	462	112	145	594	499	44	5	16	2	15	11
Stakeholder Briefing	1	43	51	115	20	155	46	175	2	2	5	1	2	1
Website Survey	6	122	128	301	76	294	220	376	6	4	6	0	5	6
Workplace	1	36	29	31	10	51	35	75	1	1	6	0	0	0

## Total Participant Demographic Breakdown

Table D-8: Percentage breakdown of participant gender by tactic

TACTIC	MALE	FEMALE
Total	47%	53%
Community Event	44%	56%
Social Media Survey	24%	76%
Stakeholder Briefing	75%	25%
Stakeholder Forum	59%	41%
Website Survey	58%	42%
Workplace	58%	42%

Table D-9: Percentage breakdown of participant age by tactic

TACTIC	20 AND BELOW	21-35	36-50	51-65	66+
Total	3%	24%	25%	35%	13%
Community Event	11%	34%	25%	23%	6%
Social Media Survey	2%	18%	24%	41%	15%
Stakeholder Briefing	0%	20%	26%	41%	12%
Stakeholder Forum	0%	32%	23%	41%	5%
Website Survey	2%	22%	25%	37%	14%
Workplace	0%	37%	31%	19%	13%

Table D-10: Percentage breakdown of participant race / ethnicity by tactic

TACTIC	WHITE	BLACK OR AFRICAN AMERICAN	AMERICAN INDIAN OR ALASKA NATIVE	ASIAN	NATIVE HAWAIIAN OR OTHER PACIFIC ISLANDER	MULTIPLE	HISPANIC
Total	87%	6%	1%	5%	0%	1%	5%
Community Event	60%	19%	3%	16%	0%	1%	20%
Social Media Survey	88%	7%	1%	2%	0%	2%	3%
Stakeholder Briefing	94%	1%	1%	2%	0%	1%	0%
Stakeholder Forum	95%	0%	0%	0%	5%	0%	0%
Website Survey	96%	1%	1%	2%	0%	1%	1%
Workplace	93%	0%	0%	6%	0%	0%	0%

## SMTP Participant Demographic Breakdown

Table D-1: Percentage breakdown of SMTP participant gender by tactic

TACTIC	MALE	FEMALE
Total	43%	57%
Community Event	46%	54%
Social Media Survey	20%	80%
Stakeholder Briefing	77%	23%
Website Survey	57%	43%
Workplace	59%	41%

Table D-12: Percentage breakdown of SMTP participant age by tactic

TACTIC	20 AND BELOW	21-35	36-50	51-65	66+
Total	3%	24%	25%	35%	13%
Community Event	12%	32%	22%	28%	6%
Social Media Survey	1%	15%	21%	51%	12%
Stakeholder Briefing	0%	19%	22%	50%	9%
Website Survey	1%	19%	20%	48%	12%
Workplace	1%	34%	27%	29%	9%

Table D-13: Percentage breakdown of SMTP participant race / ethnicity by tactic

TACTIC	WHITE	BLACK OR AFRICAN AMERICAN	AMERICAN INDIAN OR ALASKA NATIVE	ASIAN	NATIVE HAWAIIAN OR OTHER PACIFIC ISLANDER	MULTIPLE	HISPANIC
Total	85%	7%	2%	4%	0%	2%	5%
Community Event	59%	21%	5%	12%	0%	2%	24%
Social Media Survey	86%	8%	1%	3%	0%	3%	2%
Stakeholder Briefing	94%	1%	1%	3%	1%	1%	1%
Website Survey	95%	2%	1%	2%	0%	1%	2%
Workplace	90%	1%	1%	7%	0%	0%	0%

## MnSHIP Participant Demographic Breakdown

Table D-14: Percentage breakdown of MnSHIP participant gender by tactic

TACTIC	MALE	FEMALE
Total	53%	47%
Community Event	42%	58%
Social Media Survey	34%	66%
Stakeholder Briefing	73%	27%
Website Survey	59%	41%
Workplace	57%	43%

Table D-15: Percentage breakdown of MnSHIP participant age by tactic

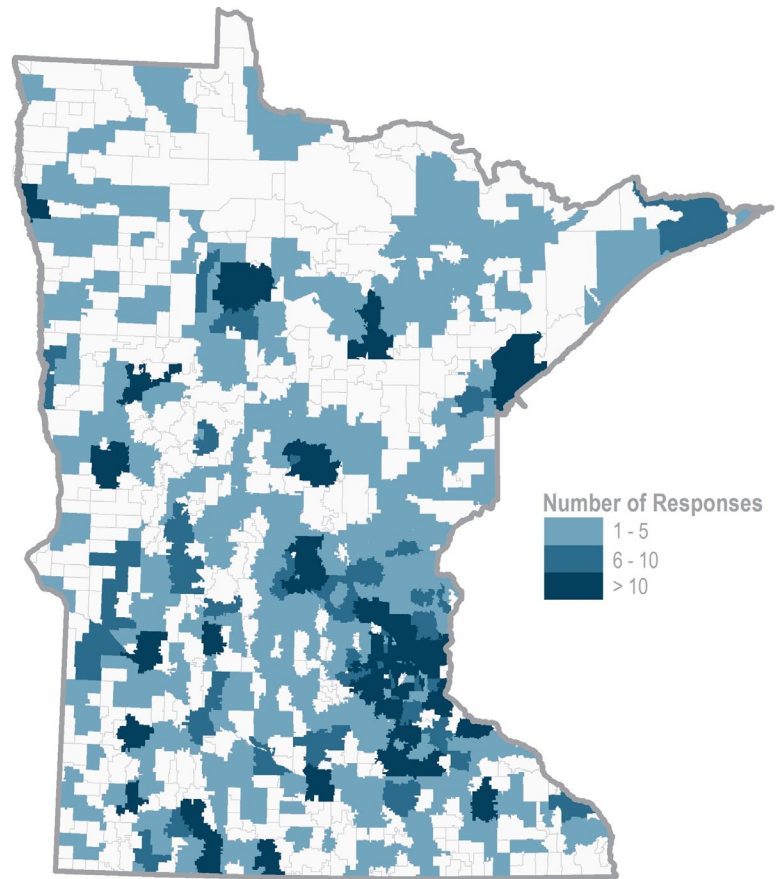
TACTIC	20 AND BELOW	21-35	36-50	51-65	66+
Total	3%	24%	26%	35%	13%
Community Event	9%	34%	28%	24%	5%
Social Media Survey	2%	20%	21%	41%	15%
Stakeholder Briefing	0%	19%	26%	41%	14%
Website Survey	2%	20%	26%	38%	14%
Workplace	0%	34%	30%	23%	14%

Table D-16: Percentage breakdown of MnSHIP participant race / ethnicity by tactic

TACTIC	WHITE	BLACK OR AFRICAN AMERICAN	AMERICAN INDIAN OR ALASKA NATIVE	ASIAN	NATIVE HAWAIIAN OR OTHER PACIFIC ISLANDER	MULTIPLE	HISPANIC
Total	89%	4%	1%	6%	0%	1%	5%
Community Event	61%	17%	1%	20%	0%	0%	16%
Social Media Survey	93%	4%	0%	1%	0%	1%	6%
Stakeholder Briefing	95%	0%	1%	2%	0%	1%	0%
Website Survey	97%	1%	0%	2%	0%	0%	1%
Workplace	94%	0%	0%	6%	0%	0%	1%

## GEOGRAPHIC DISTRIBUTION

Figure D-3: Breakdown of participant home zip code





# RESULTS

This section summarizes results of engagement for the primary engagement phase (October 2015 – March 2016, plus the State Fair) and the second engagement phase (April – May 2016).

## Statewide Multimodal Transportation Plan

### PHASE 1

The first phase focused on connecting with the general public and transportation partners. This was the primary phase of engagement. It began in August 2015 at the Minnesota State Fair and continued through March 2016. The majority of engagement activities occurred between October 2015 and March 2016. This phase asked about the future of the state and transportation. To plan for the future, it is important to understand what is important to Minnesotans. To do this, MnDOT asked participants about a number of changes projected for Minnesota over the next 20 years. These shifts – in the economy, environment, population, technology and transportation behavior – will affect how people and goods move. The goal was to understand which of these changes, or types of changes, were most important for the plan to consider moving forward. Participants helped prioritize more than 20 individual trends in five different areas:

#### Environmental Trends

- [Climate Change](#)
- [Environmental Quality](#)

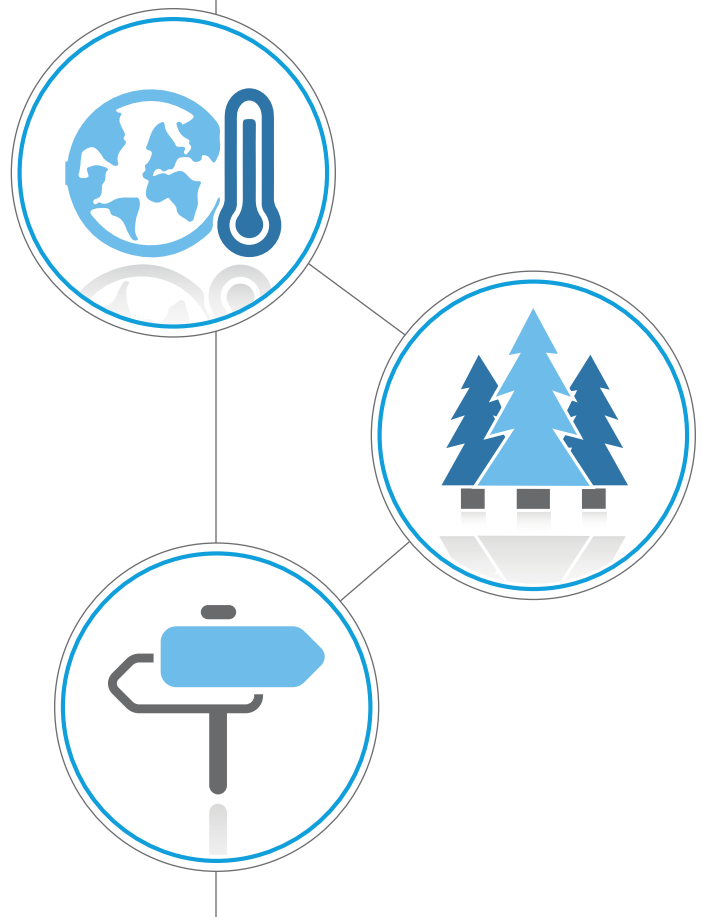
#### Transportation Behavior Trends

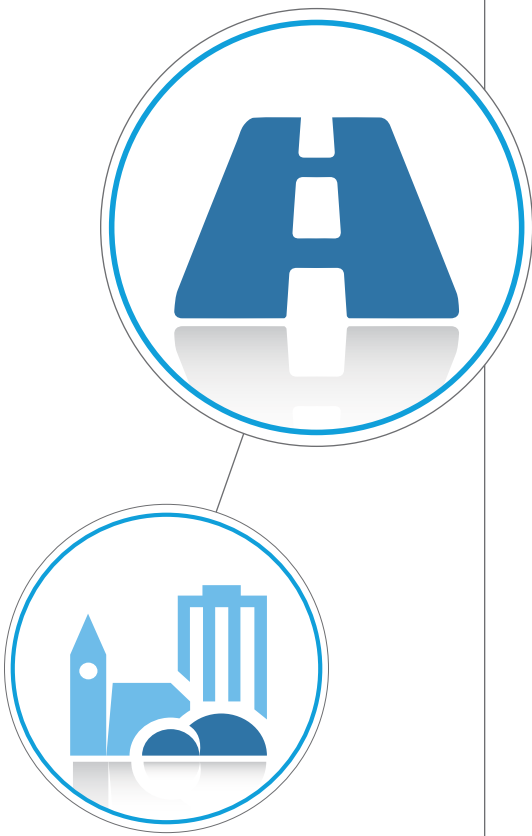
- [Transportation Behavior Changes](#)
- [Mobility as a Service](#)
- [Teleworking & e-Shopping](#)

#### Population Trends

- [Demographic Trends in Minnesota](#)
- [Urban & Rural Population Trends](#)
- [Racial Disparities & Equity](#)
- [Minnesota's Aging Population](#)
- [Health Trends in Minnesota](#)

More information related to the trends can be found in **Chapter 3.**





## Economic Trends

- [\*Economic Sectors & Employment Patterns\*](#)
- [\*Freight Rail in Minnesota\*](#)
- [\*Aging Infrastructure\*](#)
- [\*Public-Private Partnerships\*](#)
- [\*New Logistics\*](#)
- [\*Dynamic Road Pricing\*](#)

## Technology Trends

- [\*Autonomous Vehicles\*](#)
- [\*Mobile Telecommunications & Activity in Motion\*](#)
- [\*Sensors, Monitors & Big Data\*](#)
- [\*Electrification & Alternative Fuels\*](#)
- [\*Unmanned Aircraft Systems / Drones\*](#)

## Engagement Activities

### IN-PERSON ENGAGEMENT

#### *Community Events & Traditionally Underserved Community Partnerships*

The in-person engagement was kicked off at the Minnesota State Fair. Fairgoers were asked to prioritize two of the five broad categories of change – economy, environment, population, technology and transportation behavior – based on what they felt was more important to plan for. More than 5,000 people responded during the fair.

MnDOT staff attended additional community events throughout Minnesota. At these events people were asked to decide how important it was to plan for the different trends. Feedback was received using an interactive survey on iPads. Approximately 900 Minnesotans attended 28 events across the state.

Twin Cities Public Television / Emergency, Community, Health, Outreach and MnDOT partnered to connect with traditionally underserved communities at 10 of the 28 community events. Specific focus was placed on reaching Minnesotans in the Hispanic, Hmong and Somali communities. ECHO staff led the engagement at these events using interactive iPad surveys that were translated into Spanish, Hmong and Somali. More than 300 responses from these cultural communities were received through this joint effort.

### *Workplace-Based Outreach*

MnDOT staff also reached out to employers throughout Minnesota to connect with people at their workplaces. Employers selected the engagement activity that was most appropriate for their place of business. Kiosk and formal presentation options were offered. In total, nine workplace sessions were completed collecting about 250 responses.

### *Partner & Stakeholder Briefings*

In addition to engaging with the public, there were meetings with key partner and stakeholder groups around the state. A total of 70 meetings were held during this engagement period. At the meetings, information was presented about the trends facing Minnesota. Attendees were asked to vote on which trend topics they wanted to discuss in more detail. Attendees were also asked to fill out a worksheet to provide input about which trends are most important to focus on. There were responses from approximately 550 partners and stakeholders as a result of these briefings.

### *Stakeholder Forums*

Also as part of Phase 1, MnDOT hosted three all-day stakeholder forums. These forums included discussions of the Statewide Multimodal Transportation Plan, the Minnesota State Highway Investment Plan and the Greater Minnesota Transit Investment Plan. The forums provided an opportunity for more in-depth conversation than the community events, workplace-based outreach and stakeholder briefings. Each stakeholder forum featured a presentation on the various trends, group discussion about each trend category and opportunities for participants to submit a worksheet that documented the top trends they wanted considered as part of the planning process. Attendees submitted 150 responses during events in Mankato, Minneapolis and Brainerd.

## ONLINE ENGAGEMENT

### *Interactive Website*

Online engagement was a large part of the approach in addition to in-person engagement. The project website ([www.MinnesotaGO.org](http://www.MinnesotaGO.org)) hosted information about the plan and the update process, summaries and full reports about the different trends, and a number of ways for Minnesotans to give input online. The site also included an interactive map and calendar to connect people to upcoming in-person events. Visitors could request a presentation and sign-up for project emails. Links to online surveys allowed visitors to prioritize trend topics. The online surveys closely mirrored the questions asked at in-person events. In total, there were more than 7,500 website visits during the first phase of engagement and approximately 2,300 people completed the web surveys.

### *Social Media*

Social media also helped get the word out about the plan and opportunities to get involved. An organized social media campaign on Facebook and Twitter included posts related to the Statewide Multimodal Transportation Plan, the Minnesota State Highway Investment Plan, the Greater Minnesota Transit Investment Plan and other MnDOT planning efforts. Facebook was used with sponsored posts to direct people to the website surveys. These posts specifically targeted populations that were less likely to respond through the other engagement methods. Approximately 2,800 survey responses were gained using social media.

### *Email Updates*

Bi-monthly email updates were sent out to more than 11,000 people with general information and highlights about opportunities to get involved.

## **Engagement Results**

### TREND AREAS

Participants were asked to identify how important it was for MnDOT to plan for different categories of change – economy, environment, population, technology and transportation behavior. Some tools asked participants to select one or two areas as the most important. Other tools asked participations to rate how important each area was on a scale of zero to three (three being very important). Results are broken out by different audiences and demographic groups, when sufficient data was available, and are shown in the following tables.

Table D-17: Trend area preference by audience

TREND AREA	FREQUENCY – PUBLIC (N9000+)	AVERAGE RATING – STAKEHOLDER (N461)
Environment	30.1%	1.77
Behavior	20.2%	2.28
Population	19.5%	2.13
Economy	17.0%	2.20
Technology	13.1%	2.04

Table D-18: Trend area preference by gender

TREND AREA	FREQUENCY – FEMALE (N1001)	FREQUENCY – MALE (N605)
Environment	32.4%	19.7%
Behavior	30.4%	31.2%
Population	20.9%	16.5%
Economy	10.0%	18.3%
Technology	6.4%	14.2%

Table D-19: Trend area preference by age

TREND AREA	FREQUENCY – 20 AND UNDER (N60)	FREQUENCY – 21 TO 35 (N364)	FREQUENCY – 36 TO 50 (N403)	FREQUENCY – 51 TO 65 (N579)	FREQUENCY – 66+ (N204)
Environment	41.7%	31.0%	24.8%	26.4%	25.0%
Behavior	18.3%	33.8%	31.3%	32.1%	28.9%
Population	8.3%	16.2%	19.9%	21.2%	23.5%
Economy	8.3%	11.5%	14.9%	11.9%	12.7%
Technology	23.3%	7.4%	9.2%	8.3%	9.8%

Table D-20: Trend area preference by race / ethnicity

TREND AREA	FREQUENCY – AMERICAN INDIAN OR ALASKA NATIVE (N14)	FREQUENCY – ASIAN (N78)	FREQUENCY – BLACK OR AFRICAN AMERICAN (N115)	FREQUENCY – WHITE (N988)	FREQUENCY – MULTIPLE RACES (N24)	FREQUENCY – HISPANIC (N78)
Environment	57.1%	21.8%	19.1%	28.5%	37.0%	33.3%
Behavior	7.1%	35.9%	23.5%	32.8%	29.2%	12.8%
Population	21.4%	14.1%	21.7%	19.9%	12.0%	17.9%
Economy	7.1%	16.7%	27.0%	9.8%	12.5%	26.9%
Technology	7.1%	11.5%	8.7%	8.9%	8.3%	9.0%

Table D-21: Trend area preference by geography

TREND AREA	FREQUENCY – GREATER MINNESOTA (N589)	FREQUENCY – TWIN CITIES (N1182)
Environment	27.3%	28.3%
Behavior	30.9%	29.8%
Population	15.3%	21.1%
Economy	17.5%	11.3%
Technology	9.0%	9.6%

## INDIVIDUAL TRENDS

Participants were also asked to prioritize 21 specific trends based on how important they felt it was for MnDOT to plan for the trend (on a one to three scale). The question was asked using many different engagement tools. The following tables show the cumulative rating across all participants and by demographic groups, as data availability allowed.

Table D-22: Statewide trend preference

TREND	AVERAGE RATING – ALL (N3597)
Aging Infrastructure	2.30
Urban & Rural Populations	2.08
Climate Change	1.98
Environmental Quality	1.91
Transportation Behavior Changes	1.85
Aging Population	1.66
Economy & Employment	1.40
Mobility as a Service	1.36
Health	1.33
Electrification and Alternative Fuels	1.24
Autonomous Vehicles	1.21
Racial Disparities	1.18
Freight Rail	1.07
Demographics	1.05
Public-Private Partnerships	1.02
Mobile Technology	0.98
New Logistics	0.95
Teleworking & E-Shopping	0.90
Dynamic Road Pricing	0.89
Sensors, Monitors & Big Data	0.79
Unmanned Aircraft Systems / Drones	0.61

Table D-23: Trend preference by gender

TREND	AVERAGE RATING – MALE (N829)	AVERAGE RATING – FEMALE (N1104)
Aging Infrastructure	2.38	2.11
Urban & Rural Populations	1.82	2.27
Climate Change	1.29	2.33
Environmental Quality	1.34	2.08
Transportation Behavior Changes	1.76	1.96
Aging Population	1.28	1.92
Economy & Employment	1.17	1.53
Mobility as a Service	0.97	1.59
Health	0.73	1.64
Electrification and Alternative Fuels	1.00	1.04
Autonomous Vehicles	1.04	1.07
Racial Disparities	0.69	1.48
Freight Rail	0.78	1.15
Demographics	0.64	1.28
Public-Private Partnerships	0.71	0.94
Mobile Technology	0.67	0.88
New Logistics	0.63	0.96
Teleworking & E-Shopping	0.72	0.94
Dynamic Road Pricing	0.61	0.84
Sensors, Monitors & Big Data	0.56	0.84
Unmanned Aircraft Systems / Drones	0.44	0.54



Table D-24: Trend preference by age

TREND	AVERAGE RATING – 20 AND UNDER (N62)	AVERAGE RATING – 21 TO 35 (N456)	AVERAGE RATING – 36 TO 50 (N490)	AVERAGE RATING – 51 TO 65 (N676)	AVERAGE RATING – 66+ (N243)
Aging Infrastructure	2.00	1.87	2.45	2.48	2.45
Urban & Rural Populations	2.76	2.07	2.09	2.09	1.99
Climate Change	2.65	1.82	1.86	1.95	1.89
Environmental Quality	2.20	1.68	1.71	1.92	1.93
Transportation Behavior Changes	2.18	1.87	1.81	1.90	1.89
Aging Population	2.17	1.20	1.61	1.73	2.14
Economy & Employment	1.55	1.40	1.28	1.25	1.22
Mobility as a Service	2.58	1.20	1.14	1.44	1.35
Health	1.83	1.14	1.13	1.22	1.46
Electrification and Alternative Fuels	2.40	0.89	0.86	1.10	1.28
Autonomous Vehicles	1.47	0.86	1.13	1.12	1.10
Racial Disparities	1.83	1.14	1.03	1.06	1.28
Freight Rail	1.00	0.74	0.76	0.97	1.41
Demographics	1.67	1.10	0.87	0.93	0.95
Public-Private Partnerships	1.33	0.81	0.82	0.74	0.82
Mobile Technology	1.87	0.61	0.71	0.79	0.82
New Logistics	1.00	0.66	0.83	0.73	0.69
Teleworking & E-Shopping	1.57	0.63	0.77	0.95	0.76
Dynamic Road Pricing	1.53	0.75	0.69	0.63	0.61
Sensors, Monitors & Big Data	2.27	0.50	0.64	0.61	0.64
Unmanned Aircraft Systems / Drones	1.67	0.38	0.46	0.38	0.63

Table D-25: Trend preference by race / ethnicity

TREND	AVERAGE RATING – AMERICAN INDIAN OR ALASKA NATIVE (N25)	AVERAGE RATING – ASIAN (N89)	AVERAGE RATING – BLACK OR AFRICAN AMERICAN (N118)	AVERAGE RATING – WHITE (N1265)	AVERAGE RATING – MULTIPLE RACES (N26)	AVERAGE RATING – HISPANIC (79)
Aging Infrastructure	1.11	1.96	2.67	2.26	2.40	2.76
Urban & Rural Populations	1.08	1.42	2.54	2.00	2.62	2.58
Climate Change	2.00	2.00	2.54	1.72	2.17	2.74
Environmental Quality	1.75	1.98	2.33	1.68	1.86	2.26
Transportation Behavior Changes	1.33	1.68	2.23	1.83	2.00	2.00
Aging Population	1.36	1.64	2.30	1.49	1.80	2.60
Economy & Employment	0.70	1.84	2.27	1.06	2.13	2.37
Mobility as a Service	1.00	1.31	1.79	1.16	1.30	2.10
Health	1.18	1.36	2.19	1.03	1.60	2.8
Electrification and Alternative Fuels	0.33	1.05	2.50	0.88	1.50	2.13
Autonomous Vehicles	0.33	1.45	1.92	0.94	1.00	2.38
Racial Disparities	1.09	1.27	2.59	0.90	1.80	2.67
Freight Rail	0.11	0.75	2.00	0.64	1.40	2.09
Demographics	0.45	1.95	2.15	0.82	1.40	2.79
Public-Private Partnerships	0.00	1.46	1.87	0.56	0.80	1.86
Mobile Technology	0.33	0.85	2.33	0.59	0.75	1.63
New Logistics	0.33	1.13	2.03	0.47	1.00	2.33
Teleworking & E-Shopping	0.67	1.27	1.64	0.67	0.40	1.55
Dynamic Road Pricing	0.40	1.33	1.64	0.56	0.50	1.29
Sensors, Monitors & Big Data	0.11	1.20	2.17	0.45	1.75	2.38
Unmanned Aircraft Systems / Drones	0.11	0.90	1.08	0.38	0.75	1.13

## OPEN RESPONSE SUMMARY

Opportunities to provide open-ended feedback were part of all engagement activities. The key messages received are highlighted below, organized by SMTP policy objective.

### *Accountability, Transparency & Communication (Open Decision-Making)*

- There was overwhelming support for MnDOT to continue to monitor the various trends and to update the summaries as needed. Specifically, there was an interest in including more analysis of the impacts the trends will have on transportation and how transportation can impact the trends. There was also support for continued research into the trend topic areas to learn more. Specific trends mentioned more frequently for further study include autonomous vehicles and demographics. It was noted that a better following of all trends would allow transportation partners to make more proactive decisions. Most of the comments were supportive of MnDOT looking at a broad range of trend topics. However, some commenters indicated that the focus should be limited to the trends that most directly connect to transportation. MnDOT was encouraged to continue to share the trend information with local and regional partners.
- There was significant support for improved coordination between transportation systems and partners from an operations and communication standpoint. MnDOT was encouraged to improve coordination with partners and expand beyond the usual transportation partners to include others, such as health, watershed districts, businesses, trade associations, etc. There was a desire to eliminate layers of government whenever possible, specifically from the user standpoint. An example given was that users don't care that MnDOT operates the highways, cities operate streets and the Metropolitan Council operates transit. Users should be able to find information about all transportation in one place. Another example was to streamline environmental processes on projects.
- There was significant support for improving data integration and sharing. Transportation data should be better integrated with economic and health data. There was also support for ensuring mapping and data sources are kept as up-to-date as possible.
- There was support for additional transportation funding and for transportation partners to continue to communicate about transportation costs and needs.

- There was support for MnDOT to continue to conduct research to improve the knowledge and data available to support decision-making. Technical and non-technical topics were recognized as important for research. There was also support for MnDOT and Minnesota to position itself as a research and innovation leader. This was seen as a way to help make proactive decisions rather than reactive. This was a particularly common theme related to the autonomous vehicle trend. Many respondents encouraged MnDOT to partner with the private sector and become a national leader related to new vehicle technology.
- There was support for more use of surveys and other methods to understand public perceptions. Surveys were seen as tools to help MnDOT better understand the transportation priorities of Minnesotans and to help measure the success of the system. It was noted that it is important for MnDOT to talk to actual people and not just rely on data and statistics. Key questions identified as important to get feedback on included: Will the public accept a smaller system? Do individuals have their preferred transportation options available to them? Is the system meeting the needs of businesses?
- There was support for transportation partners to try new types of engagement, such as more ongoing conversations with the public and stakeholders. It was noted that if planning continues to be done in the same way, it will produce the same, bad results [in terms of participation]. Ensuring engagement reaches all populations was identified as important. Related, it was noted that transportation partners should pay more attention to institutional issues that contribute to disparities in participation.
- A number of comments encouraged MnDOT to take a more active approach to educating the public and stakeholders on key transportation topics and to be out in front of issues rather than reactive. Topics that were identified included how transportation projects are selected, the project development process, transportation funding, needs identification, safety issues and the benefits of different treatments, what MnDOT is planning for the future and how / when the public can influence decisions.
- A number of comments noted the need for improved communication about current and upcoming construction projects, including improved detour communication. Frustration was expressed over the amount of construction, particularly in the Twin Cities.
- A number of comments wanted MnDOT to take a more active role in encouraging mode shift through increased coordination among partners and services as well as through promotion of non-driving modes. While many individuals supported this, some expressed the opposite opinion.
- A few comments encouraged transportation partners to more actively promote tourism.

### *Traveler Safety (Transportation Safety)*

- There was overwhelming support for more focus on bicycle and pedestrian safety. It was noted that these users are more vulnerable and that increased safety, or the perception of safety, can help facilitate greater use, leading to health improvements. Ensuring that the appropriate facilities are available and that there are design standards for these modes is linked to actual and perceived safety for all users of the system. Commenters asked: How would decision-making change if the focus was on the vulnerable roadway user perspective?
- The number of crashes and the number of fatalities were the most commonly identified measures of success, both for transportation safety but also as indicators for the overall success of the system. Tracking trends for different types of crashes was also frequently identified. Additionally, there was a note that there should be improved crash data sharing.
- There was some support for increasing multimodal transportation options, namely transit and walking. Increasing transportation options can help roadway safety, particularly related to providing non-auto options for the aging population. MnDOT should take a more active role in promoting these other modes as a safety strategy.
- There was support for making roadway safety improvements that help older drivers (e.g. enhanced pavement markings and high visibility signage) standard design elements, particularly since the population is aging overall. Commenters noted that these improvements also improve safety for all.
- A number of comments related to roadway design, specifically newer safety improvements such as roundabouts. They encouraged MnDOT to keep roadway designs easy to use / navigate. It was noted that MnDOT needs to do a better job of communicating, particularly with older populations, how to use new design elements. Related, commenters encouraged MnDOT not to use technology-only safety solutions as they can be difficult for seniors.
- A number of comments encouraged MnDOT to support the adoption of autonomous vehicles as a roadway safety strategy. However, they cautioned that MnDOT needs to ensure the vehicles are able to operate safely before pushing too hard. It was noted that autonomous vehicle technology may lead to an increase in distracted driving in the short term.

- Concern was expressed related to freight safety. Railroad safety issues such as speed, spills and crossings were identified frequently. Issues with truck freight were also identified, including the importance of passing lanes. Focusing more resources to safety improvements for these modes, and encouraging freight to move to safer modes were offered as suggestions.
- Concern was expressed related to safety issues associated with poor infrastructure conditions. It was noted that MnDOT should prioritize keeping infrastructure in good condition.
- A few comments expressed an interest in tougher traffic safety laws, although others expressed the opposing opinion – that traffic safety laws do not accomplish what is intended. Increase testing / retesting for older drivers was also mentioned as a way to improve overall traffic safety.
- Distracted driving was identified as an issue by many. However, no suggestions on how to address it were offered.
- Other topics that were noted include increasing funding for safety, crash data sharing, potential issues with mobility as a service, drone safety and the use of drones for incident relief.

#### *Critical Connections*

- Commenters noted the importance of an integrated multimodal transportation system with multiple options. This included transit, intercity bus, bicycle and pedestrian accommodations, rail and roadways. The commenters said that providing a variety of transportation options, whether for the movement of people or the movement of goods, allows Minnesota to be resilient and nimble to changes in the economy, demographics, technology or the environment.
- Over and over, commenters noted the differences between rural and urban areas. Urban and rural populations use the transportation system differently. There is no one-size-fits-all solution. What may work well in one area of the state may not work in another. The state's transportation system needs to acknowledge and accommodate these differences.
- As the state's population ages, many commenters noted the importance of transportation options, particularly transit.
- Some commenters noted the importance of improving transportation connections. Some areas of the state may be declining in population, but transportation options should be provided to community service centers such as schools and health care facilities.

- Many commenters emphasized the relationship between the state's transportation system and the health of its economy. They responded that connections between employers, job seekers, suppliers, producers and distributors make a reliable transportation system with multiple options necessary for future economic growth.

#### *Asset Management (System Stewardship)*

- There was significant support for maintaining the state's transportation assets. Numerous commenters noted that the quality of the transportation system impacts the health of the state's economy and a well-maintained transportation system is needed to remain competitive.
- Many commenters questioned the current size of the state's transportation system with questions such as: Is the current transportation network too big? What is needed? Should parts of the system be let go?
- Many commenters pushed for more funding to address the state's aging infrastructure. Recommendations included focusing on preservation before expansion, raising awareness of preservation needs and continued research in construction materials and methods.
- Several commenters noted the role of asset management and changing technology, particularly autonomous vehicles. MnDOT must continue monitoring technology changes and plan for any related infrastructure changes that may be needed such as improved pavement markings.
- Several commenters emphasized that the transportation system needs to adapt to an aging population. This includes providing a variety of transportation options. For the roadway system, commenters noted the need for improvements in signage, lighting and pavement markings

#### *Transportation in Context (Healthy Communities)*

- Commenters frequently brought up the differences between Minnesota's urban and rural communities and the different ways that transportation is used in different settings. Frequently commenters asked that transportation funding be shifted towards one setting as opposed to the other. Many also identified additional flexibility in project delivery and design as a key change that should be made going forward.
- Multiple commenters brought up the importance of ensuring that Minnesota's seniors remain connected to key destinations within their community, regardless of their ability to drive. These connections have the potential to impact seniors' physical, mental and economic health. Affordability of transportation services was another key concern raised.



- Commenters were split in terms of directing mode shift from single-occupancy vehicles to bicycling, walking or transit usage. There was interest in maintaining the system as it exists today while also working to develop alternatives to automobile travel.
- Many commenters emphasized the importance of transportation investments in ensuring that Minnesota's economy remains strong into the future. Commenters said that connections between employers, job seekers, suppliers, producers and distributors make a reliable transportation system with multiple options necessary for further economic growth.
- Several commenters connected transportation investments to improving the health of Minnesotans, particularly in encouraging the use of active transportation modes and ensuring that people have access to medical facilities, healthy foods, education, employment and recreation.
- Environmental issues related to the transportation system such as greenhouse gas emissions, shifting weather patterns, flash flood vulnerability, invasive species and pollution were important to a number of commenters. Suggestions to address these issues included shifting away from single-occupancy vehicle use, reinforcing existing infrastructure and creating habitat for native plants and animals along roadsides.
- Commenters encouraged MnDOT to advance equity through the transportation system by using new public engagement techniques, ensuring that projects are not disruptive to existing communities and by offering new transportation options in low-income communities.

## PHASE 2

The second phase of engagement occurred during April and May 2016 and built off of Phase 1. A number of specific questions rose up as the project team worked to incorporate the priorities heard in Phase 1 into the plan. These questions covered a range of topics and mostly dealt with the details about how proposed changes would be implemented. Given this emphasis on implementation, the focus during Phase 2 was reaching out to transportation partners, including different groups within MnDOT. Even though the focus was on transportation partners, anyone was welcome to comment. The major topics covered in this phase of engagement included:

- Land use and transportation connections
- Urban and rural system performance
- Equity and ability
- Climate change and environmental quality

## Engagement Activities

Four stakeholder forums and a webinar were held as part of Phase 2. Stakeholder forums were held in Grand Rapids, Fergus Falls, Willmar and Apple Valley. Each forum and the webinar included an overview of Phase 1 engagement results and an overview of the major policy topics. Participants were asked to weigh in on key questions within each of the topics. MnDOT leadership and key staff throughout the agency were also asked for input on the same topics.

For those that were not able to attend one of the forums or the webinar, an online survey version of the questions was available at project website. Additionally, materials were provided to MnDOT's planning partners, who were asked to share the information with their networks.

## Engagement Results

### LAND USE & TRANSPORTATION

Table D-26: Which types of decisions make sense to be linked to context?

CHOICES	FREQUENCY – MNDOT (N58)	FREQUENCY – EXTERNAL (N62)
Roadway design standards	53.4%	74.2%
Complete streets considerations	79.3%	66.1%
Public engagement expectations	63.8%	50.0%
Driveways and intersection spacing guidance	63.8%	50.0%
Local / state cost-sharing expectations	65.5%	64.5%
Other (e.g. safety, Safe Routes to School)	Not asked	11.3%
No contexts should have different expectations	6.9%	3.2%

Table D-27: Which types of investments should prioritization based on land form be applied to?

CHOICES	FREQUENCY – MNDOT (N56)	FREQUENCY – EXTERNAL (N69)
Safe Routes to School	82.1%	60.9%
Transportation Alternatives Program funding	62.5%	47.8%
Transit service improvements	44.6%	69.6%
Bicycle investments on state highways	83.9%	59.4%
Pedestrian investments on state highways	82.1%	66.7%
Land form should not affect investment priority	Not asked	8.7%

URBAN & RURAL SYSTEM PERFORMANCE

Table D-28: How concerned are you with MnDOT’s ability to address urban highway corridors? (Scale: 10 is very concerned)

RESULTS	MNDOT (N58)	EXTERNAL (N70)
Average Rating	7.16	7.97

Table D-29: If MnDOT were to start reporting performance measures by urban and rural, which should be included?

CHOICES	FREQUENCY – MNDOT (N57)	FREQUENCY – EXTERNAL (N68)
Asset management measures	59.6%	73.5%
Safety / crash measures	64.9%	80.9%
Mobility measures	66.7%	72.1%
None	7.0%	2.9%

Table D-30: Moving forward, which definition of urban would be most useful for performance reporting?

CHOICES	FREQUENCY – MNDOT (N59)	FREQUENCY – EXTERNAL (N70)
2,500 (U.S. Census definition)	13.6%	11.4%
5,000 (FHWA & State-Aid definition)	39.0%	34.3%
50,000 (MPO designation)	23.7%	12.9%
Regional Trade Centers (population is only one factor)	13.6%	40.0%

#### EQUITY & ABILITY

Table D-31: How important is it for the SMTP to explicitly address equity and individual ability? (Scale: 10 is very important)

RESULTS	MNDOT (N59)	EXTERNAL (N72)
Average Rating	7.24	7.00

Table D-32: Which of the following should MnDOT commit to in order to advance equity?

CHOICES	FREQUENCY – MNDOT (N59)	FREQUENCY – EXTERNAL (N72)
Support workforce diversity	57.6%	37.5%
Pilot approaches to add equity to decision-making	55.9%	44.4%
Study and better define equitable transportation	79.7%	68.1%
Measure and report on access to jobs by more than two modes	Not asked	29.2%
Incorporate equity into project selection	28.8%	41.7%
Invest to heal divisions caused by transportation	Not asked	29.2%
MnDOT should not explicitly address equity	Not asked	11.1%

CLIMATE CHANGE & ENVIRONMENTAL QUALITY

Table D-33: Which of the following should MnDOT do to address environmental issues?

CHOICES	FREQUENCY – MNDOT (N59)	FREQUENCY – EXTERNAL (N71)
Assess transportation infrastructure vulnerability	83.1%	76.1%
Reestablish a flood mitigation program	42.4%	40.8%
Advance GHG emission reduction with industry partners	66.1%	42.3%
Set targets for MnDOT salt use	45.8%	43.7%
MnDOT should not address climate change or environmental quality	Not asked	7.0%

Table D-34: How do you feel about MnDOT adopting NGEA 2025 benchmark targets for the transportation sector? (Scale: 10 is “I like it a lot”)

RESULTS	MNDOT	EXTERNAL (N71)
Average Rating	Not asked	6.82

# Minnesota State Highway Investment Plan

## PHASE 1

During the first phase of outreach, the Minnesota State Highway Investment Plan outreach focused on gaining input on what investments MnDOT should prioritize on the state highway system. Outreach targeted transportation partners, stakeholders and the public around the state. MnSHIP's public engagement asked three key questions that would influence the development of the investment direction.

- Which of the three investment approaches do you prefer the most?
  - **Approach A** – Focus investments on repairing and maintaining existing state highway pavements, bridges and roadside infrastructure
  - **Approach B** – Balance investment in repairing and maintaining existing state highways infrastructure with strategic investment in improving travel time reliability
  - **Approach C** – Focus investments on improving travel time reliability, non-motorized investments and regional and locally-driven priorities
- What investment categories are most important for investment?<sup>1</sup>
  - Pavement Condition
  - Bridge Condition
  - Roadside Infrastructure
  - Jurisdictional Transfer
  - Facilities
  - Traveler Safety
  - Twin Cities Mobility
  - Greater Minnesota Mobility
  - Bicycle Infrastructure
  - Accessible Pedestrian Infrastructure
  - Regional and Community Improvement Priorities

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<sup>1</sup> Small Programs and Project Delivery were not part of the investment trade-off discussion. The Freight investment category was added after Phase 1 outreach in response to the FAST Act federal transportation bill.

- What should MnDOT invest in? This was an open-ended question allowing participants to communicate their priorities for investment and include priorities that may not have been identified in the previous questions.

## Engagement Activities

MnSHIP used several tools to gain input from transportation partners, stakeholders and the public.

### IN-PERSON ENGAGEMENT

MnDOT created multiple in-person opportunities for the public, stakeholders and transportation partners to provide input on the priorities for the investment direction. The in-person outreach focused on going to where the people are. MnDOT relied heavily on going to existing meetings, workplaces and community events to seek input. In some cases, MnDOT had an hour on a meeting agenda to present. In other cases, MnDOT only had a few seconds to interact with people. With this in mind, MnDOT prepared multiple tools for various engagement settings to seek in-person input. Below are four different in-person settings used to gather input.

#### *Community Events*

The project team identified 19 community events throughout the state as locations for engagement sessions. The sessions consisted primarily of roving surveys which used iPads equipped with the GetFeedback survey tool. The survey provided plain language statements to describe the combination of investment in the three investment approaches. Instead of selecting a preferred approach, participants rated the approaches on a scale of zero to 100. The survey also asked participants to rank the investment category with the most important categories on top and identify any priorities for additional investment. MnDOT was able to gather over 900 responses.

#### *Stakeholder Forums*

MnDOT hosted three stakeholder forums in November of 2015 attended by 200 participants. The forums provided an opportunity for more in-depth input on specific questions and issues and provided an opportunity to discuss differing stakeholder perspectives. The project team presented and facilitated a discussion on the investment categories and investment approaches. Stakeholders selected the approach which best aligned with their investment priorities as well as areas where they would adjust the investment categories.



### *Partner and Stakeholder Briefings*

The project team presented to various transportation partners and internal and external stakeholders at over 100 meetings. These presentations were generally 30 minutes to an hour. Similar to the Stakeholder Forums, the presentation discussed the three investment approaches and asked participants to select the approach that best aligned with their priorities. Participants selected their three most important investment categories and identified any additional priorities for investment. MnDOT recorded over 500 responses from these meetings.

### *Workplace-Based Outreach*

The project team reached out to employers throughout Minnesota with two options for engagement. Ten workplaces invited MnDOT to conduct outreach with their employees collecting over 250 responses. An employer could request a presentation for their employees similar to the partner and stakeholder briefings or conduct roving surveys with employees, using iPads equipped with an online survey tool. The goal of these events was to reach individuals who do not normally participate in the planning process by making it easy and convenient. Engagement conducted at universities is also included in this category.

## ONLINE ENGAGEMENT

MnDOT used several online tools to supplement the in-person engagement techniques. Online engagement was critical to reaching a greater audience. Online tools mirrored those used for in-person engagement. MnDOT created its first Online ADA Plan as part of the Public Participation Plan to ensure that all web-based engagement was accessible to persons with visual impairments. Below is a summary of the tools used for online engagement.

### *Online Surveys*

An online survey began in October 2015 and continued through March 2016. The survey was available through the project website as well as advertised through social media. The survey was also available in an ADA accessible version. Participants selected the approach which best aligned with their investment priorities. MnDOT collected approximately 2,300 responses through online surveys.

### *Project Website*

The project team created a project website using the web address [www.MinnesotaGo.org](http://www.MinnesotaGo.org) as the hub for information, resources and online engagement for MnSHIP and SMTP. The website provided background information on the plan including the project timeline and information about the MnSHIP investment categories. MnDOT received over 7,500 visits to the project website.

### *Social Media*

Online engagement through social media allowed MnDOT to promote engagement activities and reach a large audience. MnDOT was able to reach over 100,000 social media users. The social media strategy used the Minnesota GO Facebook and Twitter accounts, with interaction and occasional posts from the MnDOT general Twitter and Facebook accounts. Posts were uploaded, on average, every week. The purpose of the posts was to drive traffic to the project website for information on the plans, promote surveys and provide other feedback opportunities and interacting with followers to gain input directly through Twitter.

### *Facebook Targeted Ads*

MnDOT launched three rounds of targeted Facebook ads. The main goal of the ads was to drive participation to the online survey tools. Through these ads, MnDOT collected over 2,800 responses.

### *Stakeholder E-mail Updates*

Project update emails were sent to MnDOT's planning and public participation email lists throughout the project. This list consists of over 11,000 email addresses. Individuals were able to sign-up for email updates through the project website. MnDOT sent updates to the stakeholder list approximately bi-monthly throughout the project.

## TRADITIONALLY UNDERSERVED COMMUNITY ENGAGEMENT

MnDOT provided specific outreach opportunities for traditionally underserved populations by piloting new engagement tools and techniques.

### *Tribal Outreach*

MnDOT used several different strategies to seek input from Minnesota's tribal communities and consult with the tribal governments. MnDOT used all three platforms for input including making presentations to regularly scheduled tribal meetings, conducting surveys at events such as the Tribes and Transportation Conference and the Bois Forte State of the Band, and asking tribal staff to promote the online survey in their communities. Staff also met with interested tribal government staff and officials to discuss transportation issues and trends facing the tribe. MnDOT attended ten meetings and events with tribal communities and engaged with over 200 participants.

### *Facebook Targeted Ads*

MnDOT used Facebook Ads to target traditionally underserved communities. Targeted ads allowed MnDOT to increase participation and better reflect the demographic breakdown of Minnesota's population. Some ads focused on increasing participation from women, African Americans, Asian Americans and Spanish speakers. Through collecting optional demographic data, the project team was able to review the results of the targeted ads, identify successes and make any adjustments based on lessons learned for future targeted ads.

### *ECHO Outreach*

MnDOT partnered with Twin Cities Public Television / Emergency, Community, Health, Outreach to conduct engagement within traditionally underserved communities, specifically the Hispanic, Hmong and Somali communities in Minnesota. ECHO staff translated the iPad surveys into Spanish, Hmong and Somali. ECHO staff identified ten locations to conduct outreach including ethnic markets, community centers and religious institutions. MnDOT and ECHO received over 300 responses. ECHO outreach lasted from February through March of 2015.

## Statewide Results

### APPROACH PREFERENCE

Figure D-35: Investment approach preference - statewide

APPROACH	FREQUENCY (N786)
A	250
B	302
C	224

### APPROACH RATING

Table D-36: Investment approach rating – statewide

APPROACH	RATING (N1625)
A	70.40
B	68.70
C	63.30

### INVESTMENT CATEGORY RANKING

Table D-37: Investment category ranking – statewide

RANK	CATEGORY	AVERAGE RATING (N1125)
1	Pavement Condition	4.21
2	Bridge Condition	4.55
3	Roadside Infrastructure	5.10
4	Regional and Community Improvement Priorities	5.75
5	Traveler Safety	5.80
6	Twin Cities Mobility	5.94
7	Greater Minnesota Mobility	6.04
8	Accessible Pedestrian Infrastructure	6.40
9	Bicycle Infrastructure	6.56
10	Facilities	7.64
11	Jurisdictional Transfer	7.98

## Results by Demographic Group

### APPROACH PREFERENCE

Table D-38: Investment approach preference – gender

APPROACH	WOMEN (N229)	MEN (N346)
A	57	130
B	88	128
C	84	88

Table D-39: Investment approach preference – race / ethnicity

APPROACH	AMERICAN INDIAN OR ALASKA NATIVE (N1)	ASIAN (N10)	BLACK OR AFRICAN AMERICAN (N2)	HISPANIC (N3)	MULTIPLE RACES (N4)	NATIVE HAWAIIAN OR PACIFIC ISLANDER (N1)	WHITE (N485)
A	1	1	0	0	2	0	163
B	0	4	1	2	1	0	180
C	0	5	1	1	1	1	142

Table D-40: Investment approach preference – age

APPROACH	20 AND UNDER (N35)	21-35 (N132)	36-50 (N132)	51-65 (N222)	66+ (N88)
A	1	34	44	76	36
B	0	59	78	74	15
C	5	61	51	51	9

Table D-41: Investment approach preference – audience

APPROACH	PUBLIC (N516)	STAKEHOLDERS (N260)
A	178	72
B	187	115
C	151	73

Table D-42: Investment approach preference – geography

APPROACH	GREATER MINNESOTA (N284)	TWIN CITIES AREA (N326)
A	119	80
B	99	129
C	66	117

Table D-43: Investment approach preference – MnDOT district

APPROACH	DISTRICT 1 (N38)	DISTRICT 2 (N27)	DISTRICT 3 (N52)	DISTRICT 4 (N32)	DISTRICT 6 (N48)	DISTRICT 7 (N39)	DISTRICT 8 (N49)	METRO DISTRICT (N309)
A	14	10	15	16	27	20	17	51
B	8	7	23	10	11	12	24	74
C	16	10	14	6	10	7	8	41

Table D-44: Investment approach preference – MPO

APPROACH	ST. CLOUD APO (N16)	GRAND FORKS / EASTGRAND FORKS MPO (N5)	MANKATO / NORTHMANKATO APO (N16)	METRO COG (N2)	MIC (N25)	ROCOG (N17)	MET COUNCIL (N326)
A	4	1	7	1	8	11	80
B	5	2	6	0	6	3	129
C	7	2	3	1	11	3	117

APPROACH RATING

Table D-45: Investment approach rating out of 100 – gender

APPROACH	WOMEN (N530)	MEN (N491)
A	72.42	70.56
B	71.51	68.04
C	69.58	61.04

Table D-46: Investment approach rating out of 100 – race / ethnicity

APPROACH	AMERICAN INDIAN OR ALASKA NATIVE (N3)	ASIAN (N57)	BLACK OR AFRICAN AMERICAN (N52)	HISPANIC (N53)	MULTIPLE RACES (N5)	WHITE (N485)
A	57.33	81.09	86.08	69.42	79.20	70.38
B	59.67	62.65	86.17	82.00	71.80	68.93
C	88.67	72.18	89.10	82.60	69.00	63.72

Table D-47: Investment approach rating out of 100 – age

APPROACH	20 AND UNDER (N42)	21-35 (N253)	36-50 (N265)	51-65 (N365)	66+ (N119)
A	71.71	66.46	69.32	73.66	75.86
B	74.81	73.33	69.32	67.29	67.34
C	77.55	73.92	64.83	57.92	58.76

Table D-48: Investment approach rating out of 100 – geography

APPROACH	GREATER MINNESOTA (N433)	TWIN CITIES AREA (N690)
A	72.62	76.03
B	69.12	72.28
C	64.26	67.81

Table D-49: Investment approach preference – MnDOT district

APPROACH	DISTRICT 1 (N60)	DISTRICT 2 (N25)	DISTRICT 3 (N137)	DISTRICT 4 (N19)	DISTRICT 6 (N83)	DISTRICT 7 (N68)	DISTRICT 8 (N41)	METRO DISTRICT (N657)
A	67.35	71.80	73.64	60.21	72.49	79.74	71.61	69.61
B	66.10	71.28	71.76	66.17	65.16	71.67	67.98	70.53
C	65.91	71	68.14	72.47	59.34	55.97	64.80	64.21



Table D-50: Investment approach preference – MPO

APPROACH	ST. CLOUD APO (N72)	GRAND FORKS / EAST GRAND FORKS MPO (N2)	MANKATO / NORTHMANKATO APO (N15)	METRO COG (N4)	MIC (N30)	ROCOG (N34)	MET COUNCIL (N690)
A	75.35	59.5	79.13	72.75	72.80	79.59	69.63
B	76.51	87	83.56	74.33	61.69	62.55	70.13
C	76.71	55	71.69	69.67	73.83	59.76	64.25

INVESTMENT CATEGORY

Table D-51: Investment category average – MnDOT district

INVESTMENT CATEGORY	DISTRICT 1 (N32)	DISTRICT 2 (N19)	DISTRICT 3 (N73)	DISTRICT 4 (N7)	METRO DISTRICT (N379)	DISTRICT 6 (N52)	DISTRICT 7 (N56)	DISTRICT 8 (N28)
Walking	6.78	7.79	5.77	5.29	6.36	7.63	7.39	7.54
Bicycling	6.69	6.95	6.18	5.43	6.85	7.31	7.71	6.46
Highway surface / pavements	3.25	2.47	4.37	3.14	3.93	3.17	3.14	3.36
Bridges	4.66	4.58	4.51	5.57	4.28	3.62	4.07	4.54
Supporting Infrastructure	4.81	5.05	4.90	4.43	4.57	4.35	4.79	3.82
Rest areas / weigh stations	7.00	8.05	7.84	8.00	7.79	7.37	7.52	7.64
Highway ownership	9.22	9.11	8.49	9.43	8.53	8.62	8.29	8.39
New safety investment	5.44	4.95	5.74	6.00	5.84	6.69	5.96	6.50
Greater MN mobility	4.25	5.11	5.36	5.71	6.49	4.77	4.21	4.68
Regional/local priorities	5.13	4.11	5.67	5.14	6.05	4.56	4.84	4.29
Twin Cities mobility	8.77	7.84	7.18	7.86	5.23	7.92	8.07	8.79

Table D-52: Investment category preference (top 3) – audience

RANK	STAKEHOLDER INVESTMENT CATEGORY (WORKSHEET: STAKEHOLDER BRIEFING) (N499)	PUBLIC INVESTMENT CATEGORY (GETFEEDBACK: COMMUNITY EVENT, ECHO, SOCIAL MEDIA SURVEY, WEBSITE SURVEY) (N1125)
1	Highway surface / pavements	Highway surface / pavements
2	Bridges	Bridges
3	New safety investment	Supporting infrastructure

Table D-53: Investment category average – geography

INVESTMENT CATEGORY	GREATER MN (N270)	TWIN CITIES AREA (N396)
Walking	6.76	6.40
Bicycling	6.78	6.82
Highway surface / pavements	3.54	3.87
Bridges	4.42	4.26
Supporting infrastructure	4.63	4.58
Rest areas / weigh stations	7.55	7.82
Highway ownership	8.67	8.54
New safety investment	5.94	5.86
Greater MN mobility	4.80	6.47
Regional/local priorities	4.96	6.08
Twin Cities mobility	7.95	5.24

Table D-54: Investment category preference (top 3) – gender

RANK	FEMALE (N348)	MALE (N267)
1	Highway surface/pavements	Highway surface/pavements
2	Bridges	Bridges
3	Supporting Infrastructure	Supporting Infrastructure

Table D-55: Investment category preference (top 3) – race / ethnicity

RANK	ASIAN (N54)	BLACK OR AFRICAN AMERICAN (N51)	HISPANIC (N50)	WHITE (N342)
1	Highway surface / pavements	Walking	Highway surface / pavements	Highway surface / pavements
2	Supporting infrastructure	Supporting infrastructure	New safety investment	Bridges
3	Twin Cities mobility	New safety investment	Greater MN mobility	Supporting infrastructure

Table D-56: Investment category preference (top 3) – age

RANK	20 AND BELOW (N35)	21-35 (N132)	36-50 (N132)	51-65 (N222)	66+ (N88)
1	Highway surface / pavements	Highway surface / pavements	Highway surface / pavements	Highway surface / pavements	Bridges
2	New safety investment	Supporting infrastructure	Supporting infrastructure	Bridges	Highway surface / pavements
3	Bicycling	Regional / Local priorities	Bridges	Supporting infrastructure	Supporting infrastructure

## Open Response Summary

### WHERE SHOULD MNDOT INVEST?

Participants provided a short statement that captured their preferred investment priorities. The following are the key themes identified from the results. Figure 15 also summarizes comments received into a word cloud. The larger the word appears, the more often participants mentioned the word in comments received through outreach.

- Prioritize investment to maintain existing infrastructure. MnDOT should be prioritizing investments in pavements and bridges as well as supporting infrastructure. Participants saw deteriorating roadways and bridges as a major safety issue.
- Invest to improve travel time reliability and reduce travel time delay. While a majority of participants commented on maintaining existing infrastructure, participants identified mobility both in Greater Minnesota and in the Metro Area as a concern. Many comments included statements about investing in existing infrastructure first but still making some mobility investments.

Figure D-4: Where should MnDOT invest?



## EARLIER VERSION

An earlier version of this question that was also used at the Minnesota State Fair had slightly different investment categories shown in community events surveys and website surveys. Results are shown below.

Table D-57: Most important investments – State Fair

INVESTMENTS	FREQUENCY
Repair & maintain roads & bridges	5,817
Safe travel	2,494
Bicycling	1,891
Reliable travel times	1,690
Walking	1,351
Partnering for local highway priorities	1,101
Support facilities	1,083
Main stree improvements	923

Table D-58: Rank the investment categories – earlier version

INVESTMENTS	AVERAGE RATING
Repair & maintain roads & bridges	2.33
Safety improvement projects	3.55
Reduce unexpected travel delays	3.66
Regional and locally-driven priorities	4.25
Walking	4.41
Bicycling	4.60
Support facilities	5.20

## PHASE 2

The second phase of engagement occurred in April and May of 2016. This phase sought feedback on the investment direction developed based on Phase 1 outreach and priorities for additional revenue if MnDOT were to receive any new funding. Phase 2 engagement was targeted to stakeholder within MnDOT as well as external partners that share the responsibility for the Minnesota's transportation system.

Table D-59: Results of draft investment direction discussion

RATING	FREQUENCY
I love it!	10
I like it alright	33
This isn't what I was hoping for but I can see why these decisions were made.	33
This does nothing for me. I do not like this plan.	4

Table D-60: Results of increased revenue priorities

INVESTMENT CATEGORY	RATING FROM 0-3
Bridge Condition	2.53
Pavement Condition	2.45
Roadside Infrastructure	2.12
Traveler Safety	2.05
RCIPs-Main Streets	2.04
RCIPs-Expansion	1.71
Greater MN Mobility	1.67
Pedestrian	1.55
Bicycle	1.46
RCIPs-Flood Mitigation	1.40
Jurisdictional Transfer	1.36
Twin Cities Mobility	1.34
Facilities	1.19

## OUTCOMES

Input from the public, stakeholders and partners influenced many aspects of this plan updates in terms of process and outcome. Highlighted in the following sections are examples. However, the full influence of engagement extends beyond these examples.

### Impacts to the Plan Update Process

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The demographic data collected as a part of engagement helped the project team identify who was being reached and to make adjustments to the approach in real time. The project team analyzed the data monthly to see which tools were the most effective and how well project participation mirrored Minnesota's population. Each month, the project team made adjustments to the engagement strategy to focus on the more successful tools and tactics. This data and process contributed to the higher than expected participation as well as participation reflective of the state's population.

### Impacts to the Statewide Multimodal Transportation Plan

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Examples of how the trend area and individual trend priorities from Phase 1 influenced the SMTP policy direction include:

- Two strategies included related to climate change – one to reduce emissions from the transportation sector and one to identify risks to the transportation system such as more frequent flooding
- A strategy included related to considering context when developing transportation projects, which includes considering urban and rural differences

Examples of how the implementation questions from Phase 2 helped MnDOT refine the policy direction include:

- Moving forward with urban and rural reporting was identified for a number of SMTP performance measures
- The work plan includes developing an Advancing Transportation Equity report to better study and define equitable transportation
- The work plan includes developing tools and resources to support transportation decisions that reflect the surrounding context



## Impacts to the Minnesota State Highway Investment Plan

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Examples of how input on the investment approaches and the most important investment categories influence the development of MnSHIP include:

- Approach B was the most preferred investment approach and was the starting point for development of the MnSHIP investment direction
- MnDOT considered feedback on the most important investment categories when making adjustments to Approach B to reach a final investment direction

Examples of how the results from Phase 2 outreach help inform the development of MnSHIP include:

- Feedback on the investment direction told MnDOT the public either liked the investment direction or understood why certain trade-offs were made even if they did not like the overall results of the investment direction
- Results informed priorities for additional revenue if MnDOT were to receive any in the future



Statewide  
Multimodal  
Transportation Plan

# Appendix E

## ENVIRONMENTAL JUSTICE

## ENVIRONMENTAL JUSTICE ANALYSIS

This appendix provides a systems level analysis of the potential impacts the objectives and strategies identified in **Chapter 5** of the Statewide Multimodal Transportation Plan may have on the state's environmental justice populations: racial and ethnical minorities, households without vehicles, and persons who are low-income, are age 65 or older, age 17 or younger and those who have limited English proficiency. Since this analysis occurs at the statewide systems level, the analysis is general and qualitative in nature. MnDOT will complete additional environmental justice analyses with its modal plans, as an element of other plans and studies, and for individual capital investment projects. Those individual project analyses identify specific impacts on communities and neighborhoods and work to avoid, minimize or mitigate adverse impacts through the project planning process and related project design decisions.

### Environmental Justice Overview

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Presidential Executive Order 12898, issued in 1994, directed each federal agency to “make achieving environmental justice part of its mission by identifying and addressing, as appropriate, disproportionately high and adverse human health or environmental effects of its programs, policies and activities on minority and low-income populations.”<sup>1</sup> The order builds on Title VI of the Civil Rights Act of 1964, which prohibits discrimination on the basis of race, color or national origin. The order also provides protection to low-income groups.

There are three fundamental principles of environmental justice:

- To avoid, minimize or mitigate disproportionately high adverse human health and environmental effects, including social and economic effects, on minority and low-income populations.
- To ensure the full and fair participation by all potentially affected communities in the transportation decision-making process.
- To prevent the denial of, reduction in, or significant delay in the receipt of benefits by minority and low-income populations.

The Executive Order and subsequent orders by the U.S. Department of Transportation define minority and low-income populations as:

- Black – a person having origins in any of the black racial groups of Africa.
- American Indian and Alaskan Native – a person having origins in any original people of North America and who maintains cultural identification through tribal affiliation or community recognition.

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<sup>1</sup> Executive Order 12898, Federal Actions to Address Environmental Justice in Minority and Low-Income Populations

- Asian – a person having origins in any of the original peoples of the Far East, Southeast Asia or the Indian subcontinent.
- Native Hawaiian or Other Pacific Islander – a person having origins in any of the original people of Hawaii, Guam, Samoa and other Pacific Islands.
- Hispanic – a person of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race.
- Low-income – a person whose household income (or in the case of a community or group, whose median household income) is at or below the U.S. Department of Health and Human Services poverty guidelines.

While not specifically identified by Title VI or the Executive Order, this environmental justice analysis also includes persons age 65 and older, persons age 17 and younger, persons with limited English proficiency, and households with zero vehicles because these groups have unique transportation needs.

Environmental injustice or inequality occurs when a minority or low-income population experiences disproportionately higher risks than the population as a whole. As discussed in the [Racial Equities & Disparities trend paper](#), racial disparities exist in Minnesota. The Metropolitan Council<sup>2</sup> has reported that the number of Areas of Concentrated Poverty (census tracts where at least 40 percent of the residents live in poverty) has increased between 2000 and 2010-2014 and that residents of color are overrepresented in these areas. Similarly, these ACPs are located near major roadways. As discussed in the [Health Trends in Minnesota trend paper](#), individuals living next to major highways are more likely to be hospitalized for asthma-related reasons. These findings are supported by the US EPA and other research<sup>3</sup>.

<sup>2</sup> [Thrive 2040, 2014](#)

<sup>3</sup> Examples: Near Roadway Air Pollution and Health: Frequently Asked Questions, US EPA, EPA-420-F-14-044, August 2014; National Patterns in Environmental Justice and Inequality: Outdoor NO<sub>2</sub> Air Pollution in the United States, Clark et al, PLOS ONE, April 2014.; Quantifying Traffic Exposure. Pratt et al, Journal of Exposure Science and Environmental Epidemiology, May/June 2014.

## Overview of Minnesota’s Environmental Justice Populations

Based on the 2010 to 2014 American Community Survey five-year estimates, more than 5.3 million people live in Minnesota. **Table E-1** shows the population based on race, ethnicity, age, limited English proficiency, low-income and households with zero vehicles. As noted in **Table E-1**:

- 85.2 percent of Minnesota’s population is white
- Minnesota’s black population is the state’s largest minority population, closely followed by the Hispanic and Asian populations
- Persons age 65 and older account for 13.6 percent of the state’s population, while those age 17 and under account for 23.8 percent
- 11.5 percent of the state’s population is below the poverty level
- 4.3 percent of the population speak English less than “very well”
- More than seven percent of Minnesotan households do not have a vehicle

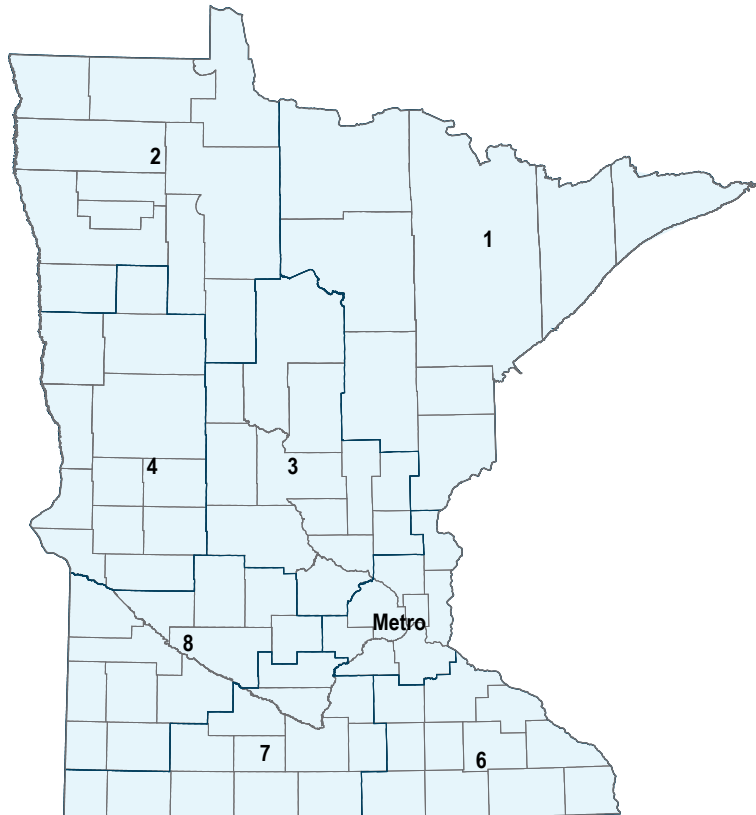
Table E-1: Minnesota’s demographics

Source: U.S. Census, 2010 to 2014 American Community Survey five-year Estimates  
 Note: Total estimated households in Minnesota was 2,115,337

POPULATION GROUP	TOTAL GROUP POPULATION	PERCENT OF TOTAL STATE POPULATION
Total population	5,383,661	100.0%
White alone	4,585,781	85.2%
Black alone	290,545	5.4%
American Indian or Alaskan Native alone	56,490	1.0%
Asian alone	230,798	4.3%
Native Hawaiian or other Pacific Islander alone	2,166	<0.1%
Some other race alone	78,863	1.5%
Two or more races	139,018	2.6%
Hispanic	264,265	4.9%
Age 65 and older	730,382	13.6%
Age 17 and under	1,280,022	23.8%
Persons below the poverty level	605,761	11.5%
Persons who speak English less than “very well”	217,737	4.3%
Households with zero vehicles	153,366	7.3%

While **Table E-1** provides a statewide overview, the population is not evenly distributed across the state. **Tables E-2** through **E-7** provide a breakdown of these populations based on area transportation partnerships shown in **Figure E-1**. While not exact, the ATP boundaries closely follow MnDOT district boundaries. From a population perspective, the Metro ATP has the greatest number of the different population groups compared to the other ATPs. However, from a percentage of total ATP population, it varies by group.

Figure E-1: Area transportation partnerships



**Table E-2** shows Minnesota's racial and ethnic populations by ATP. The majority of the state's minority population lives in the Metro ATP: 86 percent of the state's black population, 86.3 percent of the state's Asian population and 67.1 percent of the state's Hispanic population. While the Metro ATP has the largest American Indian / Alaskan Native population, it represents only 30.8 percent of the state's total American Indian / Alaskan Native population. ATPs 1 and 2 also have significant American Indian / Alaskan Native populations, 18.8 percent and 26.6 percent, respectively, of the state's total.

Outside of the Metro ATP:

- ATPs 3 and 6 have the largest Black populations
- ATP 6 has the largest Asian and Hispanic populations

Table E-2: Minnesota's racial and ethnic populations by area transportation partnership

Source: U.S. Census, 2010-2014 American Community Survey 5-year Estimates

ATP	TOTAL POPULATION	WHITE ALONE	BLACK ALONE	AMERICAN INDIAN OR ALASKAN NATIVE ALONE	ASIAN ALONE	NATIVE HAWAIIAN AND OTHER PACIFIC ISLANDER ALONE	SOME OTHER RACE ALONE	TWO OR MORE RACES	HISPANIC
1	355,733	329,585	4,642	9609	2,637	114	934	8,212	4,969
2	164,425	145,319	1,353	11,133	1,377	149	1,012	4,082	4,613
3	650,824	610,556	10,837	7,515	7,021	126	4,413	10,356	15,116
4	244,005	227,616	2,240	6,234	1,527	68	1,295	5,025	6,342
Metro	2,974,435	2,351,185	250,417	17,556	199,077	1,299	58,594	96,307	176,448
6	498,131	456,254	13,514	1,534	12,754	154	5,246	8,675	25,885
7	284,211	266,733	4,747	953	4,124	86	3,769	3,799	18,450
8	211,897	198,533	2,795	1,956	2,281	170	3,600	2,562	12,442

**Table E-3** summarizes the total low-income population in each ATP. Low-income includes all persons whose median household income is at or below the guidelines set by the U.S. Department of Health and Human Services. Statewide, 11.4 percent of persons were below the poverty level. ATP 1 and 2 had the highest percentage of their population below the poverty level, 15.4 percent and 14.0 percent respectively. ATP 6 had the lowest with 10.8 percent.

Table E-3: Minnesota's low-income population by area transportation partnership

Source: U.S. Census, 2010-2014 American Community Survey 5-year Estimates

ATP	TOTAL POPULATION	POPULATION BELOW POVERTY LEVEL	PERCENT OF ATP POPULATION BELOW POVERTY LEVEL
1	342,964	53,255	15.5%
2	159,674	22,375	14.0%
3	635,882	70,105	11.0%
4	236,067	28,564	12.1%
Metro	2,925,336	320,954	11.0%
6	479,558	51,736	10.8%
7	273,573	35,515	13.0%
8	207,297	23,257	11.2%

A person’s ability to speak English, at least moderately well, can be a barrier to participating in the transportation planning process. According to the American Community Survey, which estimates the number of individuals age five years and older who speak English less than “very well,” approximately four percent of Minnesotans speak English less than “very well.” **Table E-4** compares this information by ATP. The majority, 79 percent, live in the Metro ATP. ATP 2 had the fewest number of persons who spoke English less than “very well.”

**Table E-4: Minnesota’s limited English speaking population by area transportation partnership**

Source: U.S. Census, 2010-2014 American Community Survey 5-year Estimates

ATP	TOTAL POPULATION	POPULATION AGE 5-YEARS AND OLDER THAT SPEAKS ENGLISH LESS THAN “VERY WELL”	PERCENT OF ATP POPULATION AGE 5-YEARS AND OLDER THAT SPEAKS ENGLISH LESS THAN “VERY WELL”
1	337,000	2,700	0.8%
2	154,364	1,671	1.1%
3	606,887	9,022	1.5%
4	228,914	2,935	1.3%
Metro	2,775,699	171,675	6.2%
6	466,428	15,645	3.4%
7	266,711	8,688	3.3%
8	198,479	5,401	2.7%

**Table E-5** compares languages spoken at home. After English, Spanish is the most common language spoken at home, followed by African languages and Hmong. African languages include Swahili, Somali, Amharic, Ibo, Twi, Yoruba and Bantu, along with many others. While only 0.4 percent of the state’s population five-years and older speaks Vietnamese, 60.4 percent speak English less than “very well,” the highest percentage among those who spoke a language other than English at home.



Table E-5: Language spoken at home in Minnesota

Source: U.S. Census, 2010-2014 American Community Survey 5-year Estimates

LANGUAGE SPOKEN AT HOME	TOTAL POPULATION	PERCENT OF POPULATION	POPULATION AGE 5-YEARS AND OLDER THAT SPEAKS ENGLISH LESS THAN "VERY WELL"	PERCENT OF POPULATION AGE 5-YEARS AND OLDER THAT SPEAK ENGLISH LESS THAN "VERY WELL"
Speak only English	4,485,551	89.1%	NA	NA
Spanish or Spanish Creole	193,111	3.8%	83,799	43.4%
African languages	69,415	1.4%	29,487	42.5%
Hmong	57,513	1.1%	24,584	42.7%
German	23,258	0.5%	4,032	17.3%
Chinese	22,266	0.4%	9,922	44.6%
Vietnamese	21,915	0.4%	13,241	60.4%
Other Asian languages	20,476	0.4%	9,426	46.0%
French (incl. Patois, Cajun)	15,072	0.3%	3,187	21.1%
Russian	14,106	0.3%	6,463	45.8%
Arabic	10,703	0.2%	3,251	30.4%
Other languages	100,366	2.0%	30,345	30.2%

**Table E-6** shows the population of each ATP that is age 17 and under or age 65 and older. Those individuals age 17 and under make up 13.6 percent of Minnesota’s population, while those 65 and older make up 23.8 percent. Senior populations are estimated to increase significantly over the next 30 years. By 2035, there are projected to be more than 1.2 million seniors in Minnesota.

ATP 4 had the largest percentage (18.5 percent) of persons age 65 and older. The Metro ATP had the smallest percentage (11.5 percent) of those 65 and older. ATP 3 had the highest percentage (25.2 percent) of those 17 and younger, while ATP 1 had the smallest (20.1 percent).

Table E-6: Minnesotans age 17 and under and age 65 and older by area transportation partnership

Source: U.S. Census, 2010-2014 American Community Survey 5-year Estimates

ATP	TOTAL POPULATION	POPULATION 17 AND UNDER	PERCENT OF POPULATION 17 AND UNDER	POPULATION 65 AND OLDER	PERCENT OF POPULATION 65 AND OLDER
1	355,733	71,527	20.1%	63,765	17.9%
2	164,425	39,157	23.8%	28,046	17.1%
3	650,824	164,139	25.2%	89,804	13.8%
4	244,005	54,880	22.5%	45,022	18.5%
Metro	2,974,435	718,198	24.1%	342,773	11.5%
6	498,131	117,640	23.6%	76,292	15.3%
7	284,211	64,101	22.6%	46,319	16.3%
8	211,897	50,385	23.8%	38,361	18.1%

Households with zero vehicles may have a greater reliance on transit, bicycling, walking or car- or ride-sharing services. **Table E-7** shows the estimated number of households by ATP that had zero vehicles. The American Community Survey estimated that 7.3 percent of Minnesota households, more than 150,000 households, do not have a vehicle. More than 60 percent of these zero vehicle households are in the Metro ATP, which accounts for 8.1 percent of all Metro ATP households. In greater Minnesota, ATP 1 had the highest percentage (8.2 percent) of households without a vehicle, while ATPs 3 and 8 had the smallest percentages (5.3 percent each).

Table E-7: Minnesota households with zero vehicles by area transportation partnership

Source: U.S. Census, 2010-2014 American Community Survey 5-year Estimates

ATP	TOTAL HOUSEHOLDS	TOTAL HOUSEHOLDS WITH NO VEHICLE	PERCENT OF HOUSEHOLDS WITH NO VEHICLE
1	150,292	12,316	8.2%
2	66,073	4,082	6.2%
3	246,738	13,174	5.3%
4	99,755	6,132	6.1%
Metro	1,159,372	94,135	8.1%
6	193,754	12,616	6.5%
7	112,973	6,348	5.6%
8	86,380	4,563	5.3%

See **Chapter 4** and **Appendix D** of the SMTP for more information on public engagement.

## SMTP PUBLIC ENGAGEMENT

As described in **Chapter 4** and **Appendix D**, MnDOT used an inclusive and comprehensive engagement effort to ensure that Minnesota residents had opportunities to participate in the development of the Statewide Multimodal Transportation Plan. The public engagement process offered an opportunity for people from diverse backgrounds to provide feedback on the issues facing Minnesota's transportation system.

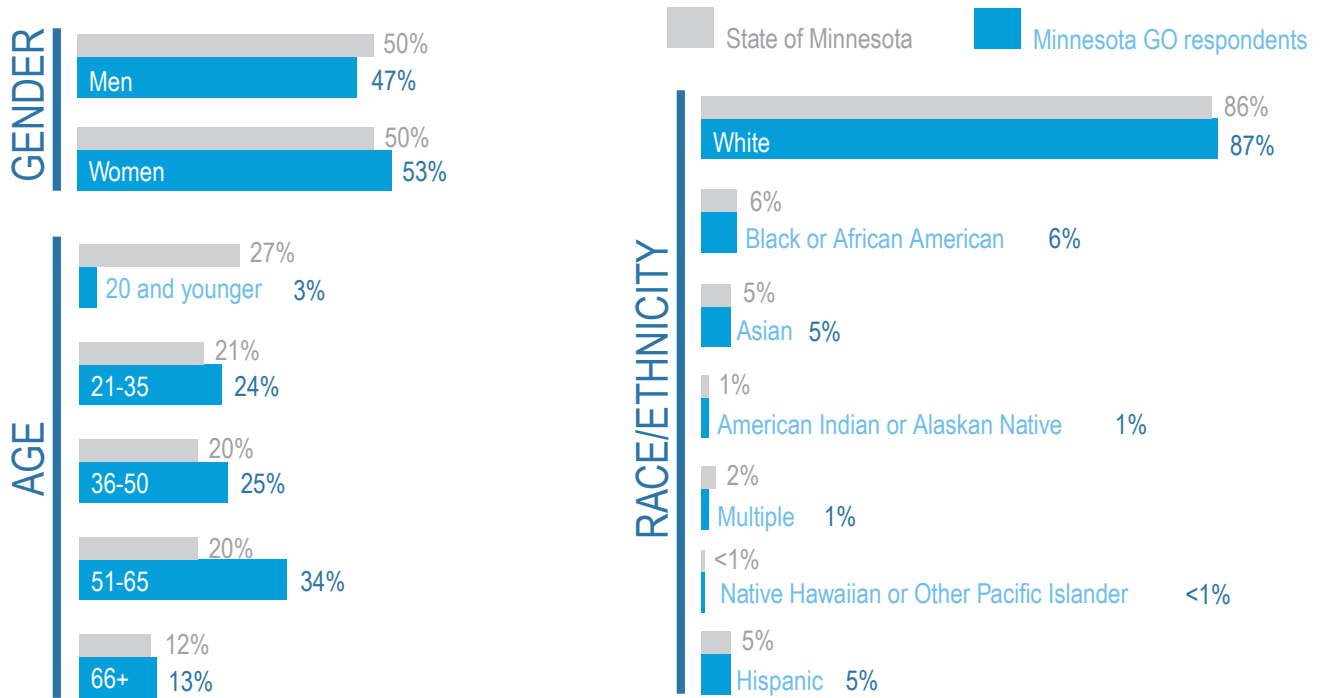
A key goal of the public outreach process was to engage traditionally underrepresented communities. To accomplish this goal, MnDOT partnered with the Twin Cities Public Television / Emergency, Community, Health, Outreach to reach traditionally underserved communities, particularly Hispanic, Hmong and Somali communities. ECHO staff translated surveys into Spanish, Hmong and Somali. ECHO staff also identified locations to conduct engagement such as ethnic markets, community centers and religious institutions. Nine events were specifically targeted to traditionally underserved communities. Examples of these activities included Hmong Village in St. Paul, Cultural Corner: Daughters of Africa in Worthington, Village Market in Minneapolis and the Divine Mercy Catholic Church in Faribault. Approximately 25 percent of the survey responses collected resulted from the partnership with TPT / ECHO.

MnDOT also used targeted Facebook ads to increase participation among traditionally underserved communities and balance the participation numbers to better reflect the demographic breakdown of Minnesota's population. These targeted ads focused on increasing participation from women, African Americans, Asian Americans and Spanish speaking individuals.

As part of the survey, participants could answer a few optional and anonymous demographic questions about their age, race / ethnicity, gender and zip code. MnDOT used this information to make sure the participation reflected the make-up of the state. When asked, about 56 percent of those who participated provided at least some demographic information. **Figure E-2** shows a demographic comparison between participants and Minnesota's population.

The survey responses received from these efforts, and the responses received from the broader general public engagement, shaped the objectives and strategies included in the Statewide Multimodal Transportation Plan by identifying which challenges and opportunities participants believed MnDOT should consider in its planning process.

Figure E-2: Demographic comparison between respondents and Minnesota's population



## SMTP OBJECTIVES, STRATEGIES & WORK PLAN ACTIVITIES

The Statewide Multimodal Transportation Plan builds on the foundation provided by the Minnesota GO 50-year Vision. The plan identifies objectives and strategies to meet the vision and address the challenges and opportunities facing Minnesota during the next 20 years.

The Plan identifies five policy objectives:

- Open Decision-Making
- Transportation Safety
- Critical Connections
- System Stewardship
- Healthy Communities

Each objective includes a series of strategies to achieve the stated objective. The objectives and strategies serve as a framework for MnDOT plans and provide guidance for MnDOT's transportation partners. The plan also includes performance measures and work plan activities for MnDOT to achieve the objectives.

See **Chapter 5** of the SMTP for more information on the objectives and strategies. See **Chapter 6** for the work plan.

At a statewide system-level, the five objectives and their related strategies have a positive impact on minority, low-income, age 65 and older, age 17 and younger, limited English proficiency and zero-vehicle household populations and other Minnesotans. Each objective and how it impacts environmental justice is summarized below.

## Open Decision-Making

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Open decision-making relies on accountability, transparency and communication. This objective seeks to make transportation decisions through processes that:

- Are inclusive, engaging and supported by data and analysis.
- Provide for and support coordination, collaboration and innovation.
- Ensure efficient and effective use of resources.

The objective includes eight strategies such as:

- Engage with users and those otherwise affected by the system throughout all transportation processes.
- Improve early coordination in planning, project-selection and scoping to more effectively and efficiently use resources and maximize benefits.
- Use performance measurement to inform decision-making and show progress toward national, statewide, regional and local goals.
- Develop and support a diverse workforce within the transportation sector.

Several work plan activities will help MnDOT achieve the objective. These activities include:

- Develop and update new, more inclusive public engagement resources.
- Pilot tools and strategies to better incorporate equity into project-level decision-making.
- Increase the transparency of MnDOT's project selection processes.

### HOW THIS IMPACTS ENVIRONMENTAL JUSTICE

Maintaining the public's trust is crucial. A key part of that trust is ensuring that everyone, regardless of income, age, race, ethnicity or ability, has the opportunity to be heard throughout the transportation decision-making process.

Public engagement must include a wide range of interests – from those who use the system to those who may be impacted by it. Engaging traditionally underserved populations in the transportation planning process can be challenging. Underserved populations may experience greater challenges than the general public in accessing jobs, schools, shopping and recreation.

They may also be unaware of their opportunities to provide comments on transportation plans and projects.

Effective public engagement uses a variety of tools to reach different communities. This objective and its related strategies and work plan activities encourages and supports MnDOT and its partners to use a range of public outreach techniques with the goal of an inclusive and accessible process for everyone. The objective also notes how a diverse workforce can aid in public engagement by allowing community members to interact with staff that shares their cultural identity, history or language. This in turn may encourage more participation from underserved populations and result in transportation decisions that more fully reflect the needs and concerns of everyone.

## Transportation Safety

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Safety remains a top priority for MnDOT and its transportation partners. This objective seeks to:

- Safeguard travelers and the communities they travel through.
- Apply proven strategies to reduce fatalities and serious injuries for all travel modes.
- Foster a culture of safety in Minnesota.

The transportation safety objective includes nine strategies such as:

- Explore new opportunities to improve safety for all modes of transportation.
- Plan, design, build, operate and maintain transportation infrastructure and facilities to improve the safety of all users and the communities they travel through.
- Collaborate with local, regional, state and federal planning efforts to ensure efficient and coordinated response to special, emergency and disaster events.

Work plan activities that will help MnDOT achieve the objective include:

- Develop and execute safety education campaigns.

## HOW THIS IMPACTS ENVIRONMENTAL JUSTICE

Safety is a priority for everyone. It includes traveler safety and community safety. Traveler safety applies to everyone who uses the transportation system. It focuses on providing an integrated approach to safety that includes the 4Es of safety – education, enforcement, engineering and emergency medical and trauma services. Traveler safety addresses all forms of transportation such as driving, walking, biking or riding transit. Disparities exist in physical safety on the transportation system between white Minnesotans and Minnesotans of color. For example, the number of pedestrian fatalities per 100,000 people for white Minnesotans is one. For Minnesotans of color, the number of pedestrian fatalities per 100,000 people is nine.

Transportation is just one factor that can influence community safety. Community safety is a person's ability to live in a safe environment. For example, a train and truck carrying hazardous materials can have serious public safety impacts if an incident occurs. Recently, the amount of crude oil shipped by train increased. In Minnesota, trains carrying oil travel through major population centers such as the Twin Cities where many people could be impacted by an accident involving a train. The trains also travel through rural Minnesota where response times to a crash may be an issue. Communities along these rail lines have expressed concerns about the safety of crude oil shipments. The transportation safety objective directs MnDOT and its partners to work together to develop efficient and coordinated responses to special, emergency or disaster events and ensures emergency communication infrastructure is enhanced and maintained across the state.

## Critical Connections

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Every day people and goods are moving, whether within and between a neighborhood, community, region, state, nation and the world. The movement occurs using a variety of connections – roads, sidewalks, trails, transit, air, rail and water. Since transportation agencies have limited resources, attention needs to be focused on connections that are identified as critical to the movement of people and goods. The goal of this objective is to:

- Maintain and improve multimodal transportation connections essential for Minnesotans' prosperity and quality of life to achieve progress in meeting performance measures and targets.
- Maximize social, economic and environmental benefits.
- Strategically consider new connections.

The objective includes nine strategies such as:

- Define priority networks for all modes based on connectivity and accessibility, and integrate the networks into decision-making.
- Support and develop multimodal connections that provide equitable access to goods, services, opportunities and destinations.
- Provide transportation options that improve multimodal connections between workers and jobs.
- Develop and improve multimodal connections within and between cities and regions.

Several work plan activities will help MnDOT achieve the Critical Connections objective and strategies, including:

- Pilot tools and strategies to better incorporate equity into project-level decision-making.
- Refine the methodology used for calculating return on investment.
- Study how transportation affects equity and identify transportation strategies and approaches that will meaningfully reduce disparities.

## HOW THIS IMPACTS ENVIRONMENTAL JUSTICE

Transportation is vital to keeping people connected to jobs, school, health care, family, shopping, places of worship, recreation and entertainment. Each person uses transportation differently. As a result, each person will identify different connections as critical based on their individual needs. Disparities exist in mode use and travel behavior. These disparities can be influenced by income levels, race or ethnicity. For example, individuals who live in Areas of Concentrated Poverty have a greater reliance on transit, walking and biking. While transportation can create barriers, transportation can also improve quality of life by providing connections to destinations and opportunities.

The critical connections objective, its related strategies and work plan activities encourages MnDOT and transportation partners to support and develop multimodal connections that provide equitable access and improve transportation connections within and between cities, whether for accessing jobs, health care, school, shopping, visiting family, moving goods or enjoying the state's many attractions and destinations.

## System Stewardship

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The transportation system is made up of many assets. Some assets are seen every day such as bridges, sidewalks, pavement markings, transit buses, crossing signals, docks and airport runways. Other assets may not be as visible such as stormwater tunnels or transportation data. For the transportation system to be effective, MnDOT and its transportation partners must not only operate and maintain these different assets, but they must also plan so the system can adapt to changing needs and risks.

The system stewardship objective seeks to:

- Strategically build, manage, maintain and operate all transportation assets.
- Rely on system data and analysis, performance measures and targets, agency and partners' needs and public expectations to inform decisions.
- Use technology and innovation to get the most out of investment and system performance.



- Increase the resiliency of the transportation system and adapt to changing needs.

The objective includes ten strategies such as:

- Maximize the useful life of transportation assets while considering system performance, costs and impacts to the state's economy, environment and quality of life.
- Proactively identify risks to the transportation system and surrounding communities to prioritize mitigation and response activities.
- Support regional approaches to mitigating identified risks to the transportation system and surrounding communities.

Work plan activities for MnDOT that support this objective include:

- Expand and improve asset management planning.
- Identify and assess risks to the transportation system.

## HOW THIS IMPACTS ENVIRONMENTAL JUSTICE

As noted under the critical connections objective, transportation is a vital part of everyone's day-to-day lives. With limited resources, it is crucial that the system is operated and maintained in a way that meets public expectations and needs. A key part of system stewardship is considering and planning how the transportation system may need to change to adapt to future changes and how those decisions may impact Minnesotans' quality of life.

Another key part of system stewardship is ensuring the transportation system is able to meet essential travel needs – such as trips to medical facilities or the grocery store – during extreme weather such as floods or other unusual events. For example, system redundancy ensures that people and goods have more than one option to make a particular trip. This may occur by using different types of transportation such as transit or rail, or by providing alternate travel routes. Another way to ensure essential travel needs are met is to identify risks to the transportation system and take steps to reduce those risks. For example, ensuring a culvert under an important road is capable of handling the water from a 100-year storm event.

## Healthy Communities

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Transportation connects people to destinations and opportunities. As transportation decisions are made, it is important that those decisions consider the impact on the users of the transportation system and the surrounding context. The goal of this objective is to:

- Make fiscally-responsible decisions that respect and complement the natural, cultural and social context of Minnesota.

- Integrate transportation systems and surrounding land use to maximize community, economic and environmental health.

The Healthy Communities objective includes nine strategies such as:

- Coordinate land use and transportation planning within communities to ensure consistency, maximize benefits and limit long-term costs.
- Plan, design, develop and maintain transportation infrastructure and facilities in a way that reflects and is informed by the surrounding context.
- Use a complete streets approach to assess trade-offs to better serve users and those affected by the transportation system.
- Support economic vitality and create and maintain jobs through transportation infrastructure investments.
- Develop a transportation system that is respectful of cultural resources and maintains those resources for generations to come.

Work plan activities that support the strategy include:

- Pilot tools and strategies to better incorporate equity into project-level decision-making.
- Develop tools and resources to support transportation decision that reflect the surrounding context.
- Work with transportation stakeholders to identify and advance statewide strategies for reducing greenhouse gas emissions.
- Study how transportation affects equity and identify transportation strategies and approaches that will meaningfully reduce disparities.

## HOW THIS IMPACTS ENVIRONMENTAL JUSTICE

While transportation can provide connections to destinations and opportunities, it can also serve as a barrier. Transportation decisions affect more than just the transportation system. They can affect natural resources, such as air and water, and cultural resources, such as historic buildings and sacred lands. They can also influence economic activity. Stated simply, transportation decisions can affect an individual's day-to-day activities.

The healthy communities objective recognizes there is no one-size-fits-all solution. MnDOT and its transportation partners must understand that transportation decisions can influence the surrounding context, much like land use decisions can influence transportation decisions. Decision-makers must consider the surrounding context when making transportation decisions. This will result in projects that are safer, sustainable and reflective of the specific place in which they occur.

## POLICY DIRECTIONS

During the development of the Statewide Multimodal Transportation Plan, some broad policy directions emerged. These directions typically influenced more than one of the objective areas and resulted in some work plan activities. Two of these policy directions impact environmental justice populations:

- Context guidance
- Advancing equity

Each policy direction is briefly discussed below.

### Context Guidance

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The Minnesota GO Vision includes a commitment for transportation agencies to “recognize and respect the importance, significance and context of place – not just as destinations, but also where people live, work, learn, play and access services.” The context of a roadway matters. Factors such as whether the area is urban or rural, community size or the surrounding land use can all impact how a roadway is developed.

Better understanding the context of a specific roadway segment can help MnDOT and its transportation partners make better decisions on how investments on that roadway are made. There was strong support to develop context guidance, particularly related to complete streets considerations, local / state cost-sharing expectations, driveway and intersection spacing requirements, public engagement expectations and roadway design standards.

Developing context guidance establishes a framework and provides consistency in how MnDOT districts design and implement plans and projects. It establishes best practices for community engagement, recognizing that different techniques are needed for different communities.

One of the plan’s work plan activities is to develop tools and resources to support transportation decisions that reflect the surrounding context. Three of the healthy communities strategies also address context:

- Give higher priority to transportation improvements in areas with complementary existing or planned land uses.
- Coordinate land use and transportation planning within communities to ensure consistency, maximize benefits and limit long-term costs.
- Plan, design, develop and maintain transportation infrastructure in a way that reflects and is informed by the surrounding context.

Addressing context will help ensure a community's unique traits are not lost when transportation investment decisions are made. Addressing context will help ensure transportation projects reflect the characteristics and address the needs of the community served.

## Advancing Equity

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Environmental justice populations, particularly people of color, continue to experience economic and financial disparities. While transportation projects may serve as barriers or worsen inequality, they can also reduce negative impacts resulting from development and improve quality of life by providing access to destinations.

Historically, MnDOT viewed equity in the context of geographic distribution, i.e., funding was fairly distributed across the state. During the development of the Statewide Multimodal Transportation Plan, there was support to also address racial equity and disparities caused by past transportation investments.

Two work plan activities address this effort:

- Study how transportation affects equity and identify transportation strategies and approaches that will meaningfully reduce disparities.
- Pilot tools and strategies to better incorporate equity into project-level decision-making.

Two strategies also directly address equity:

- Develop and support a diverse workforce within the transportation sector.
- Support and develop multimodal connections that provide equitable access to goods, services, opportunities and destinations.

The goals of these strategies and work plan activities are to improve public engagement activities to ensure all voices are heard and investigate ways to better include equity concerns in the transportation decision-making process.

## NEXT STEPS

The Statewide Multimodal Transportation Plan applies to all types of transportation and all transportation partners. While the plan identifies work plan activities for MnDOT, it does not identify project- or program-specific activities for MnDOT or any transportation partners. Instead, the SMTP provides the groundwork for further action by MnDOT and other transportation partners. For this reason, the objectives, strategies and work plan activities presented in the SMTP are neutral in terms of environmental justice. However, given the current disparities that exist, there is a risk of disproportionate impacts on traditionally underrepresented communities. MnDOT and other transportation partners must ensure that the actions taken to implement the plan's objectives and strategies – the individual program and project decisions – do not result in disproportionately high and adverse impacts on traditionally underrepresented populations.

For MnDOT, the objectives and strategies identified in this plan provide the groundwork for the modal and system plans. These plans identify specific policies, project-level and program recommendations and performance measures for their respective transportation systems. The SMTP includes several strategies to avoid, reduce or minimize negative impacts in its policies and programs such as:

- Develop a transportation system that is respectful of cultural resources and maintains those resources for generations to come.
- Support and implement approaches that preserve Minnesota's natural resources, avoid causing environmental harm and improve environmental quality.
- Make transportation decisions that minimize and reduce total greenhouse gas emissions.
- Coordinate land use and transportation planning within communities to ensure consistency, maximize benefits and limit long-term costs.

MnDOT will review the modal and system plan recommendations to ensure they do not result in disproportionately high and adverse human health or environmental effects on traditionally underrepresented populations.

MnDOT will also continue to ensure that its other planning efforts and project-specific decisions do not result in disproportionately high and adverse human health or environmental effects on traditionally underrepresented populations. In addition to strategies aimed to avoid, reduce or minimize negative impacts, the plan also includes several strategies to engage and communicate with the public and transportation partners about project-specific information.



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## APPENDIX F

### FEDERAL PLANNING FACTORS & STATE PLANNING GOALS

## FEDERAL PLANNING FACTORS

**Table F-1** shows how federal planning factors for Minnesota’s transportation system influenced the development of the Statewide Multimodal Plan objectives.

Table F-1: Federal planning factors and related SMTP objectives

Source: 23 USC 135(d)(1); 23 CFR 450.206(a)

FEDERAL PLANNING FACTOR	RELATED OBJECTIVE
Support the economic vitality of the United States, the States, nonmetropolitan areas and metropolitan areas, especially by enabling global competitiveness, productivity, and efficiency.	<ul style="list-style-type: none"> <li>Critical Connections</li> <li>System Stewardship</li> </ul>
Increase the safety of the transportation system for motorized and nonmotorized users.	<ul style="list-style-type: none"> <li>Transportation Safety</li> <li>Healthy Communities</li> </ul>
Increase the security of the transportation system for motorized and nonmotorized users.	<ul style="list-style-type: none"> <li>Transportation Safety</li> <li>System Stewardship</li> </ul>
Increase the accessibility and mobility of people and freight.	<ul style="list-style-type: none"> <li>Critical Connections</li> <li>System Stewardship</li> <li>Healthy Communities</li> </ul>
Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns.	<ul style="list-style-type: none"> <li>Open Decision-making</li> <li>System Stewardship</li> <li>Healthy Communities</li> </ul>
Enhance the integration and connectivity of the transportation, across and between modes throughout the State, for people and freight.	<ul style="list-style-type: none"> <li>Critical Connections</li> </ul>
Promote efficiency system management and operation.	<ul style="list-style-type: none"> <li>System Stewardship</li> </ul>
Emphasize the preservation of the existing transportation system.	<ul style="list-style-type: none"> <li>System Stewardship</li> </ul>
Improve the resiliency and reliability of the transportation system and reduce or mitigate stormwater impacts of surface transportation.	<ul style="list-style-type: none"> <li>System Stewardship</li> </ul>
Enhance travel and tourism.	<ul style="list-style-type: none"> <li>Critical Connections</li> <li>Healthy Communities</li> </ul>

# STATE TRANSPORTATION GOALS

The Minnesota State Legislature has identified sixteen statewide goals for transportation.<sup>1</sup> These goals, listed below, guided the development of the Statewide Multimodal Transportation Plan. While these goals as a whole influence transportation planning within the state, certain objectives and strategies were developed to specifically align with particular goals for the state transportation system.

## Minnesota's Legislative Goals for the Transportation System

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1. Minimize fatalities and injuries throughout the state
2. Accomplish these goals with minimal impact on the environment
3. Reduce Greenhouse gas emissions from the state's transportation sector
4. Promote and increase the use of high-occupancy vehicles and low-emission vehicles
5. Ensure that the planning and implementation of all modes of transportation are consistent with the environmental and energy goals for the state
6. Increase access for all persons and businesses and to ensure economic well-being and quality of life without undue burden placed on any community
7. Provide an air transportation system sufficient to encourage economic growth and allow all regions of the state the ability to participate in the global economy
8. Encourage tourism by providing appropriate transportation to Minnesota facilities designed to attract tourists and to enhance the appeal of tourist destinations across the state
9. Enhance economic development and provide for economical, efficient, and safe movement of goods to and from markets by rail, highway, and waterway
10. Increase the use of transit as a percentage of all trips statewide by giving highest priority to the transportation modes with the greatest people-moving capacity and lowest long-term economic and environmental cost
11. Promote and increase bicycling and walking as percentage of all trips as energy-efficient, nonpolluting, and healthy forms of transportation

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<sup>1</sup> Minnesota Stat. 174.01, Subd. 2.



12. Provide transit services to all counties in the state to meet the needs of transit users
13. Provide a reasonable travel time for commuters
14. Promote accountability through systematic management of system performance and productivity through the utilization of technological advancements
15. Maximize the long-term benefits received for each state transportation investment
16. Provide for and prioritize funding of transportation investments that ensures the state's transportation infrastructure is maintained in a state of good repair

**Table F-2** outlines the connection between the goals and the Statewide Multimodal Transportation Plan objectives and key strategies that support the goal.

Table F-2: State transportation goals and related SMTP objectives and key strategies

STATE GOALS FOR THE TRANSPORTATION SYSTEM	RELATED OBJECTIVE	KEY STRATEGIES
1. Minimize fatalities and injuries throughout the state	<ul style="list-style-type: none"> <li>• Transportation Safety</li> </ul>	<ul style="list-style-type: none"> <li>• Explore new opportunities to improve safety for all modes of transportation.</li> <li>• Plan, design, build, operate, and maintain transportation infrastructure and facilities to improve the safety of all users and the communities they travel through.</li> </ul>
2. Accomplish these goals with minimal impact on the environment	<ul style="list-style-type: none"> <li>• Healthy Communities</li> </ul>	<ul style="list-style-type: none"> <li>• Support and implement approaches that preserve Minnesota's natural resources, avoid causing environmental harm, and improve environmental quality.</li> </ul>
3. Reduce greenhouse gas emissions from the state's transportation sector	<ul style="list-style-type: none"> <li>• Healthy Communities</li> </ul>	<ul style="list-style-type: none"> <li>• Make transportation decisions that minimize and reduce total greenhouse gas emissions.</li> </ul>
4. Promote and increase the use of high-occupancy vehicles and low-emission vehicles	<ul style="list-style-type: none"> <li>• Healthy Communities</li> <li>• Critical Connections</li> </ul>	<ul style="list-style-type: none"> <li>• Identify and give priority to infrastructure improvements, services, and education that increase the number of people who bike, walk, and take transit.</li> <li>• Develop and improve multimodal connections within and between cities and regions.</li> </ul>
5. Ensure that the planning and implementation of all modes of transportation are consistent with the environmental and energy goals for the state	<ul style="list-style-type: none"> <li>• Healthy Communities</li> </ul>	<ul style="list-style-type: none"> <li>• Support and implement approaches that preserve Minnesota's natural resources, avoid causing environmental harm, and improve environmental quality.</li> </ul>

STATE GOALS FOR THE TRANSPORTATION SYSTEM	RELATED OBJECTIVE	KEY STRATEGIES
6. Increase access for all persons and businesses and ensure economic well-being and quality of life without undue burden placed on any community	<ul style="list-style-type: none"> <li>Critical Connections</li> </ul>	<ul style="list-style-type: none"> <li>Support and develop multimodal connections that provide equitable access to goods, services, opportunities and destinations.</li> </ul>
7. Provide an air transportation system sufficient enough to encourage economic growth and allow all regions of the state the ability to participate in the global economy	<ul style="list-style-type: none"> <li>Critical Connections</li> </ul>	<ul style="list-style-type: none"> <li>Develop and improve multimodal connections within and between cities and regions.</li> </ul>
8. Encourage tourism by providing appropriate transportation to Minnesota facilities designed to attract tourists and to enhance the appeal of tourist destinations across the state	<ul style="list-style-type: none"> <li>Critical Connections</li> </ul>	<ul style="list-style-type: none"> <li>Define priority networks for all modes based on connectivity and access to destinations and integrate the networks into decision-making.</li> </ul>
9. Enhance economic development and provide for economical, efficient, and safe movement of goods to and from markets by rail, highway, and waterway	<ul style="list-style-type: none"> <li>Critical Connections</li> <li>Healthy Communities</li> </ul>	<ul style="list-style-type: none"> <li>Improve freight operations and intermodal connections for better access to the transportation system.</li> <li>Support economic vitality and create and maintain jobs through transportation infrastructure investments.</li> </ul>
10. Increase use of transit as a percentage of all trips statewide by giving highest priority to the transportation modes with the greatest people-moving capacity and lowest long-term economic and environmental cost	<ul style="list-style-type: none"> <li>Critical Connections</li> </ul>	<ul style="list-style-type: none"> <li>Develop and improve multimodal connections within and between cities and regions.</li> </ul>
11. Promote and increase bicycling and walking as a percentage of all trips as energy-efficient, nonpolluting, and healthy forms of transportation	<ul style="list-style-type: none"> <li>Critical Connections</li> </ul>	<ul style="list-style-type: none"> <li>Develop and improve multimodal connections within and between cities and regions.</li> <li>Develop and improve connections between modes of transportation.</li> </ul>
12. Provide transit service to all counties in the state to meet the needs of transit users	<ul style="list-style-type: none"> <li>Critical Connections</li> </ul>	<ul style="list-style-type: none"> <li>Develop and improve multimodal connections within and between cities and regions.</li> </ul>
13. Provide a reasonable travel time for commuters	<ul style="list-style-type: none"> <li>Critical Connections</li> </ul>	<ul style="list-style-type: none"> <li>Support and develop multimodal connections that provide equitable access to goods, services, opportunities and destinations.</li> </ul>
14. Promote accountability through systematic management of system performance and productivity through the utilization of technological advancements	<ul style="list-style-type: none"> <li>Open Decision-Making</li> </ul>	<ul style="list-style-type: none"> <li>Use research to inform decision-making and foster innovation within the transportation sector.</li> </ul>
15. Maximize the long-term benefits received for each state transportation investment	<ul style="list-style-type: none"> <li>System Stewardship</li> </ul>	<ul style="list-style-type: none"> <li>Maximize the useful life of transportation assets while considering system performance, costs, and impacts to the state's economy, environment, and quality of life.</li> </ul>
16. Provide for and prioritize funding of transportation investments that ensures the state's transportation infrastructure is maintained in a state of good repair	<ul style="list-style-type: none"> <li>System Stewardship</li> </ul>	<ul style="list-style-type: none"> <li>Give asset management priority to infrastructure on identified priority networks.</li> </ul>

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# Appendix G

## PLAN COORDINATION

## SUMMARY OF FINDINGS

As part of the Statewide Multimodal Transportation Plan update process, staff reviewed available MnDOT statewide plans, metropolitan planning organization long-range transportation plans and tribal transportation plans. The review identified the trends and policy objectives in each plan. These individual trends and objectives were then summarized into key ideas that were considered when updating the SMTP. **Table G-1** shows the key trends identified. **Table G-2** describes the broad policy objectives.

Table G-1: Key trends identified in MnDOT, MPO and tribal transportation plans

TREND TOPIC AREA	MNDOT STATEWIDE PLANS	MPO LONG-RANGE TRANSPORTATION PLANS	TRIBAL TRANSPORTATION PLANS
Open Decision-Making	<ul style="list-style-type: none"> <li>Customer expectations and satisfaction have remained stable and seem to be related to overall satisfaction in the system's "smooth surfaces"</li> <li>Increased need to build and maintain relationships with the business community to understand their transportation needs</li> </ul>	No specific trends identified	No specific trends identified
Transportation Safety	<ul style="list-style-type: none"> <li>Pedestrian-involved crashes continue to be an issue in Minnesota – making up 13 percent of total crashes from 2008 to 2012</li> <li>Minnesota has made significant progress in reducing the effect of crashes; the number of traffic fatalities and serious injuries has generally been declining</li> <li>Increase in the use of technology related to Intelligent Transportation Systems</li> </ul>	No specific trends identified	No specific trends identified
Critical Connections	<ul style="list-style-type: none"> <li>Supporting airline operations has been a challenge due to increasing fuel costs, leveling of passenger demand, loss of service and fleet changes</li> <li>The percentage of bicycle commuters and overall bicycle ridership has remained constant during the last five years</li> <li>Demand for Minnesotan iron ore and grain is likely to increase in the future</li> <li>A small, growing number of households are choosing to not own a car</li> </ul>	<ul style="list-style-type: none"> <li>Increases in regional trade have emphasized the importance on multimodal connections for businesses</li> <li>There are some indications that alternative modes are increasing in popularity among Minnesotans</li> <li>Congestion and vehicle miles traveled are likely to increase without capacity expansion as regions grow in population and employment</li> </ul>	

TREND TOPIC AREA	MNDOT STATEWIDE PLANS	MPO LONG-RANGE TRANSPORTATION PLANS	TRIBAL TRANSPORTATION PLANS
System Stewardship	<ul style="list-style-type: none"> <li>The state is responsible for maintaining a wide variety of infrastructure that is in need of investment to maintain the quality expected by Minnesota’s residents and businesses</li> <li>The share of pavements rated “poor” is steadily increasing, while the share of pavement in “good” condition has remained steady</li> <li>Advancements in technology, innovation, and research have led to improvements in maintenance performance</li> <li>Emerging environmental challenges will increase, including the consequences of climate change, such as more severe weather events</li> </ul>	<ul style="list-style-type: none"> <li>Current public revenue streams are not sufficient to adequately maintain the existing transportation system</li> <li>Additional infrastructure needs will not be met without new transportation funding</li> <li>Emerging environmental challenges will likely increase, including the consequences of climate change – particularly an increase in the number of severe weather events</li> </ul>	No specific trends identified
Healthy Communities	<ul style="list-style-type: none"> <li>Some evidence suggest that people young and old prefer to live in compact, walkable, mixed-use, and mixed-income communities</li> <li>Minnesota’s population of people over the age of 65 will eclipse those under the age of 18 in the near future</li> <li>Changes in Minnesota’s economy necessitate a flexible transportation system</li> </ul>	<ul style="list-style-type: none"> <li>The share of Minnesota’s population over the age of 65 will continue to grow during the next 25 years</li> <li>Development patterns and household characteristics will affect the need for new kinds of transportation infrastructure and will impact how people choose to get from place to place</li> </ul>	<p>Projected changes in population on Reservations, including shrinking smaller towns and growing larger population centers</p> <p>Future land use changes and impact on housing, natural resources and access</p>

Table G-2: Broad policies identified in MnDOT, MPO and tribal transportation plans

POLICY OBJECTIVE AREA	MNDOT STATEWIDE PLANS	MPO LONG-RANGE TRANSPORTATION PLANS	TRIBAL TRANSPORTATION PLANS
Open Decision-Making	<ul style="list-style-type: none"> <li>• Improve visibility of planning and tie initiatives to economic development opportunities, integration with other modes and agencies, and demonstrate financial efficiency</li> <li>• Use public outreach as a tool to increase the public benefit delivered through MnDOT’s planning efforts and projects</li> <li>• Facilitate two-way communication to ensure that Minnesotans have a voice in how the transportation system is shaped and serves them</li> </ul>	<ul style="list-style-type: none"> <li>• Ensure that all members of the public have a variety of open and inclusive opportunities to express their thoughts on how they would like the transportation system to serve them</li> <li>• Maximize the transportation system’s cost effectiveness through efficient management and collaboration among public and private entities</li> </ul>	<ul style="list-style-type: none"> <li>• Improve accountability and cooperation among local and state jurisdictions to be better stewards of the transportation system</li> </ul>
Transportation Safety	<ul style="list-style-type: none"> <li>• Develop Minnesota’s aviation system to minimize and/or reduce fatalities and injuries to improve the safety of air travel</li> <li>• Build and maintain safe and comfortable bicycling facilities for people of all ages and abilities</li> <li>• Leverage common goals between local, regional, and state transportation plans to improve highway planning processes</li> <li>• Utilize investments to improve safety across modes to protect Minnesota’s people, economy and environment</li> </ul>	<ul style="list-style-type: none"> <li>• Reduce the number, severity and rate of crashes on the transportation system</li> <li>• Improve safety for non-automobile users of the transportation system</li> <li>• Increase safety outcomes for users of the freight transportation system</li> <li>• Coordinate traffic operations with other agencies during major events, including instances of flooding</li> <li>• Ensure effective emergency response capabilities and disaster management preparedness</li> </ul>	<ul style="list-style-type: none"> <li>• Address strategic safety concerns and recurring trouble spots, especially for vulnerable users</li> </ul>

POLICY OBJECTIVE AREA	MNDOT STATEWIDE PLANS	MPO LONG-RANGE TRANSPORTATION PLANS	TRIBAL TRANSPORTATION PLANS
Critical Connections	<ul style="list-style-type: none"> <li>• Ensure convenient access between all modes in Minnesota for both business and personal use</li> <li>• Maintain Minnesota’s freight infrastructure to ensure that multimodal connections allow goods to move efficiently across the country</li> <li>• Develop strong connections within the state’s bicycle network through coordination with national, state, regional, and local partners</li> </ul>	<ul style="list-style-type: none"> <li>• Support an integrated network of streets, roads, and highways that collectively support the most direct routes for both freight and passenger movements</li> <li>• Increase transportation choices and year-round access for the movement of people and goods</li> <li>• Improve the connectivity between modes of transportation for people and freight</li> </ul>	<ul style="list-style-type: none"> <li>• Ensure that the system facilitates efficient movement of people and goods</li> <li>• Establish connections to surrounding road, trail and transit infrastructure</li> <li>• Improve connectivity within Reservation lands in addition to connections to and from Reservations</li> <li>• Maintain existing transit services in the near-term while looking to grow transit service when applicable</li> </ul>
System Stewardship	<ul style="list-style-type: none"> <li>• Ensure that the transportation system is operated and maintained in a manner that users can rely upon</li> <li>• Continue to meet the needs of the current system without jeopardizing the condition of the system in future years</li> <li>• Find creative solutions to leverage previously unused or underutilized resources to maintain the transportation system</li> </ul>	<ul style="list-style-type: none"> <li>• Identify and catalog critical street and highway system assets</li> <li>• Increase the longevity of local transportation facilities for all modes</li> <li>• Explore low-cost/high-benefit solutions that can assist in satisfying the public’s transportation priorities</li> <li>• Reduce the transportation system’s vulnerability to natural and man-made incidents and threats</li> </ul>	<ul style="list-style-type: none"> <li>• Preserve and maintain the existing system</li> <li>• Encourage joint-agency partnerships and cost-sharing strategies</li> <li>• Increase resiliency and redundancy to better facilitate evacuation and response to both natural- and human-induced emergency events</li> </ul>
Healthy Communities	<ul style="list-style-type: none"> <li>• Advocate for a balanced-approach use of marine infrastructure, considering adjacent land uses and the impact of infrastructure on the environment</li> <li>• Support local and regional bicycling needs</li> </ul>	<ul style="list-style-type: none"> <li>• Encourage context sensitive design principles and promote transportation decisions that support regional and neighborhood vitality</li> <li>• Avoid and/or minimize negative environmental, cultural and personal impacts of the transportation system</li> <li>• Consider the active living and public health impacts of transportation decisions as part of the planning process</li> </ul>	<ul style="list-style-type: none"> <li>• Recognize the link between growth and potential impacts to natural resources</li> <li>• Ensure that the transportation system helps people get to places of employment and serves major economic development generators</li> </ul>



## PLANS REVIEWED

The plans reviewed are listed below. For MnDOT plans, links are included to the plan websites. For MPO and tribal plans, links are included to the organization's website.

### MnDOT Plans

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- [State Aviation System Plan \(2012\)](#)
- [Statewide Bicycle System Plan \(2015\)](#)
- [Statewide Freight System Plan \(2015\)](#)
- [Statewide Ports & Waterways Plan \(2014\)](#)
- [Minnesota Walks: Current & Future Steps Towards a Walkable Minnesota \(2015\)](#)
- [State Rail Plan \(2015\)](#)
- [Greater Minnesota Transit Investment Plan \(2011\)](#)
- [2014-2019 Strategic Highway Safety Plan](#)
- [ADA Transition Plan \(2010\)](#)
- [2012-2015 Highway Systems Operation Plan](#)
- [Minnesota Regional ITS Architecture \(2015\)](#)

## Metropolitan Planning Organization Long-Range Transportation Plans

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- [\*Grand Forks - East Grand Forks Metropolitan Planning Organization\*](#) – 2040 Long Range Transportation Plan
- [\*Duluth-Superior Metropolitan Interstate Council\*](#) – Connections 2040
- [\*Fargo-Moorhead Council of Governments\*](#) – Metro 2040 Long Range Transportation Plan
- [\*St. Cloud Area Planning Organization\*](#) – Long Range Transportation Plan 2040
- [\*Rochester-Olmsted Council of Governments\*](#) – Reaffirm 2040 Long Range Transportation Plan
- [\*La Crosse Area Planning Committee\*](#) – Coulee Vision 2050
- [\*Mankato/North Mankato Area Planning Organization\*](#) – 2045 Long-Range Transportation Plan
- [\*Metropolitan Council of the Twin Cities\*](#) – 2040 Transportation Policy Plan

## Tribal Transportation Plans

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- [\*Bois Forte Band of Chippewa\*](#) – 20-year Transportation Plan (2013)
- [\*Prairie Island Indian Community\*](#) – 20-year Transportation Plan (2006)
- [\*Leech Lake Band of Ojibwe\*](#) – 2015-2018 Tribal Transportation Improvement Plan
- [\*White Earth Nation\*](#) – Short and Long Range Transportation Plan (2008-2030)
- [\*Fond du Lac Band of Lake Superior Chippewa\*](#) – Integrated Resource Management Plan (2008)
- [\*Fond du Lac Band of Lake Superior Chippewa\*](#) – 20-year Transportation Plan (2011)

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